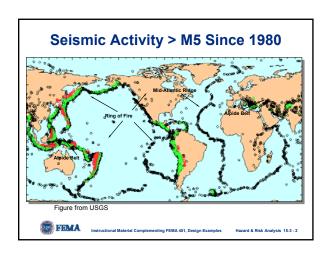
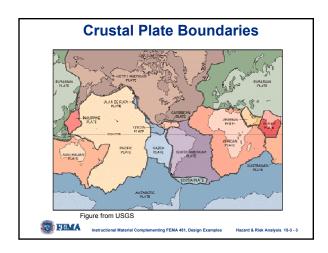
SEISMIC HAZARD AND SEISMIC RISK ANALYSIS • Seismotectonics

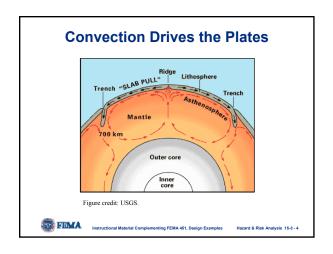
- Fault mechanics
- · Ground motion considerations for design
- · Deterministic and probabilistic analysis
- · Estimation of ground motions
- Scaling of ground motions and design and analysis tools (i.e., NONLIN)
- S EEMA

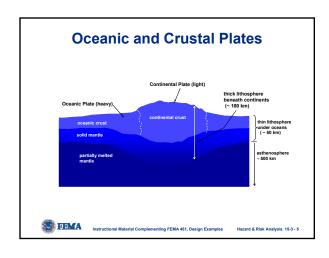
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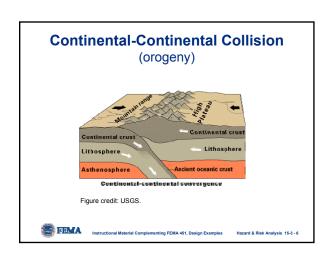
Hazard & Risk Analysis 15-3 - 1

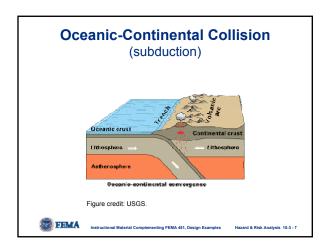














About 90% of the earth's seismicity occurs at plate boundaries on faults directly forming the interface between two plates. These are called plate-boundary or interplate earthquakes.

The other 10% occur away from the plate boundary, in the interior of plates. These are called intraplate earthquakes.



Plate-boundary Earthquakes

A plate-boundary (interplate) earthquake

is an earthquake that occurs along a fault associated with an active plate boundary. An example of this type of boundary is the San Andreas Fault in California.

⇒ Frequent occurrence, relatively well understood behavior, as per plate tectonic theory.



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San Andreas Fault - Well Known Plate **Boundary**



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Intraplate Earthquakes

An intraplate earthquake is an earthquake that occurs along a fault within the stable region of a plate's interior (SICR). Examples are the 1811-12 Madrid, MO earthquakes, the 1886 Charleston, South Carolina, earthquake, and, more recently, the Bhuj, India, earthquake in 2001.

⇒ Infrequent occurrence, poorly understood, difficult to study.



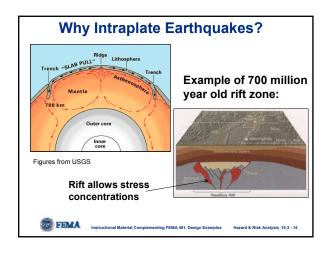
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Historical Large Intraplate Earthquakes * Largest historical earthquakes in contiguous United States occurred east of the Mississippi!! FEMA Instructional Material Complementing FEMA 451, Design Examples Hazard & Risk Analysis 15-3 - 12

Why Intraplate Earthquakes?

- Ancient "Rifts" very old fractures in crust related to previous episodes of continental spreading.
- "Weak Spots" heating up and thinning of lower crust such that the brittle-ductile transition (molten rock/crust boundary) migrates to a higher level. Because the overlying crust becomes thinner, stresses become more concentrated in the crust.

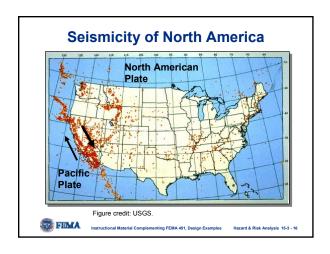


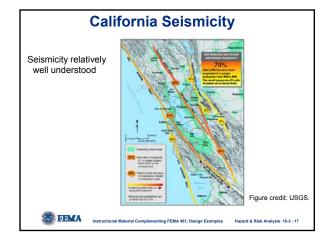


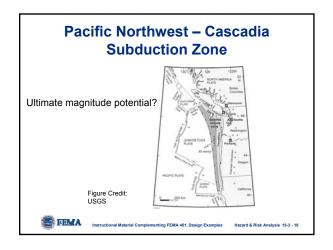
Why Intraplate Earthquakes?

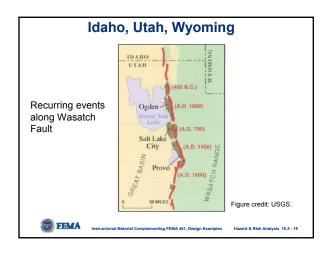
- Thermal destabilization -- sinking of mafic rock mass (rock mass of heavy minerals) into underlying molten rock. As mafic block sinks, stresses are concentrated in overlying crust. Process thought to be due to rock density anomalies combined with thermal processes.
- Other localized mechanisms? (meteor impact craters, etc.)

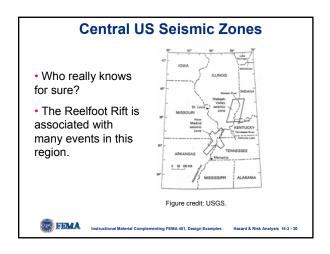


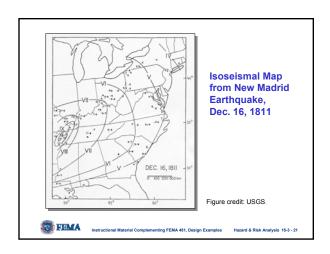


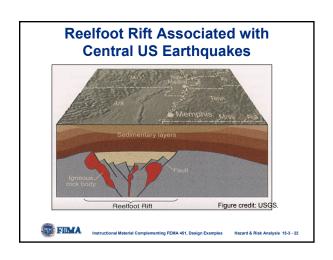


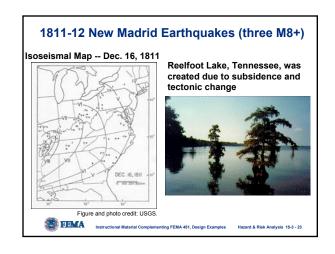


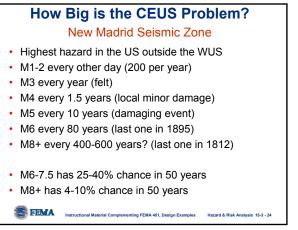








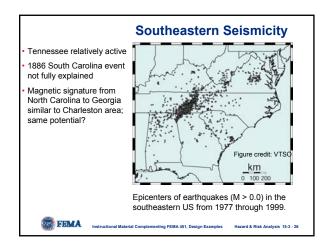


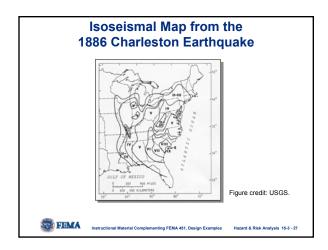


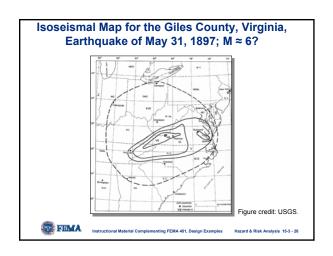
How Big Is the CEUS Problem?

- A recurrence of the New Madrid earthquake, postulated with a 4-10% probability in the next 50 years, has been estimated to cause a total loss potential of \$200 billion with 26 states affected.
- Approximately 2/3 of the projected losses will be due to interruptions in business operations and the transport of goods across mid-America.
- This economic loss is of the same order as that caused by the terrorist attacks of September 11, 2001 (NRC, 2003).





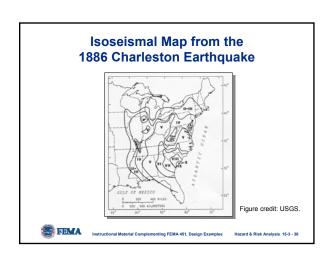


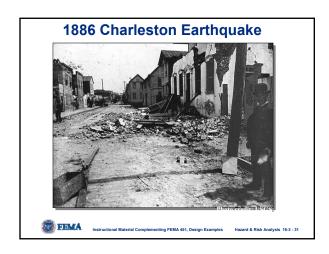


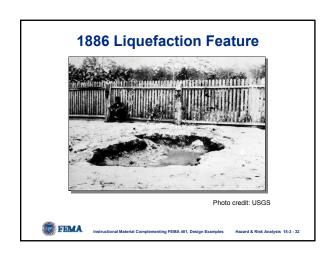
Recent Paleoseismological Studies

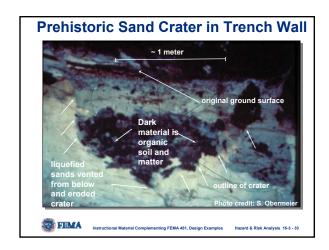
- Studies in the central and southeastern United States indicate recurring large prehistoric earthquakes – this has increased hazard
- Studies in Pacific Northwest debatable

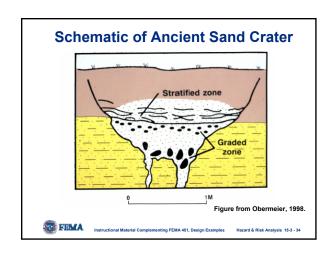












Ages of Earthquake-induced Liquefaction
Features Found in Charleston Region*

600 ybp

1250 ybp

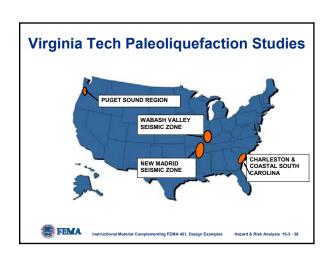
3250 ybp

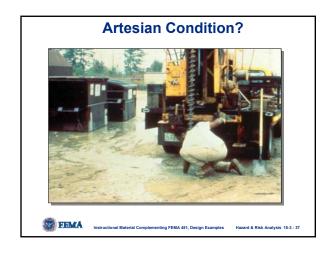
5150 ybp

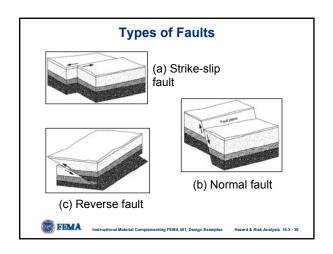
> 5150 ybp

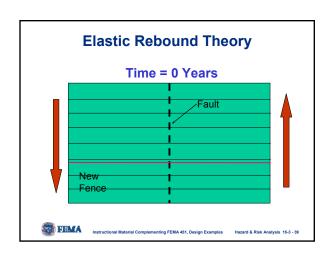
> \$150 ybp

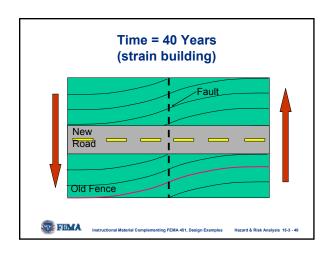
* Study led to increased seismic design values in South Carolina.

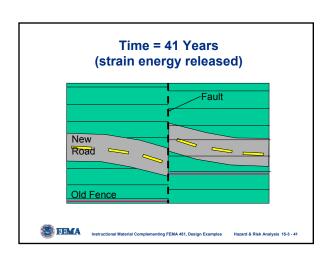


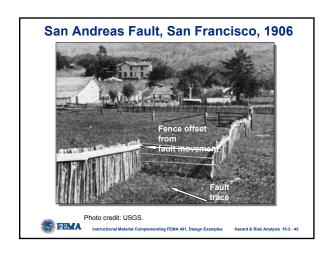




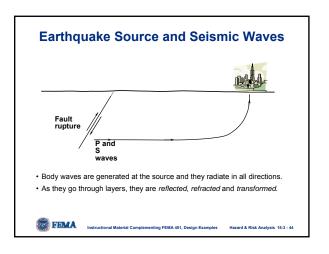


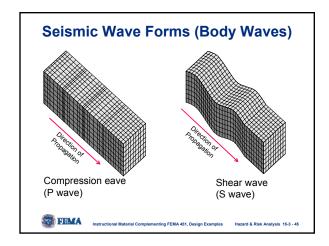


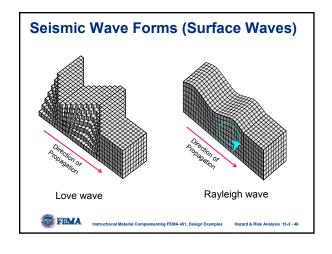


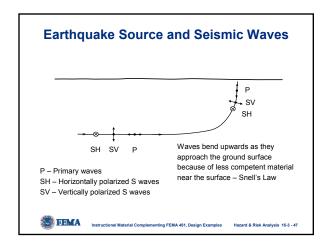


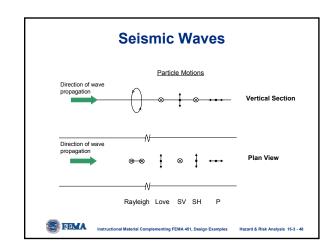
•Seismic Moment = $M_O = \mu A D$ [Units = Force x Distance] where: $\mu = \text{modulus of rigidity} \ (\sim 3.5 \times 10^{11} \text{ dynes/cm}^2 \text{ typical})$ $A = \text{fault rupture area} \ (W \times L);$ where typical L for big earthquake $\approx 100 \text{ km}$, and $W \approx 10 \text{ to } 20 \text{ km}$ $D = \text{fault displacement} \ (\text{typical} \approx 2 \text{ m for big quake})$ •Moment magnitude: $M_W = 2/3 (\text{Log}_{10} \ M_O / 1.5) \ 10.7$

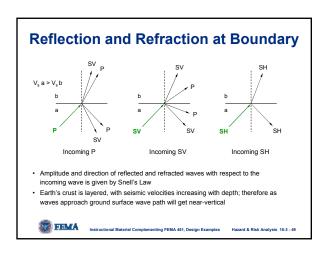


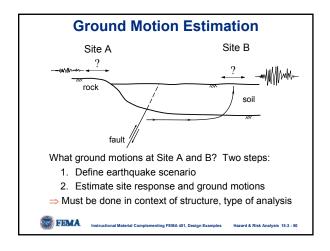


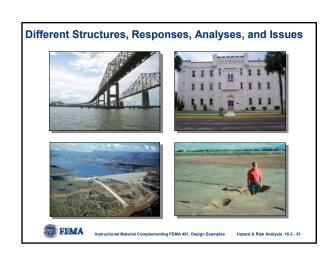


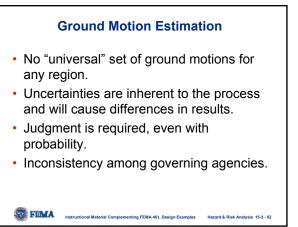




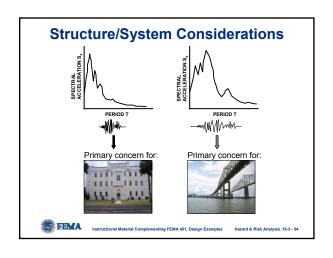






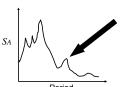


Ground Motion Estimation Two analyses using same models and basic parameters can give different answers (EPRI vs. NRC/LLNL studies in 1980s). Where time and effort are focused during the process is function of structure/system being analyzed. Not possible to predict actual motion that will occur at a site; mainly concerned with capturing characteristics important to performance of project. Seismologist and engineers must have continuous feedback!



Structure/System Issues

Place emphasis on issues important to the specific project.

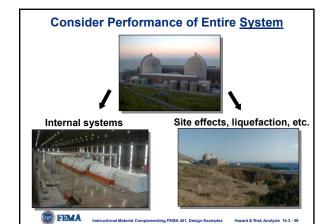


Also, think in terms of system performance.

Period

Example: If this is not an important part of the spectrum,

do not spend extra time and effort on issues that affect this.



Structure/System Considerations

- Type of structure (building, embankment dam, etc.)
- Type and purpose of analysis (linear elastic? history? liquefaction?)
- · Parameters that are important (pga? duration?)
- Typical process: seismologist ⇒ geotech engineer ⇒ structural engineer
- Seismologists and end user must be closely involved with continuous feedback
- Selection of earthquake scenario is most important task - (do not want precise analysis of inaccurate



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Seismic Hazard and Seismic Risk

<u>Seismic hazard evaluation</u>⇒ involves establishing earthquake ground motion parameters for use in evaluating a site/facility during seismic loading. By assessing the vulnerability of the site and the facility under various levels of these ground motion parameters, the seismic risk for the site/facility can then be evaluated.

- · Seismic hazard the expected occurrence of future seismic events
- Seismic risk the <u>expected consequences</u> of future seismic events



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Approaches to Seismic Hazard Analysis

Deterministic:

"The earthquake hazard for the site is a peak ground acceleration of 0.35 g resulting from an earthquake of magnitude 7 on the Woodstock Fault at a distance of 18 miles from the site.

Probabilistic:

"The earthquake hazard for the site is a peak ground acceleration of 0.25 g, with a 2 percent probability of being exceeded in 50 years."

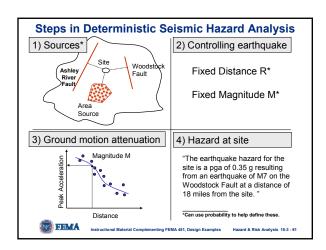


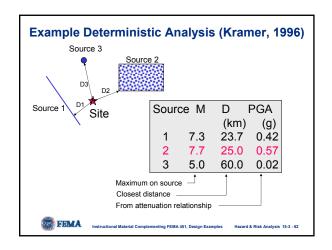
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Deterministic Hazard Analysis

- · Identify and characterize source zones that may produce significant ground shaking at the site
- · Determine the distance from each source zone to the site
- Select the controlling earthquake scenario(s)
- · Calculate the ground motions at the site using a regional attenuation relationship







Advantages of Deterministic Approach

- Analysis is relatively "transparent"; effects of individual elements can be understood and judged more readily.
- Requires less expertise than probabilistic analysis.
- · Anchored in reality.



Disadvantages of Deterministic Approach

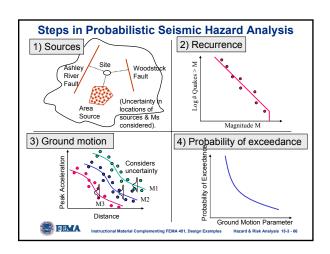
- Does not consider inherent uncertainties in seismic hazard estimation (i.e., maximum magnitude, ground motion attenuation).
- Relative likelihood of events not considered (EUS vs. WUS); therefore, inconsistent levels of risk.
- Does not allow rational determination of scenario design events in many cases.
- · More dependent upon analyst.

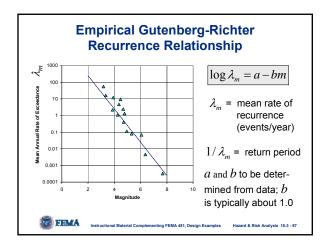


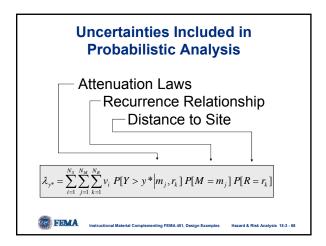
Probabilistic Seismic Hazard Analysis

- ⇒ Considers where, how big, and how often.
- Identify and characterize source zones that may produce significant ground shaking at the site including the spatial distribution and probability of eq's in each zone.
- Characterize the temporal distribution and probability of earthquakes in each source zone via a recurrence relationship and probability model.
- Select a regional attenuation relationship and associated uncertainty to calculate the variation of ground motion parameters with magnitude & distance.
- Calculate the hazard by integrating over magnitude and distance for each source zone.









We Commonly Use Two Approaches to Predict the Likelihood of Earthquakes

- Time-independent (Poisson Model)
- Time-dependent Models



Poisson Model

- The simplest, most used model for earthquake probability.
- It is a time-independent model -- the probability that an earthquake will occur in an interval of time starting from now does not depend on when "now" is, because a Poisson process has no "memory."



Poisson Distribution (general form)

$$P(X = k) = \frac{(\lambda t)k e^{-(\lambda t)}}{k!}$$

where λ = rate (events/year) t = exposure interval k = no. of events

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Poisson Distribution (for one event)

$$P = 1 - e^{-\lambda t}$$

where $\lambda = \text{rate (events/year)} \leftarrow \text{key!!}$ t = exposure interval $1/\lambda = \text{return period}$

Poisson Model

 Note that the probabilistic earthquake risk level can be put in the form of an earthquake return interval:

Earthquake Return Period = t/-ln(1-PE)

		Return
<u>PE</u>	<u>t</u>	Period
10%	50 yrs.	475
5%	50 yrs.	975
2%	50 yrs.	2475

Note that when the exponent of the equation, λt , is small, then $P \approx \lambda t$.



Example-Poisson Model

Is a 2%/50-year event the same as a 10%/250year event?

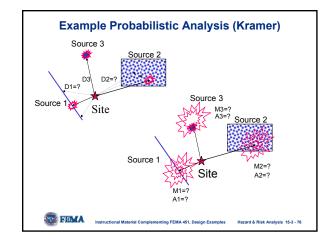
- For 2%/50 years, we have 50/(-ln(1-0.02))= 2,475 year return period
- For 10%/250 years, we have 250/(-ln(1-0.10))= 2,372 year return period
- ⇒ These events (probabilities) are not exactly equal, but are "equal" from design standpoint.

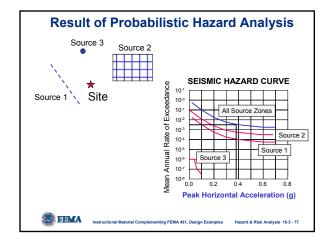


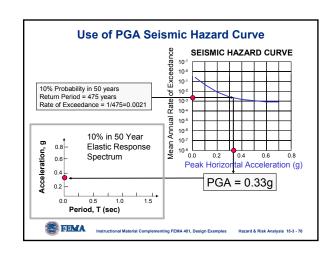
Time-Dependent Models

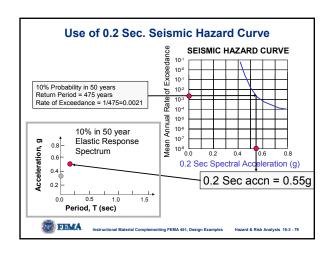
- Used less than simpler Poisson model
- Time-dependent means that the probability of a large earthquake is small immediately after the last, and then grows with time.
- Such models use various probability density functions to describe the time between earthquakes including Gaussian, log-normal, and Weibull distributions.

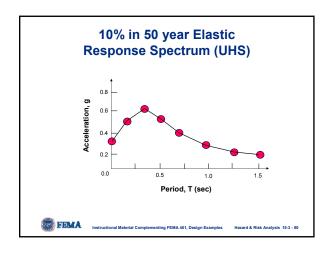


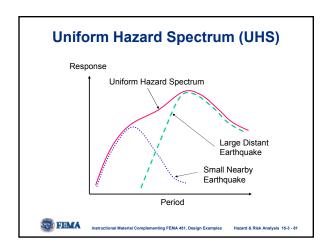












Uniform Hazard Spectrum

- · Developed from probabilistic analysis.
- Represents contributions from small local and large distant earthquakes.
- May be overly conservative for modal response spectrum analysis.
- May not be appropriate for artificial ground motion generation, especially in CEUS.



Advantages of Probabilistic Approach

- Reflects true state of knowledge and lack thereof.
- Consider inherent uncertainties in seismic hazard estimation (i.e., maximum magnitude, ground motion attenuation).
- Considers likelihood of events considered; basis for consistent levels of risk established.
- Allows more rationale comparison among many scenarios and to other hazards.
- Less dependent upon analyst.



Disadvantages of Probabilistic Approach

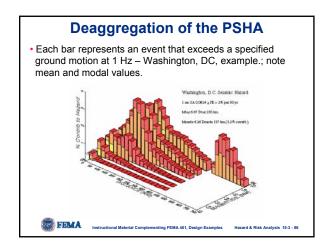
- Analyses are not transparent; the effects of individual parameters cannot be easily recognized and understood.
- "Quantitatively seductive" encourages use of precision that is out of proportion with the accuracy with which the input is known.
- · Requires special expertise.
- May provide unrealistic scenarios (i.e., probabilistic design event could correspond to location where actual fault does not exist)
- Analyst still has big influence (methods, etc.).

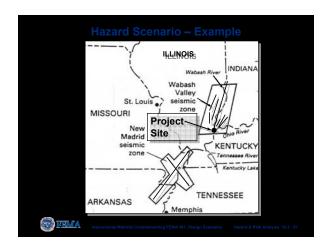


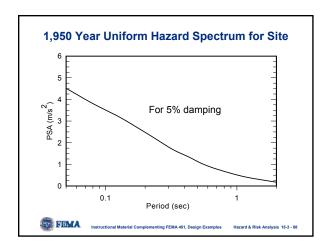
Probabilistic vs. Deterministic

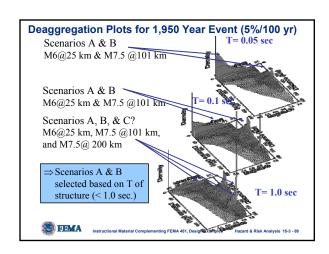
- Results of probabilistic and deterministic analyses are often similar in the WUS; not true for CEUS.
- Deterministic scenarios typically very difficult to define in CEUS.
- Best to use integrated or hybrid method that combines both approaches.

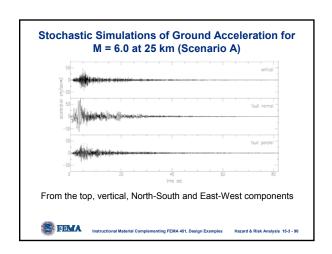


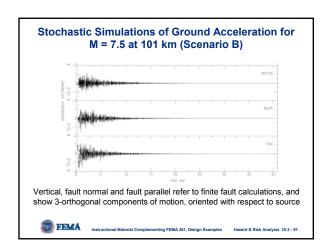












Discussion of Selected Scenarios A & B

- What kind of analysis to be performed?
- Is duration important, or just pga?
- Basic question: "Does it matter which event caused motions to be exceeded?"
- Seismologist and end user should be closely linked from the beginning!!



National Seismic Hazard Maps

- · Developed by U.S. Geological Survey.
- · Adopted (almost exactly) by building codes and reference standards (i.e., IBC2003) and, therefore, very important!!!
- Based on probability ⇒ maps show contours of maximum expected ground motion for a given level of certainty (90%, 98%, etc.) in 50 years; or, said differently, contours of ground motions that have a common given probability of exceedance, PE, in 50 years (10%, 2%,

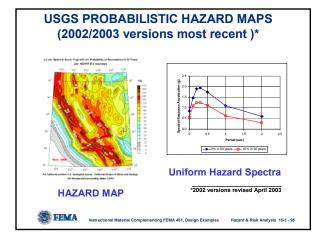
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Earthquake Probability Levels

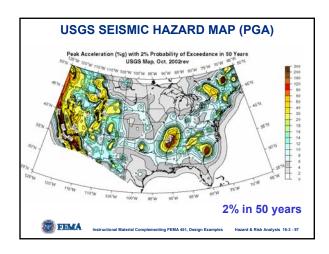
- Note that the term "2500 year earthquake" does not indicate an event that occurs once every 2,500 years!
- Rather, this term reflects a **probability**, that is, the earthquake event that has a probability of 1 in 2500 of occurring in one year.
- For instance, the "100-year flood" can actually occur several years in a row or even several times in one year (as occurred in the 1990s in Virginia).

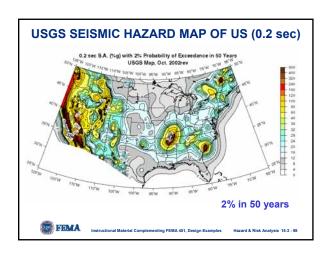


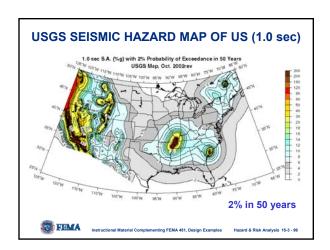
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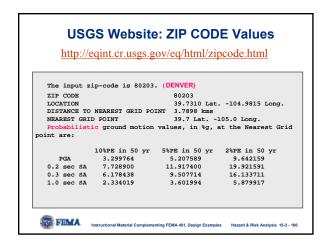


USGS PROBABILISTIC HAZARD MAPS (and NEHRP Provisions Maps) Earthquake Spectra Theme Issue: Seismic Design Provisions and Guidelines Volume 16, Number 1 February, 2000 FEMA Instructional Material Complementing FEMA 451, Design Examples Hazard & Risk Analysis 15-3 - 96









USGS Seismic Hazard Maps

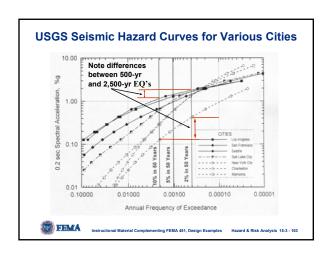
- Hazard in some areas increased relative to previous maps due to recent studies.
- Maps developed for motions on B-C soil boundary (soft rock).
- Maps do not account for regional geological effects such as deep profiles of unconsolidated sediments— this is big effect in CEUS (i.e., in Charleston ~1 km thick).
- New 2002 versions of maps revised in April 2003.

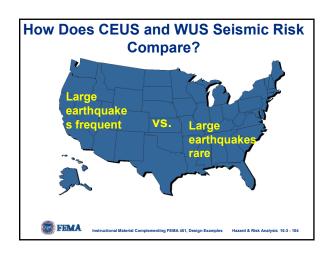


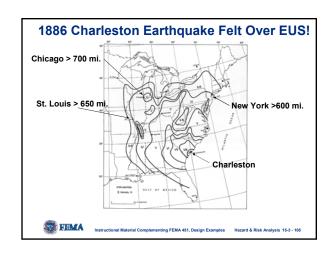
National Seismic Hazard Maps Uses:

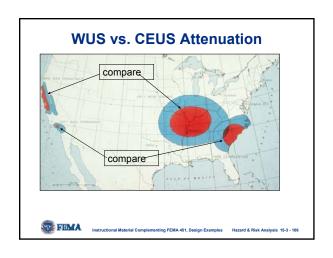
- can illustrate relative probability of a given level of earthquake ground motion of one part of the country relative to another.
- illustrate the relative demand on structures in one region relative to another, at a given probability level.
- as per building codes, use maps as benchmark to determine the resistance required by buildings to resist damaging levels of ground motion.
- with judgment and sometimes special procedures, use maps to determine the input ground motions for geotechnical earthquake analyses (liquefaction,etc.)

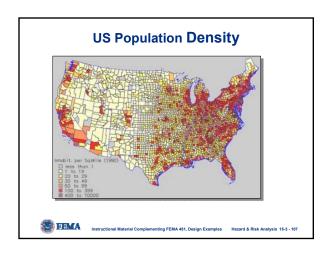


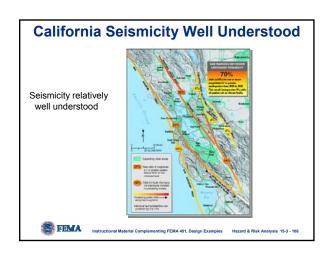


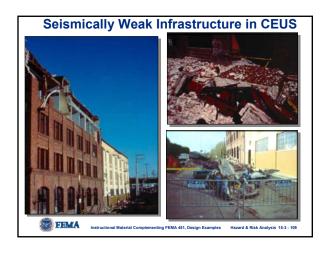








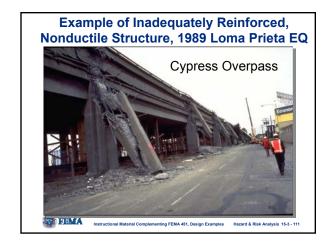




WUS and CEUS Risk Comparison

- CEUS has potential for recurring large earthquakes
- Attenuation lower in CEUS
- · Weak structures not "weeded out" in CEUS
- "Adolescent" seismic practice in CEUS
- · "Human inertia" in CEUS
- · Much more uncertainty in CEUS
- Bottom line ⇒ seismic <u>risk</u> in CEUS and WUS is comparable!











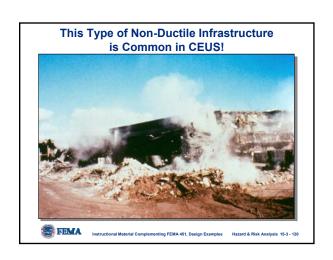












WUS and CEUS Risk Comparison Summary

- · CEUS has potential for recurring large EQs
- · Attenuation lower in CEUS
- · Abundance of weak, non-ductile structures in CEUS; weakest not "weeded out"
- · Immature seismic practice in CEUS
- · "Human inertia" in CEUS; little awareness
- · Much more uncertainty in CEUS
- · Areas with poor soils in CEUS
- Bottom line ⇒ seismic <u>risk</u> in CEUS and WUS is comparable!



Issues To Think About

- Good analogy ⇒ Kobe is to Tokyo, as CEUS is to the WUS
- Kobe M6.9 (> \$120 billion losses); weaker infrastructure, poor soil conditions
- Remember ⇒ most expensive US natural disaster (Northridge, EQ ~\$30 billion) was moderate earthquake on minor fault on fringe of Los Angeles



Estimation of Ground Motions fault rupture P and S Instructional Material Complementing FFMA 451, Design Examples Hazard & Risk Analysis 15-3 - 123

Estimation of Ground Motions

We typically need one or more of these:

- Peak ground motion parameters (peak ground accelerations, peak velocities); or, duration.
- Spectral parameters (response spectra, Fourier spectra, uniform hazard spectra)
- Time history of acceleration, velocity, etc. ⇒ needed for advanced and/or specialized analyses.
- · We typically need these parameters for ground surface



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Ground Motions at a Site Are Related To:

- Source conditions
 – amount of energy released, nature of fault rupture, etc.
- · Path effects anelastic attenuation, geometrical spreading, etc.
- · Site effects site response, soil amplification, etc.



Instructional Material Complementing FEMA 451, Design Examples Hazard & Risk Analysis 15-3 - 125

Source Conditions Include:

- Stress drop
- · Source depth
- Size of the rupture area
- · Slip distribution (amount and distribution of static displacement on the fault plane)
- · Rise time (time for the fault slip to complete at a given point on the fault plane)
- Type of faulting
- Rupture directivity



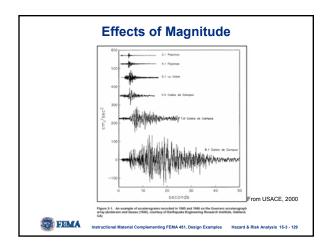
Transmission Path Includes:

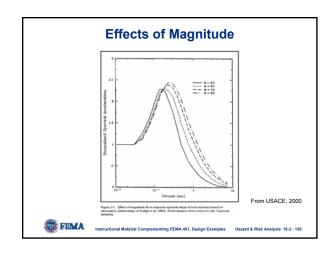
- · Crustal structure
- Shear-wave velocity (or Q) and damping characteristics of the crustal rock

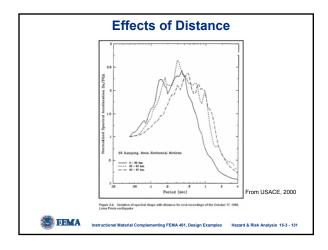


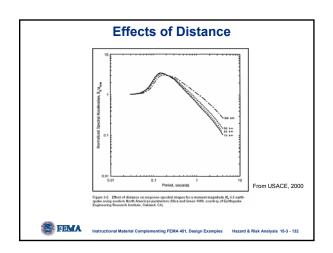
Site Conditions Include:

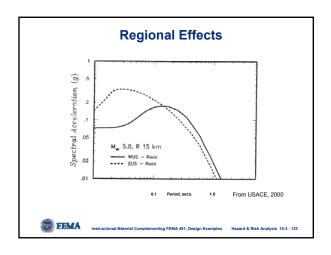
- Rock properties beneath the site to depths of up to about 2 km (hard crystalline rock)
- Local soil conditions at the site to depths of up to several hundred feet (typically)
- · Topography of the site

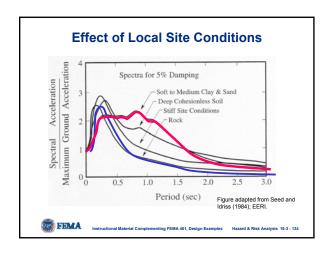












Special Near-source Effects

"Near-source" can be interpreted differently. For many engineering applications, a zone within about 20 km of the fault rupture is considered near-source. Other cases near-source is considered within a distance roughly equal to the ruptured length of the fault; 20 to 60 km typical

Near-source effects:

- Directiviity
- Fling
- · Radiation pattern



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Important Near-Fault Effects

Two Causes of large velocity pulses:

- Directivity
- Fling



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Causes of Velocity Pulses

Directivity:

- · Related to the direction of the rupture front
 - Forward directivity: rupture toward the site (site away from the epicenter)
 - Backward directivity: rupture away from the site (site near the epicenter)

Fling:

Related to the permanent tectonic deformation at the site

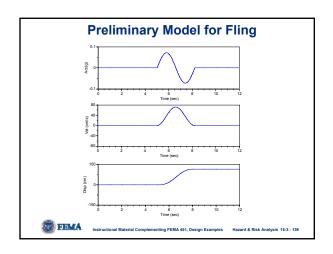


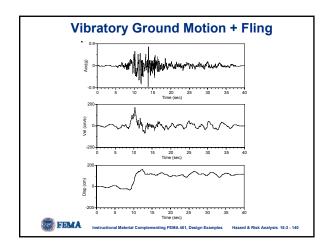
Instructional Material Complementing FEMA 451, Design Examples Hazard & Risk Analysis 15-3 - 137

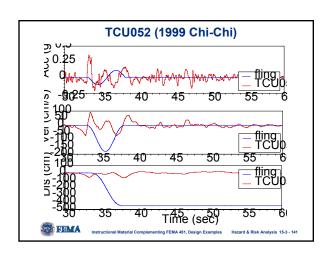
Velocity Pulses

- Directivity
 - Two-sided velocity pulse due to constructive interference of SH waves from generated from parts of the rupture located between the site and epicenter; affects fault-normal component
 - Occurs at sites located close to the fault but away from the epicenter
- - One-sided velocity pulse due to tectonic deformation; affects fault-parallel component
 - Occurs at sites located near the fault rupture independent of the epicenter location





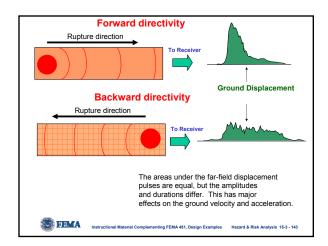


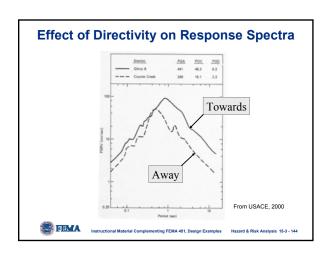


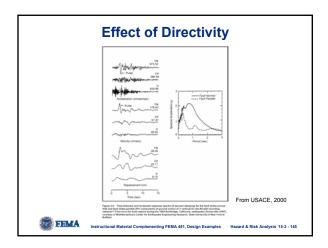
Effects of Fling

- Not currently known which types of structures are sensitive to fling ground motions.
- Preliminary results indicate some longspan structure may be sensitive to fling.
- Need to evaluate various types of structures to ground motions with and without fling to determine the effect.



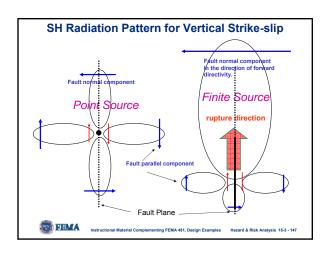






Effects of Fling and Directivity

- Directivity can cause amplification of motions for sites close to the fault rupture.
- Unclear as to engineering significance of fling.
- Current attenuation relations do not include these effects.



Other Important Effects

- Also, vertical motions tend to be higher than 2/3 maximum horizontal motions when near-source.
- Subduction zone EQs vs. shallow EQs
- · Topographical effects (especially basins).
- Surface waves may be important for certain long-span structures (relative motion among supports).
- Others...



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Three Classes of Methods for Ground Motion Estimates

- Generalized, simplified (i.e., IBC2003)
- Site-specific, simplified (i.e., attenuation curves, site amplification factors)
- Site-specific, rigorous (time history) analysis)



Generalized, Simplified (i.e., IBC 2003)

- · Simple to use.
- · Based on probabilistic maps.
- Does not account for regional geological effects (maps assume standard depth for B-C boundary and profile layering) ⇒ in WUS, B-C boundary is shallow bedrock, but in some CEUS areas the B-C boundary is deep as 1 km.
- Accounts for local site effects in general manner- cannot handle special site conditions.
- Not well-suited to many geotechnical analyses (no magnitude, UHS approach, etc.).



IBC 2003 - Overview

- Developed from a combination of three legacy model codes (UBC, BOCA, & SBC).
- Based largely on FEMA 368 and 369, NEHRP Recommended Provisions and Commentary.
- Adopted in 45 states (as of July 2004) and by the DoD.
- Incorporates most recent (2002/2003) USGS seismic hazard maps; USGS map values capped in some areas by IBC 2003.



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Hazard & Diek Analysis 15.3 - 151

IBC 2003 - General Procedure

- Maximum Considered Earthquake (MCE) based on 2002/2003 USGS probabilistic hazard maps (deterministic limits used in high seismicity areas – here hazard can be driven by tails of distributions).
- Maps provide and spectral accelerations for T = 0.2 sec (S_s) , and T = 1.0 sec (S_1) for B-C boundary.
- * Local soil conditions considered using site coefficients (F $_{\!a}$ and F $_{\!v})$
- Develop design spectrum using S and F values



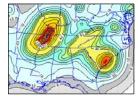
nstructional Material Complementing FEMA 451, Design Examples

IBC 2003 – General Procedure

Determine S_s and S₁ from the maps

S_s (0.2 sec) map

S₁ (1.0 sec) map







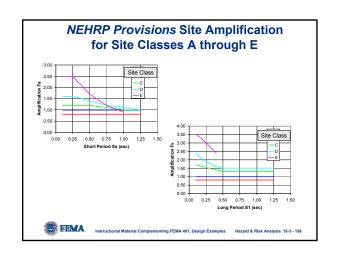
Instructional Material Complementing FEMA 451, Design Examples Hazard & Risk Analysis 15-3 - 153

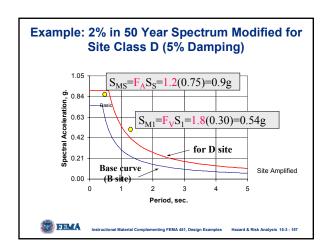
IBC 2003 - General Procedure

• Determine site class based on top 30 m:

		AVERAGE PROP	ERTIES IN TOP 100 feet, AS PER	SECTION 1615.1.5
SITE	SOIL PROFILE NAME	Soil shear wave velocity, V _E , (15%)	Standard penetration resistance, N	Soil undrained shear strongth, S _p , (pat)
A	Hard rock	V, > 5,000	Not applicable	Not applicable
В	Rock	2,500 < v̄, ≤ 5,000	Not applicable	Not applicable
C	Very dense soil and soft rock	$1,200 < \overline{\nu}_i \le 2,500$	N > 50	$\vec{x}_i \ge 2,000$
D	Stiff soil profile	600 ≤ v, ≤ 1,200	15 ≤ N ≤ 50	$1,000 \le \overline{x}_s \le 2,000$
E	Soft soil profile	V, < 600	R<15	F _e < 1,000
E	-	Plasticity index PI > 2 Moisture content w ≥ 4 Undrained shear streng	10%, and	
r	-	Soils vulnerable to pot liquefiable soils, quick Peats and/or highly or where H = thickness o	panic clays ($H > 10$ feet of pe f soil) sys ($H > 25$ feet with plasticit	r seismic loading such as flapsible weakly cemented soil at and/or highly organic clay

		ECTIVAL DESPONDEN	CCELERATION AT SH	ORT PERIODS (S ₃) ³	
SITE		MAPPED SPECTRAL F	ESPONSE ACCELERATE	ON AT SHORT PERIODS	
CLASS	8 ₅ x 0.25	S ₁ = 0.50	S ₀ = 0.75	S ₁ = 1.00	S ₁ × 1.25
A	0.8	0.8	0.8	0.8	0,8
В	1,0	1.0	1.0	1.0	1.0
C	1.2	1.2	1.1	1.0	1.0
D	1.6	1.4	1.2	1.1:	1.0
E	2.5	1.7	1.2	0.9	Note b
F	Note b	Note b	Note b	Note h	Note h
	rpolation for intermediate v mical investigation and dyn	alses of mapped spectral a unic site response analyse TABLE 1	eccleration at short period a shall be performed to det 615.1.2(2)	, S _p ermine appropriete values.	11010
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SITE CLASS	profession for insurrandiate v mical investigation and dyn VALUES OF AND MAPPED SPE 5, s.0.1 0.8	alues of mapped spectral a unic alter response analyse TABLE 1 SITE COEFFICIENT F GTRAL RESPONSE AS MAPPED SPECTRAL R S, + 0.2 0.8	cockration at short period shall be performed so del shall be performed so del 615.1.2(2) VAS A FUNCTION OF CELERATION AT 1 SEEPONSE ACCELERATION 5, x 8.3 0.3	Sp. SSTE CLASS SCOND PERIOD (S.) ⁸ N AT 1 SECOND PERIOD S1 = 0.4 0.8	5, x 0.5 0.8
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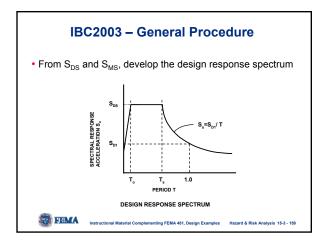
IBC 2003 - General Procedure

 Adjust MCE values of S_s and S₁ for local site effects:

$$S_{MS} = F_a \cdot S_s$$
 $S_{M1} = F_v \cdot S_1$

· Calculate the spectral design values S_{DS} and S_{D1} :

$$S_{DS} = 2/3 \cdot S_{MS} \quad S_{D1} = 2/3 \cdot S_{M1}$$



Scaling of Spectra by 2/3 for "Margin of Performance"

- Design with current 2%/50-yr. maps but scale by 2/3.
- · Buildings designed according to current procedures assumed to have margin of collapse of 1.5.
- Judgment of "lower bound" margin of collapse given by current design procedures.
- Results in 2/3 x 1.5 = 1.0 deterministic earthquake (where applicable).
- 2/3 (2500-yr. EQ) = 500-year motions in WUS, but 2/3 (2500-yr. EQ) ≈ 1600-year motions in EUS
- 2/3 factor not related to geotechnical performance!

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Three Classes of Methods for **Ground Motion Estimates**

- Generalized, simplified (i.e., IBC 2003)
- Site-specific, simplified (i.e., attenuation curves, site amplification factors) \leftarrow
- Site-specific, rigorous (time history) analysis)

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Site-Specific, Simplified

- · Relatively simple (chart-based procedures).
- Based on probabilistic motions or deterministic scenarios.
- Can account for regional geological effects (within 2 km of surface; USGS maps assume standard depth for B-C boundary and hard rock).
- Accounts for local site (within few hundred feet of surface) effects in simplified, but more specific manner.
- Better-suited to many geotechnical analyses.

Site-Specific, Simplified: Comments

- Note IBC 2003 limits site-specific "benefit" (in terms of reduced design) motions to 20% for A-E sites.
- · Site-specific analysis in some CEUS area less than probabilistic maps values; opposite may be true in WUS.



Site-Specific Simplified Procedures

Typical deterministic scenario:

- Knowing fault location and earthquake magnitude, estimate ground motion parameter (i.e, pga or spectral values) for hard rock from attenuation relationships.
- 2. If appropriate, correct for regional geological conditions such as deep unconsolidated sediments (Vs >700m/s and typically within 2 km of surface)
- Modify motions for near-surface soils (V_s < 700 m/s and within few hundred of surface)*

*covered in detail in a following lecture.



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1. Estimating Motions on Hard Rock

- · Typically use region-specific attenuation curve (but can use probabilistic maps also).
- Curves developed from empirical data from recorded motions in most regions.
- Curves in CEUS developed from few small EQs, plus stochastic simulations using methods developed in WUS but with CEUS geological parameters (Q, stress drop, etc.).
- Most curves provide PGA, PGV, and spectral values.



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Basic Empirical Relationships $\ln \hat{Y} = \ln b_1 + f_1(M) + \ln f_2(R) + \ln f_3(M, R) + \ln f_4(P_i) + \ln \varepsilon$

Ground Motion Attenuation

 \hat{Y} Ground Motion Parameter (e.g. PGA)

b₁ Scaling factor

 $f_1(M)$ Function of Magnitude

 $f_2(R)$ Function of Distance

 $f_3(M,R)$ Function of Magnitude and Distance

 $f_4(P_i)$ Other Variables

ε Error Term



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Ground Motion Attenuation Relationships for Different Conditions

- Central and Eastern US
- Subduction Zone Earthquakes
- Shallow Crustal Earthquakes
- Near-Source Attenuation
- Extensional Tectonic Regions
- · Many Others
- · Most are for hard rock, some for "soil"

May be developed for any desired quantity (PGA, PGV, Spectral Response)

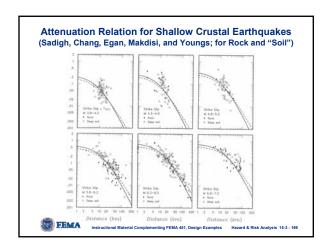


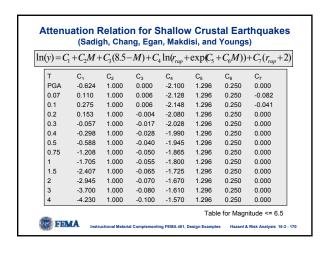
Instructional Material Complementing FEMA 451, Design Examples Hazard & Risk Analysis 15-3 - 167

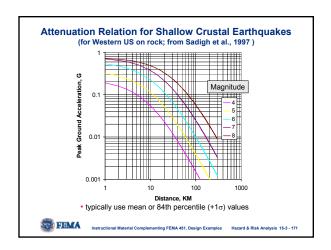
Ground Motion Attenuation Relationships

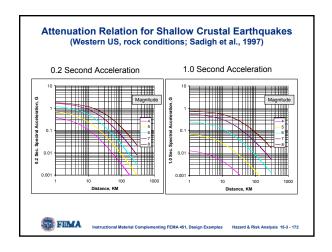
Seismological Research Letters Volume 68, Number 1 January/February, 1997









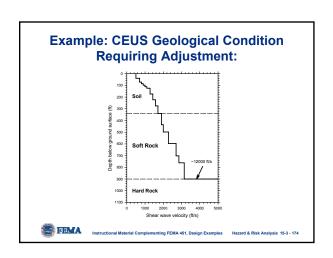


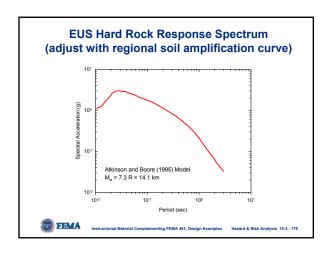
2. Adjustment for Regional Geology

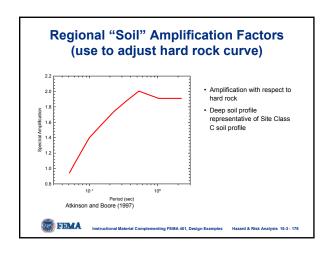
In some regions, the presence of deep unconsolidated sediments ("soil" to geologists, "soft rock" to engineers; Vs \approx 700 m/s) require correction of hard rock values for these conditions. Can use:

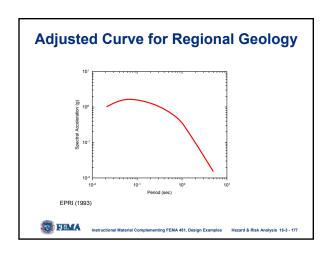
- Regional correction curve to adjust hard rock curve; or,
- A "soil" attenuation curve in Step 1 that already includes the effect of the "soil" as soil attenuation curve. In this case, the correction here for Step 2 is not required.

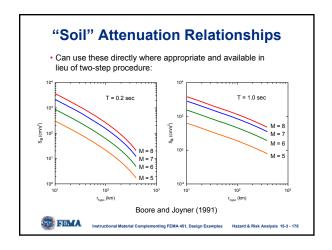


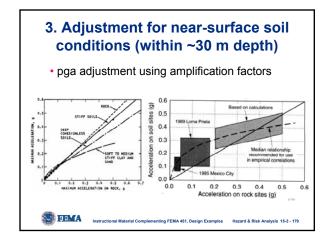


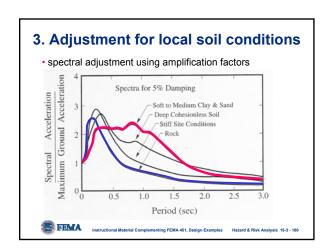






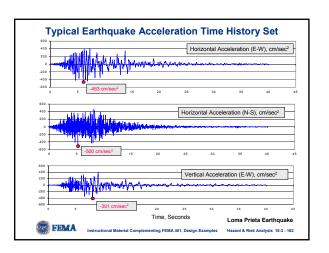






Three Classes of Methods for Ground Motion Estimates

- Generalized, simplified (i.e., IBC 2003)
- · Site-specific, simplified (i.e., attenuation curves, site amplification factors)
- Site-specific, rigorous (time history)



Time History analyses

- Allows best possible analysis (usually)
- Increasing in usage
- Time histories can be obtained from:

Databases of recorded motions such as

- National and state data catalogs (NSMDS)
 - USGS web page
- other sources (i.e., NONLIN)

By developing the motions using

- modified recorded motions
- synthetic motions

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Obtaining Time Histories

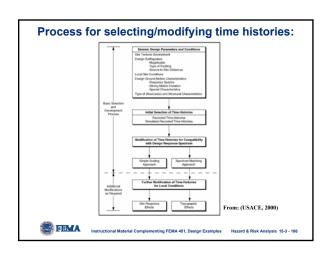
Conditions for which there are few records available:

- Moderate to large earthquakes in CEUS
- · Large-magnitude (8+) shallow crustal events
- Near-source, large-magnitude (7.5+) events

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Time History Analysis

- Objective: develop a set or sets of timehistories, usually acceleration time histories, that are representative of site ground motions for the design earthquake(s)* <u>and</u> that are appropriate for the type of analyses planned.
- Will not be able to predict actual motions, rather interested in <u>representing</u> characteristics most important for design.
- Discussed earlier. The design earthquake can be from deterministic or probabilistic analysis; but, if probabilistic, the uniform hazard spectrum should probably not be used as the target spectrum. Rather, deterministic scenarios should be developed from deaggregation of the PSHA.



How many time histories are needed for a typical analysis?

- For linear analysis, typically 2 or 3 (linear system is more influenced by frequency-domain aspects of motion)
- For non-linear analysis, typically 4 or 5 (non-linear systems more influenced by timedomain aspects of record- shape and sequences of pulses, etc.)



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1. Selecting time histories - key factors:

Most logical procedure is to select available time histories from databases that are reasonably consistent with the design parameters and conditions. Factors to consider include in selection:

- · tectonic environment (subduction, shallow crustal, intraplate, etc.)
- · earthquake magnitude and fault type
- distance from recording site to fault rupture want distances within a factor of 2



1. Selecting time histories – key factors:

- · site conditions at recording site (want similar)
- · response spectra of motions (want similar shape and level to design spectra; also, want to achieve reasonable match by scaling by factor ≤ 2.0 (especially if scaling record motions to higher level)
- · duration of strong shaking
- if site is near-field (within about 15 km) then acceleration record should contain strong motion pulse similar to that caused directivity, etc.



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2. Modifying and scaling time histories: What is the motion at D? Recorded Rock

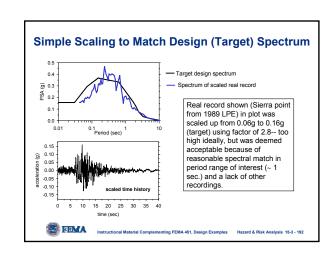
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2. Modifying and scaling time histories:

(a) Simple scaling – scale motions by single factor to match target spectrum; again limiting the scaling factor to 2.0.

 The required degree—of-fit to target spectrum is project-dependent, but typically want suite of candidate spectra to have average visual fit to target. More important to have conservative fit in period range of interest.





Degree-of-fit for Suite of Motions: • Required degree of fit is project dependent and often mandated **Total Complementing FEMA 451, Design Examples **Hazard & Risk Analysis 15-3-193

2. Modifying and scaling time histories -

(b) Spectrum matching— adjustments made in either time domain or frequency domain to change characteristics of the motions:

- Want to maintain time-domain character of recorded motion
- Best to begin with candidate motion that has spectral shape similar to target spectrum
- Best to first scale motion to approximate level of target spectrum before modification



Spectrum Matching Methods

(i) <u>Time-Domain Approach</u>: (Lilhanand and Tseng, 1988; Abrahamson, 1992).

- Matching accomplished by adding (or subtracting) finite-duration wavelets to (or from) the initial timehistory.
- Normally provides a close fit to the target. Best to being with candidate motion has spectral shape similar to target spectrum.
- Best to first scale motion to approximate level of target spectrum before modification.

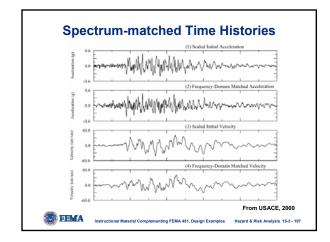


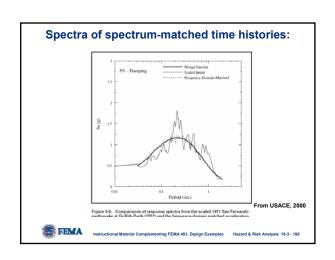
Spectrum Matching Methods

(ii) <u>Frequency-Domain Approach</u>: (Gasparini and Vanmarcke 1976; Silva and Lee 1987; Bolt and Gregor 1993).

- Adjusts only the Fourier amplitudes while the Fourier phases are kept unchanged.
- Procedure equivalent to adding or subtracting sinusoids (with the Fourier phases of the initial timehistory) in the time domain.
- Does not always provide as close a fit as timedomain approach.







Other corrections...

· Ensure records are instrument and base-line corrected, etc.



3. Modification for local site conditions

 Dynamic site response analysis is best approach (discussed in following lecture).



Real vs. Synthetic Time Histories

- · What is considered a "real" record? (i.e., how much modification is allowed?)
- · Un-scaled record motion vs. scaled recorded motion vs. synthetic.
- Synthetic motions developed using Fourier phase spectra from real earthquake probably "real" in most important ways.



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Synthetic Time Histories – Pros and Cons

- One main concern: Is true character of real motion present?
- One main advantage: Can develop motions to match regional and site conditions (i.e., motion recorded on outcrops actually have surface wave energy included but we commonly input this to base).
 - there are many data gaps in database of motions (no strong motions for CEUS)
 - certainly better to have reasonable region-specific synthetic motion than inappropriate real motion



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Developing Synthetic Motions

- Process should be performed by expert, typically seismologist.
- Seismologists typically develop a suite of time histories for hard rock or B-C (soft rock) boundary.
- Geotechnical engineers typically generate topof-profile motions using site response analysis.



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Synthetic Ground Motion Development

The computational model for generating synthetic seismograms consists of:

- · The seismic source process;
- The process of seismic wave propagation from the source region to the design site; and
- · Shallow site response (site response is discussed later).



Synthetic Ground Motion Development

Source Parameters Required

 Rupture velocity, rupture initiation point, and sliptime functions over the ruptured area are the primary source parameters needed.

Propagation (Path) Parameters Required

Average propagation usually developed with Green's functions -- requires knowledge of the crustal parameters such as the P and S-wave velocities, density, and damping factor (or seismic Q factor, where Q = 0.5/damping ratio).



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Synthetic Ground Motion Generation

- · To model complexity of seismogram, randomness (stochastic model) is often introduced, either in the source process or in the wave propagation.
 - very erratic, irregular high-frequency waves from rupture process usually characterized as a "stochastic" process that must be modeled with randomness
 - deterministic process often used for low-frequency portion of motion
- Hybrid models combine deterministic with random process.



Synthetic Ground Motion Generation

- · With fault slip model and Green's functions, ground motions are computed using the representation theorem (deconvolution process); see Aki and Richards 1980; Hartzell, Frazier, and Brune 1978.
- Simulation procedure simply sums a suite of Green's functions lagged in time (delay caused by the rupture propagation plus the time needed for the seismic waves to travel from the corresponding point source to the site).
- ⇒ Green's Function is heart of the process.



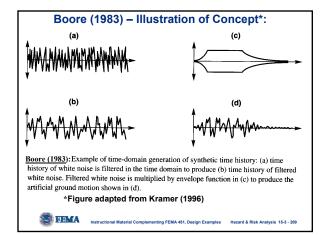
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Synthetic Ground Motion Methods

- (1) Boore (1983): developed Band-Limited-White-Noise model for stochastic simulation of high-frequency ground motions.
- · This simulation procedure does not use stochastic slip
- Procedure generates random white noise, multiplies it by a window function appropriate for the expected source duration, and then filters the windowed white noise to obtain a time-history having a band-limited Fourier amplitude spectrum specified by the ω^2 -source Brune (1970) model.
- Incorporates wave propagation effects of a homogeneous crust with 1/R geometrical attenuation.



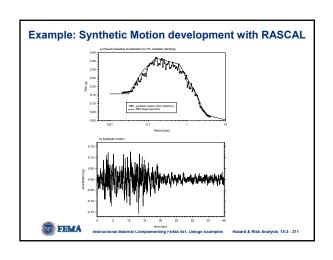
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Synthetic Ground Motion Methods

- (2) Silva and Lee (1987): method uses formulation for the Fourier amplitude spectrum similar to Boore, but the phase spectrum from a natural time-history to generate the synthetic time-history.
- (3) Publicly available computer codes: Some public domain simulation codes are: RASCAL (Silva and Lee 1987) and SMSIM (Boore 1996).
- ⇒ The above methods (1 through 3) are wellestablished.





Source Modeling for Synthetic Motions

- 1) Point source models (i.e., Brune source spectrum):
- Simple model where the source is represented by a point.
- · Assumes "stationary" signal; provides average component.
- Need Magnitude, stress drop $\Delta \sigma$, density, crust modulus.
- 2) Finite fault models modeling the actual rupture:
- Fault is divided into segments and each segment ruptures after another simulating energy release.
- · Energy radiation from each segment is modeled using Green's Function.
- Motion from all segments added up to generate motion at a point from the fault.
- · It models directivity, radiation, and non-stationarity.



1) Point Source Modeling - Brune Model

Source (
$$\omega$$
) = $\frac{M_o}{4\pi \cdot \rho \cdot \beta^3} \cdot \frac{\omega^2}{1 + \left(\frac{\omega}{\omega_c}\right)^2}$

M_o: seismic moment

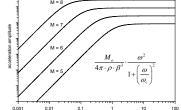
 $\boldsymbol{\rho}$: mass density of earth's crust

 β : shear wave velocity of earth's crust $\omega_{\rm c}$: corner frequency (2\pi {\rm f_c}) - f_{\rm c} = 4.91 \times 10^6 \, \beta \bigg(\frac{\Delta \sigma}{M_{\odot}}\bigg)^{\gamma_s}



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Modeling Source – Brune Model



- Source spectrum for different magnitude earthquakes
- Corner frequency (ω_{c}) decreases for larger magnitudes (duration α

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Modeling Path Effects

Path
$$(\omega) = \frac{1}{r} \cdot e^{-\frac{\pi \cdot f \cdot r}{\beta \cdot Q(f)}}$$

r : distance to the source

f: frequency

 β : shear wave velocity of earth's crust

Q : quality factor (1/2D, D = damping ratio)

Q = 200 f^{0.2} - Western US

Q = 680 f^{0.34} - Eastern US

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Modeling Path Effects r = 50 km Frequency dependent attenuation · Smaller attenuation for Eastern US FEMA Instructional Material Complementing FEMA 451, Design Examples Hazard & Risk Analysis 15-3 - 216

Approach taken is similar to that described originally by Zeng et al., Geophysical Research Letters, 1994. a. to a model some near-field effects, provides 3 components Important Input Parameters: 1. Total Seismic Moment 2. Fault dimensions 3. Maximum and minimum (circular) sub-fault radii 4. Sub-fault stress drop (not necessarily the static stress drop) 5. Rupture velocity (spatially constant, etc.)

