

1 **Critical Lane Volume (CLV)-based Capacity and Level of Service Analyses for Diverging**  
2 **Diamond Interchange**  
3

4 by

5 Avijit Maji, D.Eng., P.E., PTOE  
6 Transportation Design Engineer  
7 Maryland State Highway Administration  
8 7491 Connelley Drive, Hanover, MD 21076  
9 P:+1-410-372-7068  
10 Email: [avijit.maji@gmail.com](mailto:avijit.maji@gmail.com)

11 Sabyasachee Mishra, Ph.D., P.E.  
12 Research Assistant Professor  
13 National Center for Smart Growth Research and Education  
14 University of Maryland, College Park, MD 20741  
15 P:+1-301-405-9424  
16 Email: [mishra@umd.edu](mailto:mishra@umd.edu)

17 Manoj K. Jha, Ph.D., P.E.  
18 Professor and Director  
19 Center for Advanced Transportation and Infrastructure Engineering Research  
20 Department of Civil Engineering  
21 Morgan State University  
22 1700 East Cold Spring Lane  
23 Baltimore, MD 21251  
24 P: +1-443- 885-1446  
25 Email: [manoj.jha@morgan.edu](mailto:manoj.jha@morgan.edu)  
26  
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**Abstract**

Many traffic simulation software tools, such as Vissim, SimTraffic, Corsim, Synchro, and Dynasmart have emerged over the last decade and are widely used for analyzing capacity, delay, and level of service at intersections, ramps, and along arterial/freeway segments. While these tools have shown great promise, they are expensive and the data collection and input set-up is time consuming and resource intensive. Traffic engineers predominantly use one of those tools to analyze diverging diamond interchange (DDI), also known as double crossover diamond interchange. [Developing a simulation model and performing required analysis takes considerable time. Since it is not necessary to obtain detail traffic operational analysis of a DDI while interchange alternatives are being developed, a quick and easy evaluation procedure is warranted.](#) In this paper, a critical lane volume (CLV) based analysis methodology is presented which could be an appropriate tool to bridge the gap. In this methodology, two intersection or nodes of a DDI, where through traffic movements along the arterial cross each other, are considered crucial. Understanding of the crossover movements, ramp movements, and coordination of traffic movements between the two nodes and lane configuration are used in developing the methodology. Critical movements are analyzed, compared and logically added to obtain the critical lane volume of the two nodes. The obtained critical lane volume is divided by intersection capacity to compute volume to capacity ratio and used in deriving the level of service of the two intersections in a DDI. The paper describes the mathematical formulation and analysis procedure to evaluate a DDI. Two real-world DDIs are analyzed using the developed method and compared with simulation results for reliability and accuracy.

**Keywords:** Diverging diamond interchange, double crossover diamond interchange, critical lane volume, conflict points, intersection capacity, lane utilization factor and level of service.

## 1 **1. Introduction**

2 Double crossover diamond interchange or diverging diamond interchange (DDI) design is  
3 gaining momentum in the United States. So far, about 10 such interchanges are already open to  
4 traffic and lot more locations are in consideration. State highway agencies have started  
5 considering DDI design as one of the viable interchange alternatives. Cost effective construction  
6 and simplified two-phase signal system with short cycle length makes DDI one of the popular  
7 choices. In this design, the opposing traffic flowing along an arterial crosses each other at the  
8 two crossover nodes or intersections on either end of the interchange. The crossover enables  
9 drivers to drive on the opposite side of the roadway between the two interchange node points.  
10 Being on the opposite side of the roadway allows the left turn movement from the ramp to the  
11 arterial and from the arterial to the ramp to operate free, without being impeded by opposing  
12 traffic.

13  
14 Often, traffic engineers consider traffic simulation as the preferred tool to analyze a DDI.  
15 Traffic simulation requires extensive effort, time and skill. During project planning, performing  
16 traffic simulation may not be very cost effective. On the other hand, the Critical Lane Volume  
17 (CLV) based analysis methodology is a simple and easy to use evaluation procedure, which can  
18 determine the overall performance of a DDI in a short time. This method is cost effective and can  
19 be used during highway project planning. Lane configuration, identification of the conflicting  
20 movements, and understanding of the coordinated movements between the nodes are very  
21 important in estimating the CLV for a DDI. The proposed methodology considers the lane  
22 configuration with a suitable Lane Use Factor (LUF) along with the critical combination of the  
23 conflicting movements at the crossover nodes in estimating the CLV of the intersections within  
24 the interchange. The conflicting movements include the crossover movements, merging  
25 movements from off-ramp to arterial, and merging movements at the beginning of the on-ramp.  
26 In the process, it also considers the interaction between the crossover nodes and the coordination  
27 of the critical movements. Overall, this methodology provides a quick and easy evaluation of  
28 DDI which can be used as an evaluation tool during highway planning.

## 29 **2. Literature Review**

30 The literature review presented in this paper is not intended to be exhaustive, rather to cover  
31 researchers' and practitioners' findings on DDI traffic operations and analysis, and later focused  
32 upon the lacking areas for further improvement. This section is organized into following parts:  
33 (1) potential reduction in conflicts, (2) multi-faceted benefits, (3) design consideration, (4)  
34 critical lane volume consideration, (5) experience in the United States, and (6) literature review  
35 summary and focus on proposed research.

### 36 **2.1 Reduction of Potential Conflict**

37 A Federal Highway Administration (FHWA) study on grade separated interchanges states that  
38 DDI is different from a conventional interchange as it combines left-turning traffic with through  
39 traffic (1). The purpose of DDI design is to accommodate left-turning movements onto arterials  
40 and limited-access highways while eliminating the need for a left-turn bay and signal phase at  
41 the signalized ramp terminals. With adequate DDI configuration, the signal control phasing is  
42 designed such that vehicles are required to stop at only one of the signals along the arterial road,  
43 thereby eliminating the left-turn signal phase from the arterial road and also the need for a ramp  
44 to store vehicles waiting to go left (2). Most of the sources do not mention pedestrian safety  
45 explicitly. Those that do discuss the shorter crossings points required in the DDI, but also the fact

1 that the number of crossings points increase with the DDI compared to other interchange  
2 concepts, though each of the crossings can be protected by a signal system without significant  
3 impacts to vehicular flow (3).

#### 4 **2.2 Multi-faceted Benefits**

5 A DDI interchange can result into multi-faceted benefits. A DDI interchange has fewer conflict  
6 points compared to an equivalent diamond interchange, which can lead to fewer crashes (4). The  
7 lower speed operation is because of the reverse curvature preceding the crossover intersections.  
8 These curves lead to reduced speeds at the location of the crossing-path conflict points and are  
9 expected to lead to fewer crashes. In addition, these interchanges operate at lower speeds and are  
10 expected to result in reduced accident rate and severity (4). A DDI has 14 crossing conflicts  
11 compared with 26 crossing conflicts in a typical diamond interchange.  
12

13 In terms of operational benefits as DDI's ability to combine left-turning traffic with  
14 through traffic, thereby eliminating the left-turn-only signal phase of a conventional interchange,  
15 this design results in a doubling of throughput of the left-turning arterial traffic and a reduction  
16 of total delay when compared with a conventional diamond interchange in high-volume  
17 scenarios. At high traffic volumes, the DDI shows about 50 percent less delay in seconds per  
18 vehicle than a conventional diamond (2). Capacity benefits are best when directional traffic is  
19 unbalanced because the crossover allows only one movement at a time in comparison to  
20 conventional intersections. That means it will be advantageous when the volume of one opposing  
21 through movement is greater than the other, in which case DDI will be a desirable alternative (5).  
22 In terms of construction cost savings a recent project to convert an existing interchange into a  
23 DDI in Springfield, Missouri, saved \$6.8 million compared to a single point urban interchange or  
24 widening of a conventional diamond design.

#### 25 **2.3 Design Consideration**

26 A DDI interchange typically has two signalized intersections or nodes for left-turn crossovers.  
27 These intersections operate in two-phase signals, with each phase dedicated for the alternative  
28 opposing movements. Compared to conventional interchanges, the DDI interchange allows for  
29 relatively shorter cycle lengths at the signalized intersections, which reduce the lost time per  
30 cycle as a result (6). The DDI interchange design is suitable for interchanges with heavy ramp  
31 movements and relatively low through volumes on the arterial or directional unbalanced through  
32 volumes on the arterial. Signals on a DDI interchange may be fully actuated to minimize delay.

#### 33 **2.4 Critical Lane Volume Consideration**

34 Critical movement analysis has been quite popular among state and county highway agencies in  
35 the last five decades for intersection planning and evaluation analysis. One of the earliest  
36 methodologies was proposed by Drew (1963). Since then there were a number of research papers  
37 published about the subsequent revisions to critical lane volume estimation (7). Most importantly  
38 there is a wide use of the Highway Capacity Manual (TRB 2000) for critical lane volume  
39 estimation (8). Recognizing the role of critical lane volume in the intersection analysis,  
40 transportation researchers over the past three decades have conducted a variety of studies on this  
41 vital issue, ranging from observations at isolated intersections (9-12); on double left turns (13);  
42 and progression signal control systems (14). A general consensus is that the critical lane volume  
43 varies from location to location, and is a function of various factors, including intersection  
44 geometric features (15-18), signal control strategies (18-19) and distribution of driving patterns

1 and populations (20). Following such concerns, the Highway Capacity Manual (HCM) has  
 2 encouraged state and local municipalities to conduct field validation of their default saturation  
 3 flow rates in intersection traffic analyses. Few studies such as San Mateo County's congestion  
 4 management program (CMP) identified intersection level of service definitions and relationship  
 5 with volume to capacity ratio (Table 1). Intersection capacities are also identified in the CMP  
 6 study, which is shown in Table 2. A methodology for critical lane volume estimation for DDI's  
 7 is yet to be contributed in the literature. If DDIs become popular, such a methodology is  
 8 imperative for intersection analysis for truly reflecting the actual traffic condition due,  
 9 understandably, to the variation of driving populations and their behavior discrepancies across  
 10 different locations.

11

12

**TABLE 1 Intersection Level of Service Definitions (21)**

Level of Service	Interpretation	V/C Ratio
A	Uncongested operations; all queues clear in a single signal cycle.	Less than 0.60
B	Very light congestion; an occasional approach phase is fully utilized.	0.60 to 0.69
C	Light congestion; occasional backups on critical approaches.	0.70 to 0.79
D	Significant congestion on critical approaches, but intersection functional. Cars required to wait through more than one cycle during short peaks. No long-standing queues formed.	0.80 to 0.89
E	Severe congestion with some long-standing queues on critical approaches. Blockage of intersection may occur if traffic signal does not provide for protected turning movements. Traffic queue may block nearby intersection upstream of critical approaches.	0.90 to 0.99
F	Total breakdown, stop-and-go operation.	1.00 and greater

13

14

**TABLE 2 Intersection Capacities (21)**

Number of Signal Phases	Capacity (in vph)
2	1,850
3	1,760
4 or more	1,700

## 15 2.5 Experiences in the United States

16 Outside United States there are three locations where DDI is implemented (in France). In the  
 17 United States, DDI has been constructed at over 10 locations. The first ever DDI construction in  
 18 United States is at the crossing of I-44 and U.S. Route 13 in Springfield, MO (22). Other states  
 19 which recently constructed DDIs include, Tennessee, Kentucky, Maryland, Georgia and Utah. A  
 20 complete report on these DDIs in the U.S. can be found in the literature (23). Because of  
 21 unfamiliarity in the design and uncertainty in driver's reaction to DDI a number of case studies  
 22 are not found around the world (24-26).

23

## 24 2.6 Analytical methods on DDI

25 Chlewicki (2003) analyzed delay at DDI and compared its performance to that of the  
 26 conventional interchange under various demand levels. In a comparison with conventional  
 27 diamond interchange this study found that the DDI design can reduce about 60 percent of the  
 28 total intersection delay and stop delay, and the total number of stops in a DDI can be reduced to  
 29 the 50 percent level under most volume conditions. Bared et al. (2005) investigated performance  
 30 of DDIs at five volume levels and under two geometric conditions. Their research results  
 31 indicated that a DDI can outperform a conventional diamond interchange, particularly at high  
 32 levels of volume. Regardless of the demand level, a DDI design can accommodate higher

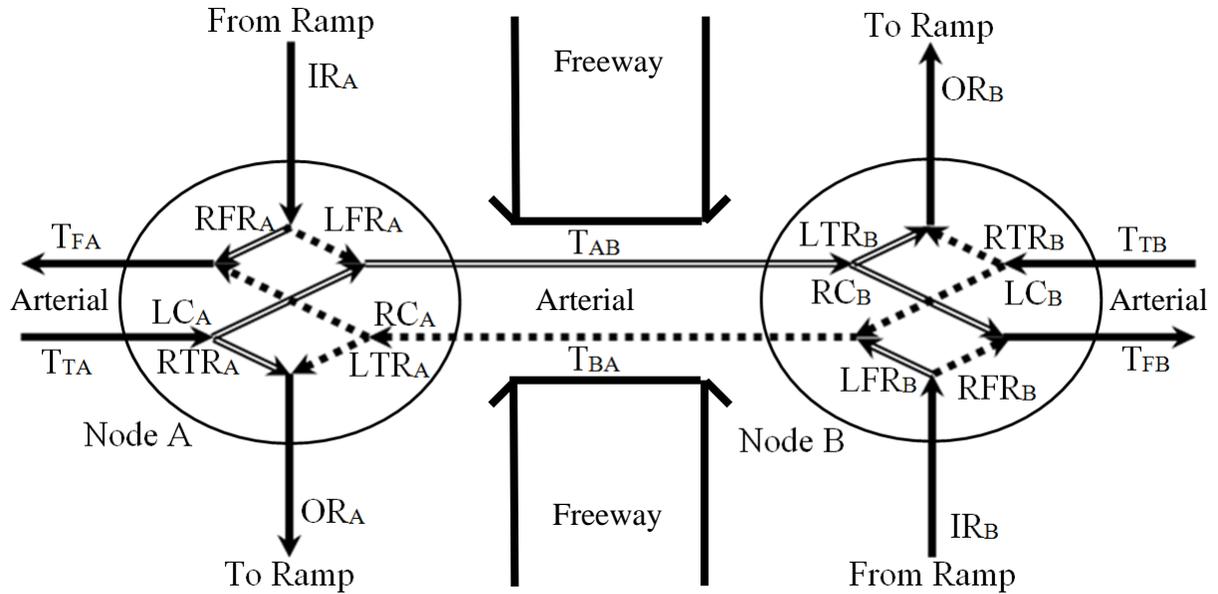
1 volumes for all movements, especially for left turn flows, than a conventional diamond  
2 interchange. The literature shows that DDI studies are quite limited on exploring its benefits  
3 using microscopic traffic simulations and also on analytical studies.  
4

## 5 **2.7 Literature Review Summary and Focus of the Proposed Research**

6 A number of benefits of DDIs exist as outlined in the literature review. While traffic volume per  
7 lane is a major consideration, not many studies appear to have conducted such analysis without  
8 deviating from simulation models. Traffic flow coordination between the two crossover nodes  
9 and the lane configuration information is used in estimating traffic volume per lane for each  
10 movement. The conflicting movements with maximum traffic volume per lane establish the  
11 critical movements for the interchange. A thorough understanding of the conflicting and non-  
12 conflicting movements is required to identify the critical movements and analyze a DDI. The  
13 proposed analysis procedure identifies the critical movements and adds the conflicting traffic  
14 volume per lane logically to obtain an overall CLV based performance measure for a DDI.  
15 Morning and afternoon peak period traffic volume information can be considered to assess and  
16 compare the performance of a DDI.

## 17 **3. Methodology**

18 The two intersections, where traffic movements along the arterial cross each other, play an  
19 important role in evaluating performance of a DDI. These intersections are shown as Node A and  
20 B in Figure 1. Traffic movements from different directions use these two nodes to get on to the  
21 freeway from arterial, get off the freeway to arterial, or continue along the arterial. In summary,  
22 the nodes provide safe right-of-way to different traffic movements navigating the interchange.  
23 These nodes are signalized to control the right-of-way. Possible traffic movements that use the  
24 two nodes are described in Table 3. For example,  $IR_A$  represents the inbound traffic movement  
25 from the freeway ramp to Node A. It includes left-turn ( $LFR_A$ ) and right-turn ( $RFR_A$ )  
26 movements from the freeway ramp to arterial. While moving or navigating through the  
27 interchange system, some traffic movements conflict with others and some don't. The traffic  
28 movements that do not conflict with another movement may operate simultaneously. It is  
29 important to understand the conflicting as well as non-conflicting movement to develop the  
30 formulation presented in this paper.



**FIGURE 1 Diagrammatic sketch of a DDI showing different movements**

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2  
3

**TABLE 3 Description of movements**

Sl #	Symbol	Description
1	$IR_A$	Inbound movement from Ramp to node A
2	$T_{FA}$	Through movement From node A
3	$T_{TA}$	Through movement To node A
4	$OR_A$	Outbound movement to Ramp from node A
5	$LFR_A$	Left turn movement From Ramp to node A
6	$RFR_A$	Right turn movement From Ramp to node A
7	$LC_A$	Left turn Crossover movement at node A
8	$RTR_A$	Right turn movement To Ramp from node A
9	$LTR_A$	Left turn movement To Ramp from node A
10	$RC_A$	Right turn Crossover movement at node A
11	$OR_B$	Outbound movement to Ramp from node B
12	$T_{TB}$	Through movement To node B
13	$T_{FB}$	Through movement From node B
14	$IR_B$	Inbound movement from Ramp to node B
15	$LTR_B$	Left turn movement from Ramp to node B
16	$RTR_B$	Right turn movement To Ramp from node B
17	$LC_B$	Left turn Crossover movement at node B
18	$RFR_B$	Right turn movement From Ramp to node B
19	$LFR_B$	Left turn movement From Ramp to node B
20	$RC_B$	Right turn Crossover movement at node B
21	$T_{AB}$	Through movement from node A to node B
22	$T_{BA}$	Through movement from node B to node A

4

### 3.1 Conflict points and movements

There are three types of conflict points in traffic engineering operation – diverging, merging and crossing. The diverging conflict points are the points where one traffic movement leaves the main movement to go in a different direction. The right-turn and left-turn movements leaving the through movement create diverging conflict points. With adequate and appropriate design of turning bays this type of conflict has very little or no impact to the performance of the transportation system. Sometime weaving could be a concern near the diverging conflict point, but in this study it is assumed that drivers will choose a lane according to their desired destination and minimize last minute weaving. In contrary, the diverging movement reduces the main movement's total traffic volume and thus may improve traffic operations. If the number of lanes along the main traffic movement remains unchanged before and after the diverging conflict point, the traffic operation along the main traffic movement after the diverging conflict point improves. In the proposed methodology it is assumed that adequate storage and appropriate transitions will be provided to all turning movements and thus the diverging conflict point would not have negative impact to the main movements. The traffic movements, which diverge to go to a different direction from the main traffic movement at the diverging conflict points, are considered as the diverging movements. A few examples of diverging traffic movements are  $RTR_A$  - the right-turn movement diverges the left-turn crossover movement at Node A ( $LC_A$ ) to go to the freeway ramp,  $LTR_B$  - the left-turn movement diverging the right-turn crossover movement at node B ( $RC_B$ ) to go to the freeway ramp, and  $LFR_B$  - the left-turn movement from the freeway ramp diverging the right-turn movement from the same freeway off-ramp at Node B ( $RFR_B$ ) to access the arterial. A list of all diverging movements for a DDI is shown in Table 4.

23

**TABLE 4 Diverging, merging and overlapping movements**

Node	Main movement	Secondary movement	Type of movement
A	$LC_A$	$RTR_A$	Diverging
	$RC_A$	$LTR_A$	Diverging
	$RFR_A$	$LFR_A$	Diverging
	$LC_A$	$LFR_A$	Merging
	$RC_A$	$RFR_A$	Merging
	$RTR_A$	$LTR_A$	Merging
	$LC_A$	$RFR_A$	Overlapping
	$RC_A$	$LFR_A$	Overlapping
B	$LC_B$	$RTR_B$	Diverging
	$RC_B$	$LTR_B$	Diverging
	$RFR_B$	$LFR_B$	Diverging
	$LC_B$	$LFR_B$	Merging
	$RC_B$	$RFR_B$	Merging
	$RTR_B$	$LTR_B$	Merging
	$LC_B$	$RFR_B$	Overlapping
	$RC_B$	$LFR_B$	Overlapping

24

25

26

The most important type of conflict points in this analysis are merging and crossing. The right-of-way at the time of merging needs to be managed properly and during crossing needs to be altered for safety. Merging conflict point occurs when one traffic movement merges with

1 another. Generally the lane configuration or number of lanes for the main movement remains the  
 2 same before and after the merging conflict point. Hence, the merging movement adds more  
 3 traffic to the main movement and traffic volume along main movement increases after the merge  
 4 point. Careful consideration should be given to the total traffic volume beyond the merging  
 5 conflict point to assess the performance of the associated intersection. The traffic movement that  
 6 merges with the main traffic movement is known as the merging traffic movement. A few  
 7 examples of merging traffic movement in the DDI are  $RFR_A$  - the right-turn traffic movement  
 8 merges with the right-turn crossover traffic movement at Node A ( $RC_A$ ),  $LTR_A$  - the left-turn  
 9 traffic movement from the arterial merges with the right-turn traffic movement to the ramp at  
 10 node A ( $RTR_A$ ) to access the freeway, and  $LFR_B$ - the left-turn traffic movement from the ramp  
 11 merges with the left-turn crossover traffic movement at Node B ( $LC_B$ ) to access the arterial. A  
 12 list of all merging movements for a DDI is shown in Table 4.

13 There are two crossing conflict points in DDI. One is at Node A where the left-turn  
 14 crossover,  $LC_A$ , intersects right-turn crossover,  $RC_A$ , traffic movement and the other is at Node  
 15 B where the left-turn crossover,  $LC_B$ , intersects right-turn crossover,  $RC_B$ , traffic movement.  
 16 The movements that constitute the crossing conflict points are considered as the crossing  
 17 movements. Hence, all crossover movements at Nodes A and B ( $LC_A, RC_A, LC_B$  and  $RC_B$ ) are  
 18 also the crossing movement. These movements play a vital role in traffic operations of a DDI.  
 19 The merging movements at respective nodes, which are not associated as diverging movement to  
 20 one of the crossing movements, are managed based on the crossing movements of the nodes. For  
 21 an example, when left-turn crossover,  $LC_A$ , has right-of-way and crosses the crossing conflict  
 22 point at Node A, the right-turn from ramp,  $RFR_A$ , can operate simultaneously. Hence, the total  
 23 merging traffic volume, which needs to be managed and controlled at the merging conflict point,  
 24 is reduced. A detailed formulation to consider this reduction in estimating the CLV of the node is  
 25 discussed in the subsequent paragraphs. Table 4 represents the overlapping movements.

### 26 **3.2 Traffic volume and lane utilization factor**

27 In traffic engineering, traffic volume per lane quantifies the quality (i.e., level of service) of a  
 28 traffic movement. Different traffic movements may have different lane configuration or number  
 29 of lanes. So, to compare two or more traffic movements, it is necessary to understand how lanes  
 30 are utilized. If there is only one lane for a particular traffic movement, 100% of the total traffic  
 31 volume is required to use that lane. When there are two lanes, ideally the total traffic volume  
 32 should utilize both lanes evenly. In reality, the lane utilization is not exactly equal. The lane  
 33 utilization varies from location to location. Factors like origin and destination, driveways, lane  
 34 drop, geometric design, type of movement (i.e., left-turn, right-turn or through) and human factor  
 35 influence the lane utilization. Actual lane utilization could be determined from a detail per lane  
 36 traffic volume count. However, the process is expensive and time consuming. Hence, standard  
 37 lane utilization factors (LUF) are considered, which multiplied with the total traffic volume  
 38 yields the per lane traffic volume for a particular movement. Based on experience and field  
 39 observation, engineering judgment can be used to come up with a customized LUF. Table 5  
 40 shows the LUF considered in this study. The equivalent per lane traffic volume is used in  
 41 comparing two different movements and estimating the total CLV of an intersection. If the total  
 42 traffic volume is represented by  $V_i$ , lane utilization factor by  $LUF_i$ , then the per lane traffic  
 43 volume ( $v_i$ ) could be estimated as shown in Equation 1.

$$v_i = V_i \times LUF_i \quad (1)$$

where,

$i$  = Corresponding traffic movements as shown in Table 3

**Table 5 Lane utilization factor**

Type of movement	Number of lanes	LUF
Through and right turn	1	1.00
	2	0.55
	3	0.35
	4	0.30
Left turn	1	1.00
	2	0.60
	3	0.40

### 3.3 Critical lane volume formulation

The traffic movements, which play a critical role in traffic operations of an intersection, are considered as the critical movements. A set of merging and crossing traffic movements, with the highest per lane traffic volume, are compared and added judiciously to obtain the intersection CLV. Hence, the understanding of the conflict points, merging movements, crossing movements, and overlapping movements are used in developing the CLV estimation process for a DDI. As discussed before, the traffic operation within a DDI is hinged on the two intersections – Node A and B. Thus, proper identification and establishment of the critical movements at Nodes A and B is needed to evaluate and estimate the performance and level of service (LOS) of the interchange system.

There are three merging conflict points and one crossing conflict point in Node A. The movements associated to these conflict points are evaluated to determine a set of critical movements that dominates other set of traffic movements. Considering the crossing conflict point at Node A, the crossover traffic movements,  $LC_A$  and  $RC_A$ , constitutes a total per lane traffic volume of  $v_{LC_A} + v_{RC_A}$  at the conflict point. Out of the three merging conflict points, one merging conflict point is constituted by a set of traffic movements that have diverging conflict points with the crossing movements. Hence, the total per lane traffic volume ( $v_{RTR_A} + v_{LTR_A}$ ) representing the merging conflict point for the traffic movements  $RTR_A$  and  $LTR_A$  is not reduced for any overlapping traffic operations. If there are separate receiving lanes on the ramp for these two traffic movements,  $RTR_A$  and  $LTR_A$ , the two movements will not conflict and thus it will not be considered in estimating the CLV of Node A. Hence, mathematically the CLV for crossing and the merging operation discussed here could be represented as follows:

$$\begin{aligned} CLV_{RC_A,LC_A} &= v_{RC_A} + v_{LC_A} \\ &= V_{RC_A} \times LUF_{RC_A} + V_{LC_A} \times LUF_{LC_A} \end{aligned} \quad (2)$$

$$\begin{aligned} CLV_{RTR_A,LTR_A} &= v_{RTR_A} + v_{LTR_A} \\ &= V_{RTR_A} \times LUF_{RTR_A} + V_{LTR_A} \times LUF_{LTR_A} \end{aligned} \quad (3)$$

where,

$$\begin{aligned}
CLV_{RC_A,LC_A} &= \text{Critical lane volume for traffic movement } RC_A \text{ crossing } LC_A \\
CLV_{RTR_A,LTR_A} &= \text{Critical lane volume for traffic movement } LTR_A \text{ merging with } RTR_A
\end{aligned}$$

1  
2 A part of merging movement for the other two merging conflict points may operate  
3 simultaneously with the corresponding crossing movements (see Table 6 for details). This  
4 overlap is possible when the movements from the freeway ramp,  $RFR_A$  and  $LFR_A$ , does not  
5 hinder each other. Hence, there should be a separate turn bay for these two movements and at  
6 any time these turn bays are free to access by respective movements. If this condition does not  
7 exist, the total per lane traffic volume from the ramp to the node ( $v_{RFR_A} + v_{LFR_A}$ ) should be  
8 considered as an independent movement and compared with Equation 2 and 3 to obtain the CLV  
9 of the node. However, in this paper, it is assumed that separate turn bays will be provided for the  
10 turning movements from the ramp to the node. So, the maximum per lane traffic volume for  
11  $RFR_A$  and  $LFR_A$  that can overlap with  $LC_A$  and  $RC_A$  is  $v_{LC_A}$  and  $v_{RC_A}$ , respectively. If  $v_{LC_A}$  is  
12 greater than  $v_{RFR_A}$ , the merging movement,  $RFR_A$ , will not contribute to the total critical lane  
13 volume of the intersection. When  $v_{RC_A}$  is greater than  $v_{LFR_A}$ , the same argument applies to  $LFR_A$ .  
14 Again, if there is a separate receiving lane for  $RFR_A$ , the right-turn movement can move freely  
15 without conflicting with  $RC_A$ , and thus it would not be considered in estimating the CLV of  
16 Node A. Now, the two merging movements,  $RFR_A$  and  $LFR_A$ , merge with two crossing  
17 movements,  $RC_A$  and  $LC_A$ , that are already considered in estimating the CLV of the crossing  
18 conflict point. Hence, the highest residual per lane traffic volume of  $RFR_A$  and  $LFR_A$  is added to  
19  $CLV_{RC_A,LC_A}$ . Mathematically, it could be represented as follows:

$$\begin{aligned}
CLV_{RC_A,LC_A,RFR_A,LFR_A} &= CLV_{RC_A,LC_A} + \max(v_{RFR_A} - v_{LC_A}, v_{LFR_A} - v_{RC_A}, 0) \\
&= CLV_{RC_A,LC_A} + \max\left(\begin{array}{l} V_{RFR_A} \times LUF_{RFR_A} - V_{LC_A} \times LUF_{LC_A}, \\ V_{LFR_A} \times LUF_{LFR_A} - V_{RC_A} \times LUF_{RC_A}, 0 \end{array}\right) \quad (4)
\end{aligned}$$

21 where,

$$CLV_{RC_A,LC_A,RFR_A,LFR_A} = \text{Critical lane volume considering traffic movements } RC_A, LC_A, RFR_A \text{ and } LFR_A$$

22  
23 As discussed before, the CLV of this intersection depends on one crossing and three  
24 merging operations. The CLV estimation shown in Equation 3 represents one of the merging  
25 operations and Equation 4 represents the lone crossing and the remaining merging operations.  
26 Also, the movements represented in Equation 3 are independent to the movements represented in  
27 Equation 4, which makes Equation 3 and 4 independent of each other. Therefore, the CLV  
28 obtained from Equation 3 and 4 are compared and the one, which yields the highest per lane  
29 traffic volume, represents the intersection's CLV. Mathematically, it is represented as follows:

$$CLV_{NodeA} = \max(CLV_{RC_A,LC_A,RFR_A,LFR_A}, CLV_{RTR_A,LTR_A}) \quad (5)$$

32 where,

$$CLV_{NodeA} = \text{Critical lane volume of Node A}$$

33

34 Similarly, the CLV of Node B ( $CLV_{NodeB}$ ) could be mathematically represented as:

$$1 \quad CLV_{NodeB} = \max(CLV_{RC_B,LC_B,RFR_B,LFR_B}, CLV_{RTR_B,LTR_B}) \quad (6)$$

2

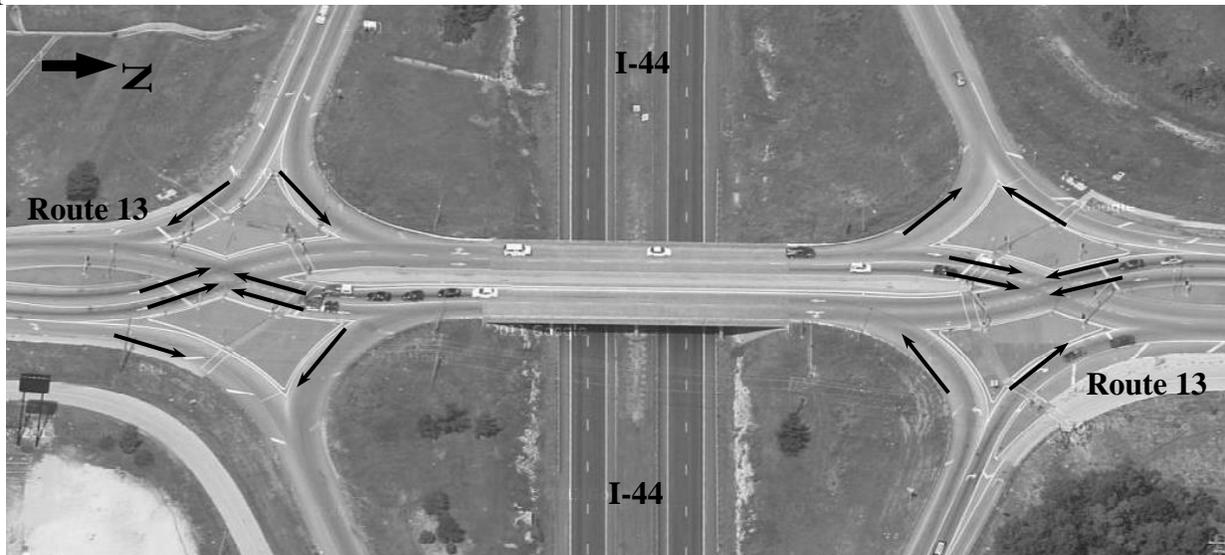
3 The CLV of Node A and B are divided by intersection capacity to obtain intersection v/c  
 4 ratio. Since, DDI traffic operation is a two-phase operation, the intersection capacity considered  
 5 here is 1850 vehicle/hr/lane [see Table 2 for details]. The intersection v/c ratio is compared with  
 6 predefined intersection v/c ratio as shown in Table 1 to obtain intersection LOS.

#### 7 4. Application and results

8 The developed formulation and methodology have been applied to evaluate I-44 at Route 13  
 9 interchange at Springfield, Missouri. It is the first DDI construction in United States of America  
 10 (USA). A detail VISSIM simulation based traffic operation analysis of this interchange could be  
 11 found in a report titled “Diverging diamond interchange performance evaluation (I-44 and Route  
 12 13)” (27). The analysis presented in the report was done based on peak hour (morning and  
 13 afternoon) traffic volume for years 2010 and 2035. A satellite image of the interchange obtained  
 14 from Google Maps is show in Figure 2 for reference. Route 13 is a north-south corridor with 2  
 15 through lanes and I-44 is the major interstate in the central USA. All ramps of this interchange  
 16 are single lane ramp. The off-ramps from I-44 have separate turn bays for left-turn and right-turn  
 17 movements. The arrows in Figure 2 show the number of lanes for each movement. Originally, it  
 18 was a diamond interchange, which was reconstructed to DDI in the year 2009.

19

20 In this example the intersection on the south side of the interchange could be considered  
 21 as Node A and the intersection on the north side as Node B. Based on the lane configuration, all  
 22 I-44 on-ramp movements have to merge into one lane before merging with I-44. Also, the right-  
 23 turn movements from the I-44 off-ramps to Route 13 does not have separate receiving lane.  
 24 Hence, the right-turn movements from the off-ramps have to merge with Route 13 through  
 25 traffic. The peak hour traffic volume details of year 2010 and 2035 are shown in Figures 3a and  
 26 3b, respectively. Traffic delay at the two nodes as presented in the report (26, which is shown in  
 27 Table 6. Based on HCM 2010 (28), these delay values are converted to intersection LOS and  
 28 presented in Table 6.



29

30 **FIGURE 2 Satellite image of I-44 and Route 13 interchange in Springfield, Missouri**

31

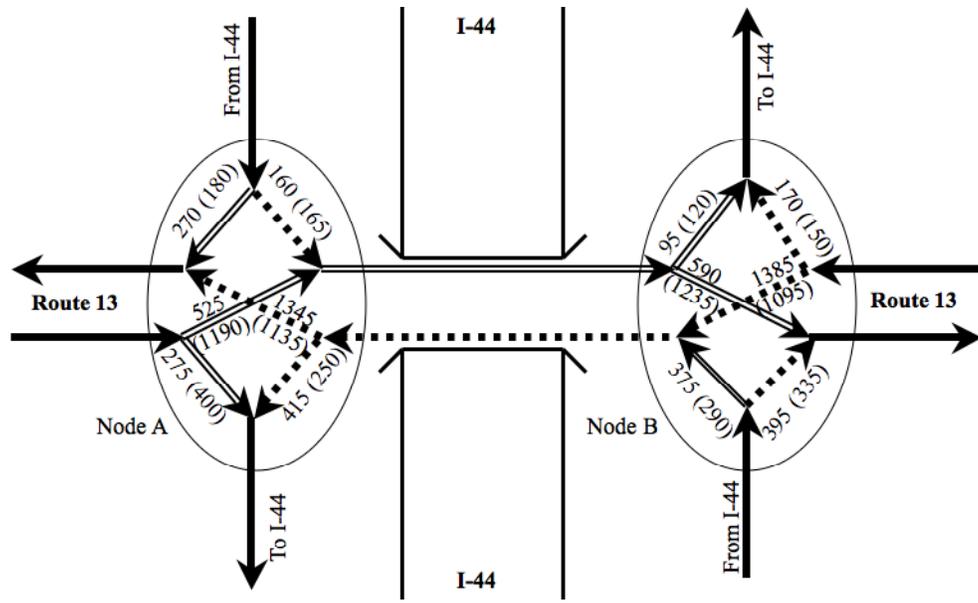


FIGURE 3a Year 2010 peak hour traffic volume for I-44 and Route 13 interchange

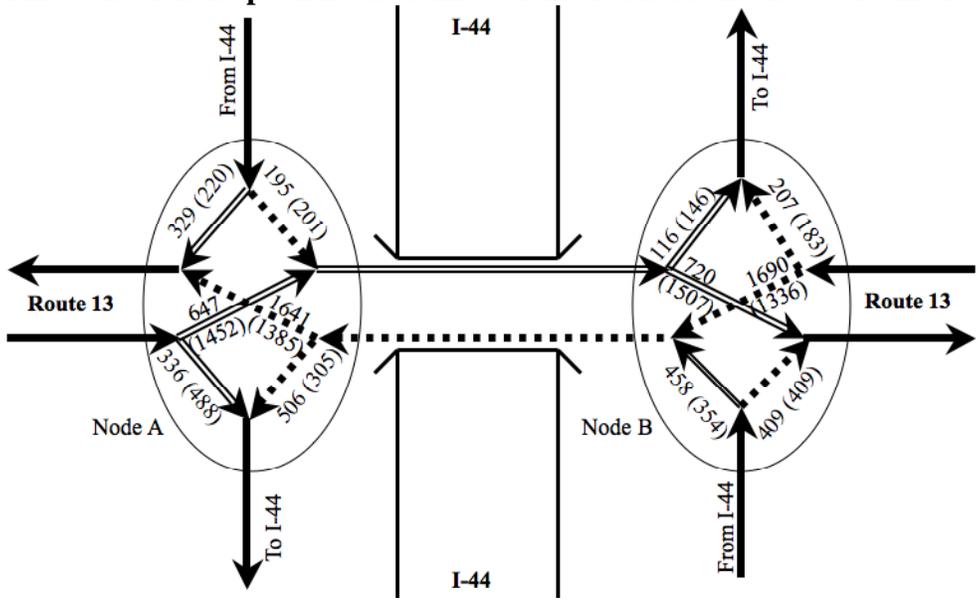


FIGURE 3b Year 2035 peak hour traffic volume for I-44 and Route 13 interchange

TABLE 6 Peak hour delay and LOS for the nodes of I-44 and Route 13 interchange

Node	Year 2010				Year 2035			
	AM		PM		AM		PM	
	Delay (sec/veh)	LOS						
A	17.1	B	22.6	C	26.1	C	34.7	C
B	17.6	B	19.6	B	37.5	D	53.8	D

All movements at Nodes A and B are typical and considered in CLV estimation as described in Eqs. 5 and 6, respectively. The movements considered in the CLV analysis along with peak hour traffic volume, number of lanes, lane utilization factor (LUF) and peak hour

equivalent traffic volume per lane are detailed in Table 7. Since, the intersections in DDI operate in two phases, the capacity of each intersection considered is 1850 veh/hr/lane. The CLV of the two intersections is estimated by plugging in the per lane traffic volume information from Table 7 to Eqs. 5 and 6. The intersection CLV is divided by the intersection capacity to obtain v/c ratio, which is compared with the v/c ratio in Table 1 for the intersection LOS. Intersection CLV, v/c ratio and LOS of Nodes A and B for year 2010 and 2035 are shown in Table 8.

**TABLE 7 Detail CLV estimation for year 2010 of I-44 and Route 13 interchange**

Movement	AM (PM) Volume (veh/hr)		# of lanes	LUF	AM (PM) Vol/lane (veh/hr/lane)	
	2010	2030			2010	2035
$LC_A$	525 (1190)	647 (1452)	2	0.60	315 (714)	388 (871)
$RC_A$	1345 (1135)	1641 (1385)	2	0.55	740 (624)	902 (762)
$LFR_A$	160 (165)	195 (201)	1	1.00	160 (165)	195 (201)
$RFR_A$	270 (180)	329 (220)	1	1.00	270 (180)	329 (220)
$LTR_A$	415 (250)	506 (305)	1	1.00	415 (250)	506 (305)
$RTR_A$	275 (400)	336 (488)	1	1.00	275 (400)	336 (488)
$LC_B$	1385 (1095)	1690 (1336)	2	0.60	831 (657)	1014 (802)
$RC_B$	590 (1235)	720 (1507)	2	0.55	325 (679)	396 (823)
$LFR_B$	375 (290)	458 (354)	1	1.00	375 (290)	458 (354)
$RFR_B$	395 (335)	409 (409)	1	1.00	395 (335)	409 (409)
$LTR_B$	95 (120)	116 (146)	1	1.00	95 (120)	116 (146)
$RTR_B$	170 (150)	207 (183)	1	1.00	170 (150)	207 (183)

**TABLE 8 CLV based intersection LOS for I-44 and MD 85 interchange**

Node	Year 2010						Year 2035					
	AM			PM			AM			PM		
	CLV	v/c	LOS	CLV	v/c	LOS	CLV	v/c	LOS	CLV	v/c	LOS
A	1055	0.57	A	1338	0.72	C	1290	0.70	C	1633	0.88	D
B	1206	0.65	B	1336	0.72	C	1472	0.80	D	1625	0.88	D

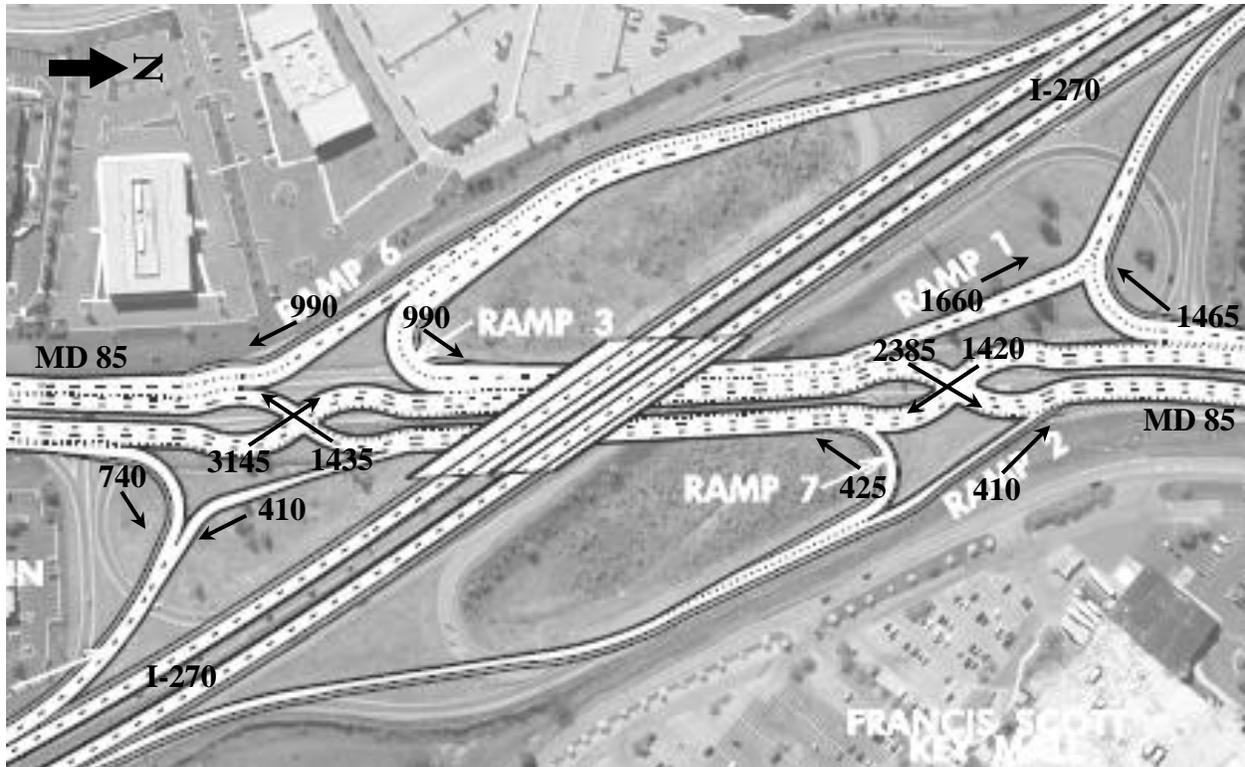
Note: CLV – Critical lane volume (vehicle/hr/lane)

The LOS result obtained using the CLV estimation methodology is comparable with the simulation results. There are three situations when the results differ. The simulation and CLV based LOS of Node A of year 2010 during morning peak period does not match, but are very close (B and A respectively). In this case, the v/c ratio from CLV analysis is 0.57, just 0.03 less than the minimum v/c ratio of 0.60 required for LOS B. In order to have synchronized traffic flow between the two nodes, the movements at Node A might be penalized with higher delay time and thus have LOS B in simulation based analysis. In the second and third situation (Node B – 2010 PM peak period and Node A – 2035 AM peak period) the delay time obtained from simulation analysis is very close to the tipping point where the LOS could be one grade higher to match with CLV based LOS. Hence, the exercise indicates the reliability and accuracy of the methodology and formulation developed for DDI traffic operations analysis that can be used in planning. The results obtain from the developed methodology is comparable with results from traffic operations analysis using VISSIM.

1           The developed methodology and formulation is also tested on an interchange planning-  
2 project. In this project the engineers analyzed different suitable conventional and unconventional  
3 alternatives for a possible solution. DDI was one of them. The location of this interchange is in  
4 Frederick County, Maryland and the two highways constituting the interchange are I-270 and  
5 MD 85. At present it is a partial cloverleaf-type interchange and require improvements by year  
6 2030 to accommodate multi-modal corridor along I-270 and growing demand along MD 85. The  
7 present traffic volume was projected to year 2030 for evaluation. The afternoon peak hour traffic  
8 was critical compared to morning peak hour traffic. Hence, the interchange was analyzed for  
9 afternoon peak period only. Detail lane configuration considered and projected traffic volume are  
10 shown in Figure 4.

11  
12           In this example the intersection on the south side of the interchange could be considered  
13 as Node A and the intersection on the north side as Node B. The right-turn and left-turn  
14 movements from MD 85 to I-270 on-ramp at Node A ( $RTR_A$  and  $LTR_A$ ) has separate receiving  
15 lanes and drivers can maintain their lanes till the ramp merges with the freeway. Also, the right-  
16 turn movement from I-270 off-ramp to MD 85 southbound ( $RFR_A$ ) has separate lanes which is  
17 maintained along with MD 85 southbound through lanes. Hence, these three movements are not  
18 considered in the CLV estimation of Node A. Even though, the left-turn movement from I-270  
19 off-ramp to MD 85 northbound at Node A ( $LFR_A$ ) has separate receiving lanes, this movement  
20 eventually merges with MD 85 northbound before Node B. Hence, this movement is considered  
21 in the CLV estimation of Node A. The movements at Node B are typical and considered in CLV  
22 as described in Equation 6 for the node. Table 9 provide details of the movements considered in  
23 the analysis along with afternoon peak hour traffic volume, number of lanes, LUF and equivalent  
24 traffic volume per lane.

25



1 **FIGURE 4 Lane configuration and PM peak hour traffic volume of I-270 and MD 85**  
 2 **interchange**  
 3  
 4

**TABLE 9 Details of critical lane volume estimation for I-270 and MD 85 interchange**

Movement	Volume (veh/hr)	# of lanes	LUF	Vol/lane (veh/hr/lane)	Remarks
$LC_A$	3145	3	0.40	1258	Considered as left-turn movement
$RC_A$	1435	2	0.55	789	Considered as right-turn movement
$LFR_A$	990	2	0.60	594	Considered as left-turn movement
$LC_B$	1420	3	0.40	568	Considered as left-turn movement
$RC_B$	2385	3	0.35	835	Considered as right-turn movement
$LFR_B$	425	1	1.00	425	-
$RFR_B$	410	1	1.00	410	-
$LTR_B$	1660	2	0.60	996	Considered as left-turn movement
$RTR_B$	1465	2	0.55	806	Considered as right-turn movement

5  
 6 Hence, the critical lane volume for Nodes A and B of I-270 and MD 85 interchange could be  
 7 estimated as follows:

8 
$$CLV_{NodeA} = \max(1258 + 789 + \max(594 - 789, 0), 0)$$
  

$$= 2047 \text{ veh/hr/lane}$$

9 
$$CLV_{NodeB} = \max(568 + 835 + \max(425 - 835, 410 - 568, 0), 996 + 806)$$
  

$$= 1802 \text{ veh/hr/lane}$$

1           The obtained CLV of the two nodes were divided by intersection capacity of 1850  
2 vehicle/hr/lane to estimate the v/c ratio (Node A - 1.11 and Node B - 0.97). The estimated v/c  
3 ratio is compared with the v/c ratio in Table 1 for intersection LOS. Based on the comparison,  
4 the LOS of Node A is F and Node B is E. A Synchro model was also developed to analyze the  
5 interchange. Synchro models provide measure of effectiveness based on HCM 2010 [27]  
6 intersection analysis procedure. The LOS obtained from Synchro model are F and C for Node A  
7 and Node B, respectively. Both CLV and Synchro analysis identified Node A as a failing  
8 intersection but had different results for Node B. The critical movements for Node B are  $LTR_B$   
9 and  $RTR_B$ . The maximum v/c ratio obtained from Synchro model is 0.92 for  $LTR_B$  and  $RTR_B$   
10 merge point. Though the LOS is different for the two analyses procedure, the v/c ratio is  
11 comparable. This exercise proves that the methodology developed and suggested in this paper  
12 can identify the failing locations which matches with result obtained from traffic operation  
13 analysis software Synchro.

## 14 **5. Conclusion**

15 The methodology and formulation presented in this paper is a quick, easy and reliable estimation  
16 tool to analyze a DDI. This method could be used to evaluate DDI as an alternative when the  
17 project is in planning. However, to do a detail operational analysis one should consider a traffic  
18 engineering simulation tool. The examples presented in this paper compare the result obtained  
19 from the proposed methodology with the results from traffic analysis software such as VISSIM  
20 and Synchro. The comparison is promising and thus proves the reliability of the proposed  
21 method. The proposed method could come handy in preliminary evaluation of DDIs before  
22 embarking into time and resource consuming traffic engineering simulation software.  
23 Interchanges like I-270 at MD 85 which fails in the CLV based approach, does not require any  
24 further analysis. On the other hand, interchanges like I-44 at Route 13 for which a better LOS is  
25 obtained using the CLV based analysis, should be further analyzed using simulation based  
26 software to fine-tune lane configuration, obtain signal timing, identify optimum distance between  
27 the nodes, manage queue efficiently and setting offset in signal timing for continuity in traffic  
28 flow. HCM 2010 has a quick analysis methodology for intersections, which is used for projects  
29 in planning to estimate intersection LOS. The proposed method could be compared with the  
30 HCM 2010 quick analysis methodology and adopted for preliminary analysis of a DDI. The  
31 proposed methodology also relies on the traffic engineers' knowledge and experience in traffic  
32 operations. Factors like lane utilization and intersection capacity should be studied and  
33 developed for each location. Accuracy of the result depends on these factors.

## 35 **Acknowledgement**

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37 Support Division of the Maryland State Highway Administration in developing the DDI analysis  
38 procedure.

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