

# FOUNDATION DESIGN

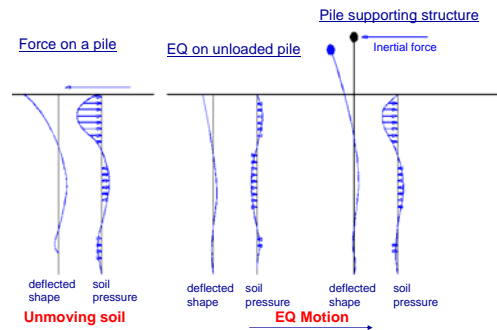
Proportioning elements for:  
 Transfer of seismic forces  
 Strength and stiffness  
 Shallow and deep foundations  
 Elastic and plastic analysis



Instructional Materials Complementing FEMA 451, Design Examples

Foundation Design 14-1

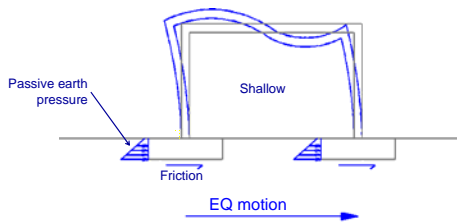
## Load Path and Transfer to Soil Soil Pressure



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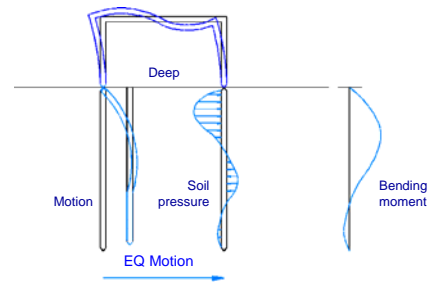
## Load Path and Transfer to Soil Soil-to-foundation Force Transfer



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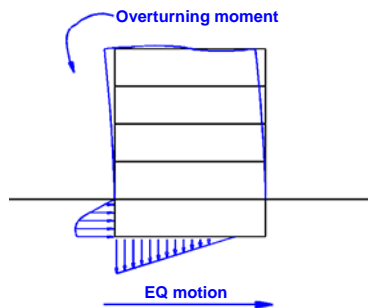
## Load Path and Transfer to Soil Soil-to-foundation Force Transfer



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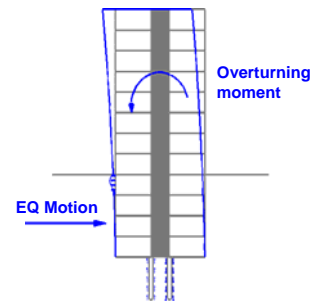
## Load Path and Transfer to Soil Vertical Pressures - Shallow



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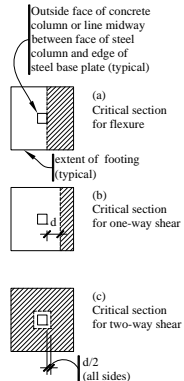
## Load Path and Transfer to Soil Vertical Pressures - Deep



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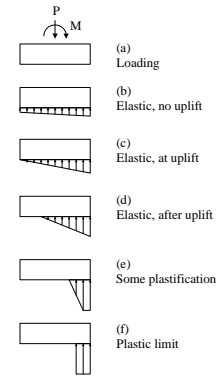
## Reinforced Concrete Footings: Basic Design Criteria (centrically loaded)



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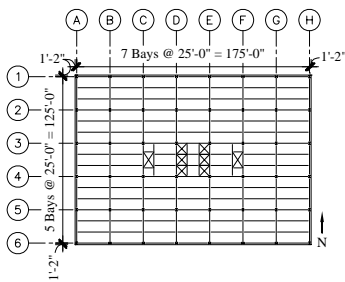
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## Footing Subject to Compression and Moment: Uplift Nonlinear



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## Example 7-story Building: Shallow foundations designed for perimeter frame and core bracing.



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## Shallow Footing Examples

### Soil parameters:

- Medium dense sand
- (SPT)  $N = 20$
- Density = 120 pcf
- Friction angle =  $33^\circ$

### Gravity load allowables

- 4000 psf,  $B < 20$  ft
- 2000 psf,  $B > 40$  ft

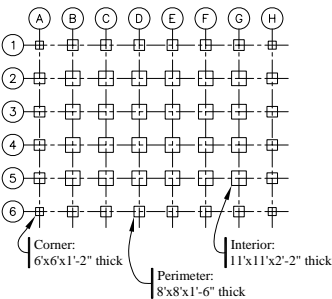
### Bearing capacity (EQ)

- 2000B concentric sq.
- 3000B eccentric
- $\phi = 0.6$



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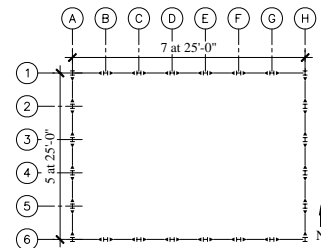


## Footings proportioned for gravity loads alone



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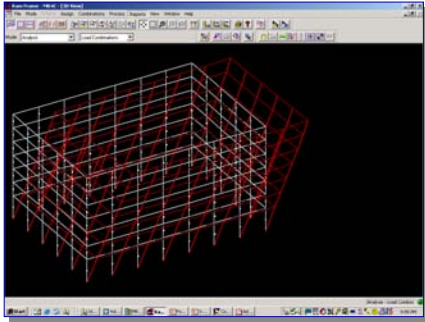
## Design of Footings for Perimeter Moment Frame



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## 7-Story Frame, Deformed



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## Combining Loads

- Maximum downward load:  
 $1.2D + 0.5L + E$
- Minimum downward load:  
 $0.9D + E$
- Definition of seismic load effect  $E$ :  
 $E = \rho_1 Q_{E1} + 0.3 \rho_2 Q_{E2} \pm 0.2 S_{DS} D$   
 $\rho_x = 1.08 \quad \rho_y = 1.11 \quad \text{and} \quad S_{DS} = 1.0$



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## Reactions

Grid		Dead	Live	$E_x$	$E_y$
A-5	P	203.8 k	43.8 k	-3.8 k	21.3 k
	$M_{xx}$			53.6 k-ft	-1011.5 k-ft
	$M_{yy}$			-243.1 k-ft	8.1 k-ft
A-6	P	103.5 k	22.3 k	-51.8 k	-281.0 k
	$M_{xx}$			47.7 k-ft	-891.0 k-ft
	$M_{yy}$			-246.9 k-ft	13.4 k-ft



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## Reduction of Overturning Moment

- *NEHRP Recommended Provisions* allow base overturning moment to be reduced by 25% at the soil-foundation interface.
- For a moment frame, the column vertical loads are the resultants of base overturning moment, whereas column moments are resultants of story shear.
- Thus, use 75% of seismic vertical reactions.



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## Additive Load w/ Largest Eccentricity

- At A5:  $P = 1.4(203.8) + 0.5(43.8) + 0.75(0.32(-3.8) + 1.11(21.3)) = 324 \text{ k}$   
 $M_{xx} = 0.32(53.6) + 1.11(-1011.5) = -1106 \text{ k-ft}$
- At A6:  $P = 1.4(103.5) + 0.5(22.3) + 0.75(0.32(-51.8) + 1.11(-281)) = -90.3 \text{ k}$   
 $M_{xx} = 0.32(47.7) + 1.11(-891) = -974 \text{ k-ft}$
- Sum  $M_{xx} = 12.5(-90.3-324) -1106 -974 = -7258$



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## Counteracting Load with Largest $e$

- At A-5:  $P = 0.7(203.8) + 0.75(0.32(-3.8) + 1.11(21.3)) = 159.5 \text{ k}$   
 $M_{xx} = 0.32(53.6) + 1.11(-1011.5) = -1106 \text{ k-ft}$
- At A-6:  $P = 0.7(103.5) + 0.75(0.32(-51.8) + 1.11(-281)) = -173.9 \text{ k}$   
 $M_{xx} = 0.32(47.7) + 1.11(-891) = -974 \text{ k-ft}$
- Sum  $M_{xx} = 6240 \text{ k-ft}$

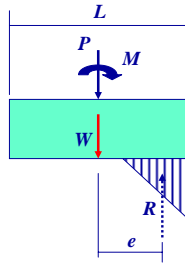


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## Elastic Response

- Objective is to set  $L$  and  $W$  to satisfy equilibrium and avoid overloading soil.
- Successive trials usually necessary.



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## Additive Combination

Given  $P = 234$  k,  $M = 7258$  k-ft

Try 5 foot around, thus  $L = 35$  ft,  $B = 10$  ft

- Minimum  $W = M/(L/2) - P = 181$  k = 517 psf

Try 2 foot soil cover & 3 foot thick footing

- $W = 245$  k; for additive combo use  $1.2W$
- $Q_{max} = (P + 1.2W)/(3(L/2 - e)B/2) = 9.4$  ksf
- $\phi Q_n = 0.6(3)B_{min} = 10.1$  ksf, OK by Elastic

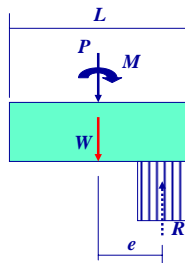


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## Plastic Response

- Same objective as for elastic response.
- Smaller footings can be shown OK thus:



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## Counteracting Case

Given  $P = -14.4$  k;  $M = 6240$

Check prior trial;  $W = 245$  k (use  $0.9W$ )

- $e = 6240/(220.5 - 14.4) = 30.3 > 35/2$  NG

New trial:  $L = 40$  ft, 5 ft thick

- $W = 400$  k;  $e = 18.0$  ft; plastic  $Q_{max} = 8.6$  ksf
- $\phi Q_n = 0.6(3)4 = 7.2$  ksf, close
- Solution is to add 5 k, then  $e = 17.8$  ft and  $Q_{max} = \phi Q_n = 7.9$  ksf



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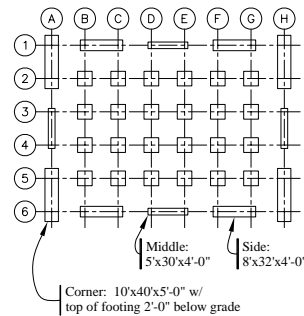
## Additional Checks

- Moments and shears for reinforcement should be checked for the overturning case.
- Plastic soil stress gives upper bound on moments and shears in concrete.
- Horizontal equilibrium:  $H_{max} < \phi\mu(P+W)$   
in this case friction exceeds demand; passive could also be used.



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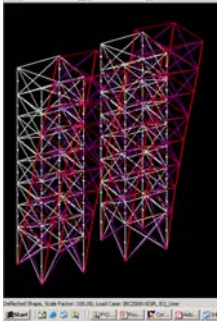
Results for all SRS Footings



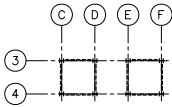
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### Design of Footings for Core-braced 7-story Building

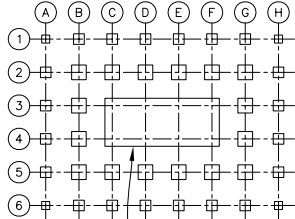


25 foot square bays at center of building



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### Solution for Central Mat

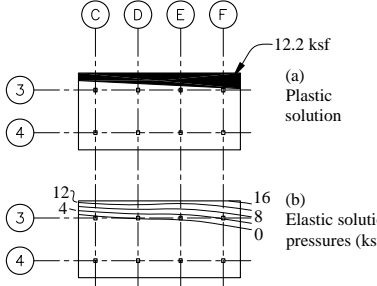


Mat: 45'x95'x7'-0" with top of mat 3'-6" below grade

Very high uplifts at individual columns; mat is only practical shallow foundation.

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### Bearing Pressure Solution



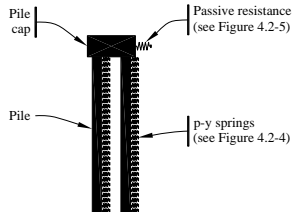
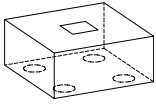
(a) Plastic solution: 12.2 ksf

(b) Elastic solution pressures (ksf): 16, 12, 8, 4, 0

Plastic solution is satisfactory; elastic is not; see linked file for more detail.

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### Pile/Pier Foundations

View of cap with column above and piles below.

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### Pile/Pier Foundations

**Pile Stiffness:**

- Short (rigid)
- Intermediate
- Long

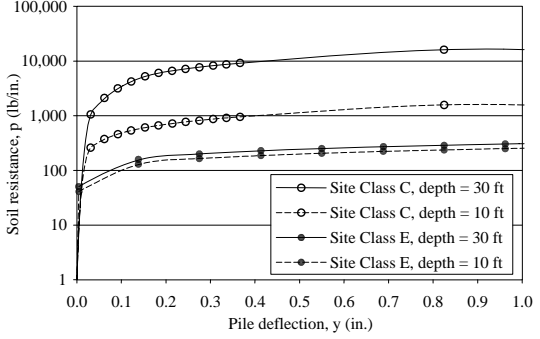
Cap influence  
Group action

**Soil Stiffness**

- Linear springs – nomographs e.g. NAVFAC DM7.2
- Nonlinear springs – LPILE or similar analysis

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### Sample p-y Curves



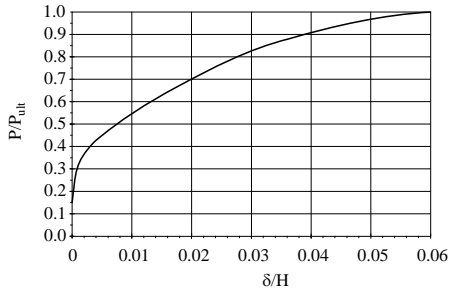
Soil resistance, p (lb/in.)

Pile deflection, y (in.)

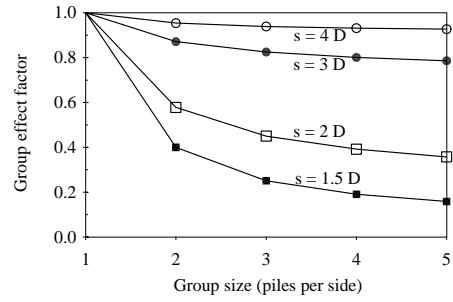
- Site Class C, depth = 30 ft
- -○- Site Class C, depth = 10 ft
- Site Class E, depth = 30 ft
- -●- Site Class E, depth = 10 ft

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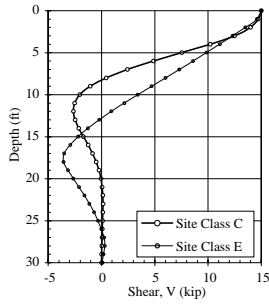
### Passive Pressure



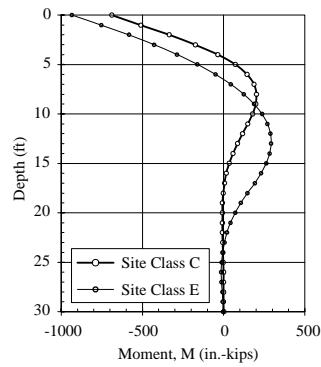
### Group Effect



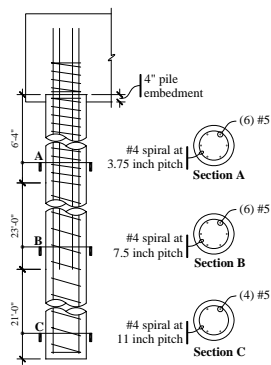
### Pile Shear: Two Soil Stiffnesses



### Pile Moment vs Depth

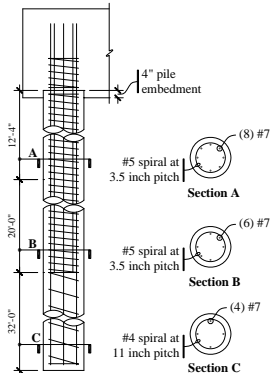


### Pile Reinforcement



- Site Class C
- Larger amounts where moments and shears are high
- Minimum amounts must extend beyond theoretical cutoff points
- “Half” spiral for 3D

### Pile Design



- Site Class E
- Substantially more reinforcement
- “Full” spiral for 7D
- Confinement at boundary of soft and firm soils (7D up and 3D down)

## Other Topics for Pile Foundations

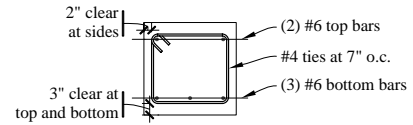
- Foundation Ties:  $F = P_G(S_{DS}/10)$
- Pile Caps: high shears, rules of thumb; look for 3D strut and tie methods in future
- Liquefaction: another topic
- Kinematic interaction of soil layers



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## Tie Between Pile Caps



- Designed for axial force (+/-)
- Pile cap axial load times  $S_{DS}/10$
- Often times use grade beams or thickened slabs one grade



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