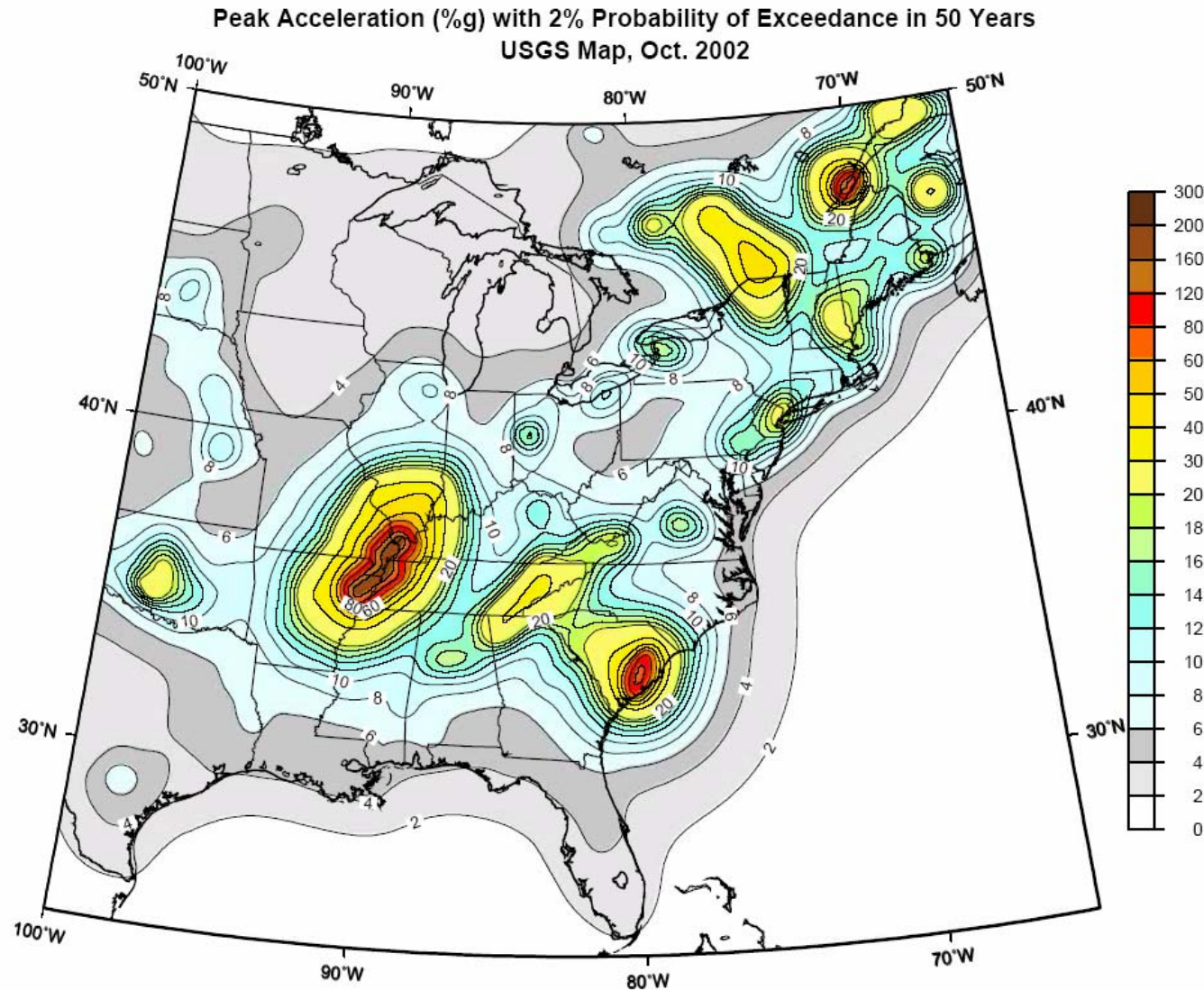


# SEISMIC HAZARD ANALYSIS



FEMA

Instructional Material Complementing *FEMA 451, Design Examples*

Seismic Hazard Analysis 5a - 1

# Seismic Hazard Analysis

- Deterministic procedures
- Probabilistic procedures
- USGS hazard maps
- 2003 *NEHRP Provisions* design maps
- Site amplification
- *NEHRP Provisions* response spectrum
- UBC response spectrum

# Hazard vs Risk

## *Seismic hazard analysis*

describes the potential for dangerous, earthquake-related natural phenomena such as ground shaking, fault rupture, or soil liquefaction.

## *Seismic risk analysis*

assesses the probability of occurrence of losses (human, social, economic) associated with the seismic hazards.

# Approaches to Seismic Hazard Analysis

## Deterministic

“The earthquake hazard for the site is a peak ground acceleration of 0.35g resulting from an earthquake of magnitude 6.0 on the Balcones Fault at a distance of 12 miles from the site. ”

## Probabilistic

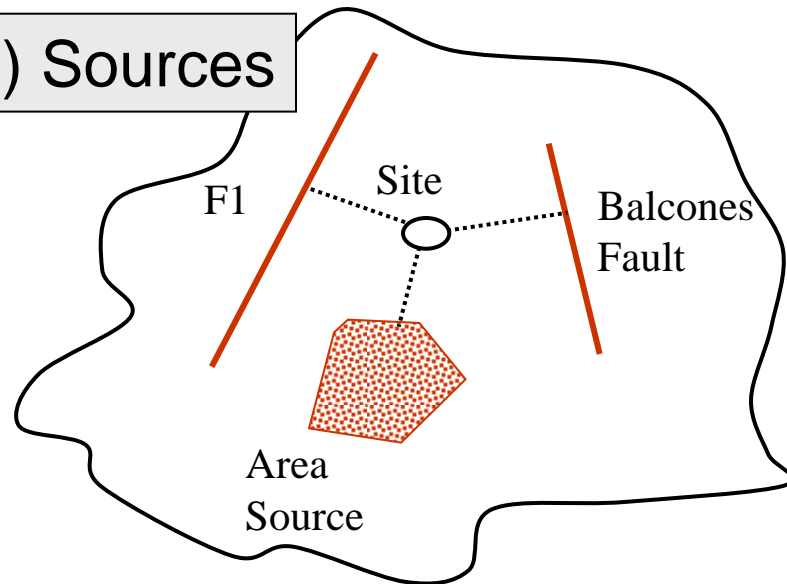
“The earthquake hazard for the site is a peak ground acceleration of 0.28g with a 2 percent probability of being exceeded in a 50-year period.”

# Probabilistic Seismic Hazard Analysis

First addressed in 1968 by C. Allin Cornell in “Engineering Seismic Risk Analysis,” and article in the *Bulletin of the Seismological Society* (Vol. 58, No. 5, October).

# Steps in Deterministic Seismic Hazard Analysis

## (1) Sources

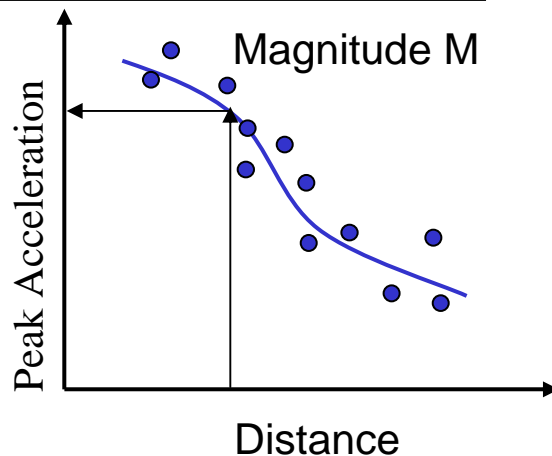


## (2) Controlling Earthquake

Fixed distance  $R$

Fixed magnitude  $M$

## (3) Ground Motion

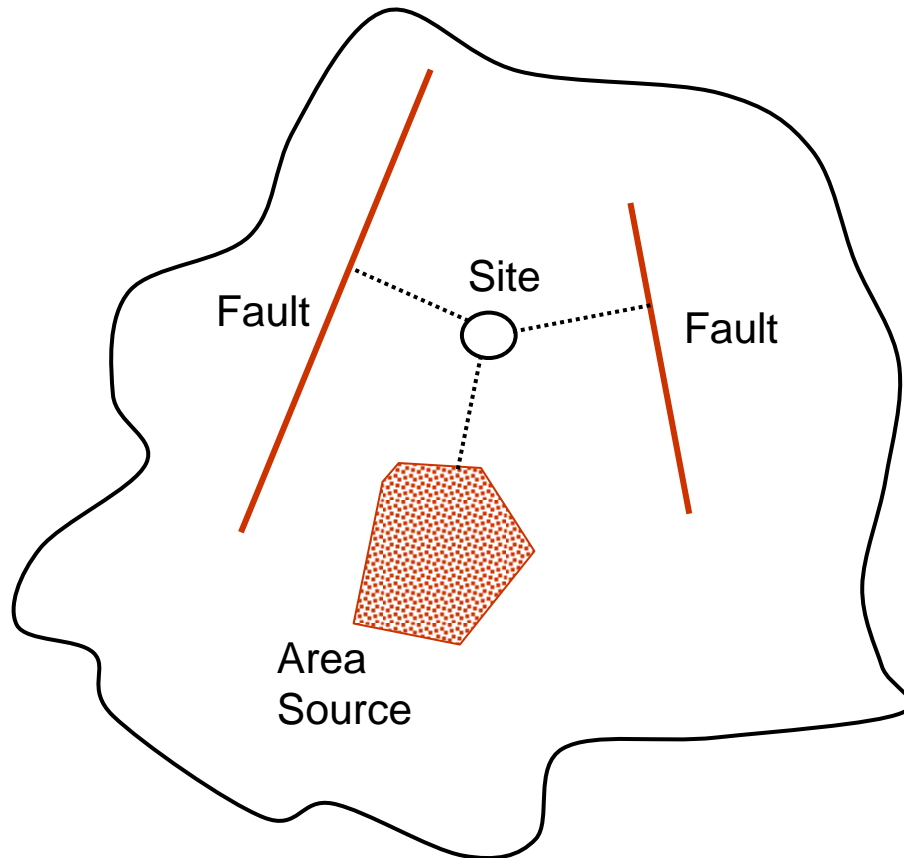


## (4) Hazard at Site

“The earthquake hazard for the site is a peak ground acceleration of 0.35 g resulting from an earthquake of magnitude 6.0 on the Balcones Fault at a distance of 12 miles from the site.”



# Source Types



- Fault
- Localizing structure
- Seismotectonic province

# Source Types

***Localizing structure:*** An identifiable geological structure that is assumed to generate or “localize” earthquakes. This is generally a concentration of known or unknown active faults.

***Seismotectonic province:*** A region where there is a known seismic hazard but where there are no identifiable active faults or localizing structures.



# Maximum Earthquake

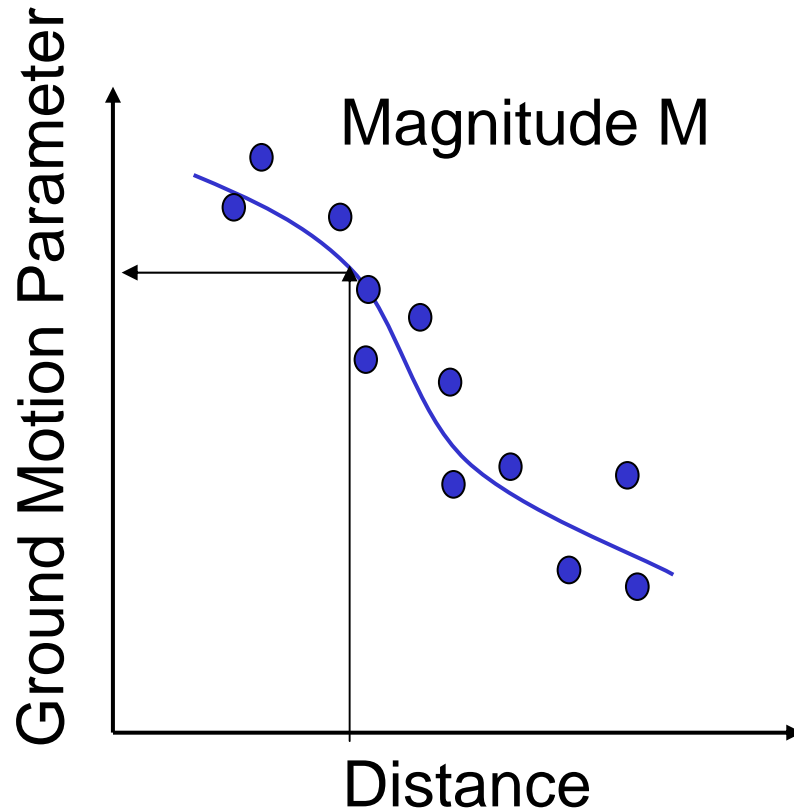
**Maximum possible earthquake:** An upper bound to size (however unlikely) determined by earthquake processes (e.g., maximum seismic moment).

**Maximum credible earthquake:** The maximum reasonable earthquake size based on earthquake processes (but does not imply likely occurrence).

**Maximum historic earthquake:** The maximum historic or instrumented earthquake that is often a lower bound on maximum possible or maximum credible earthquake.

**Maximum considered earthquake:** Described later.

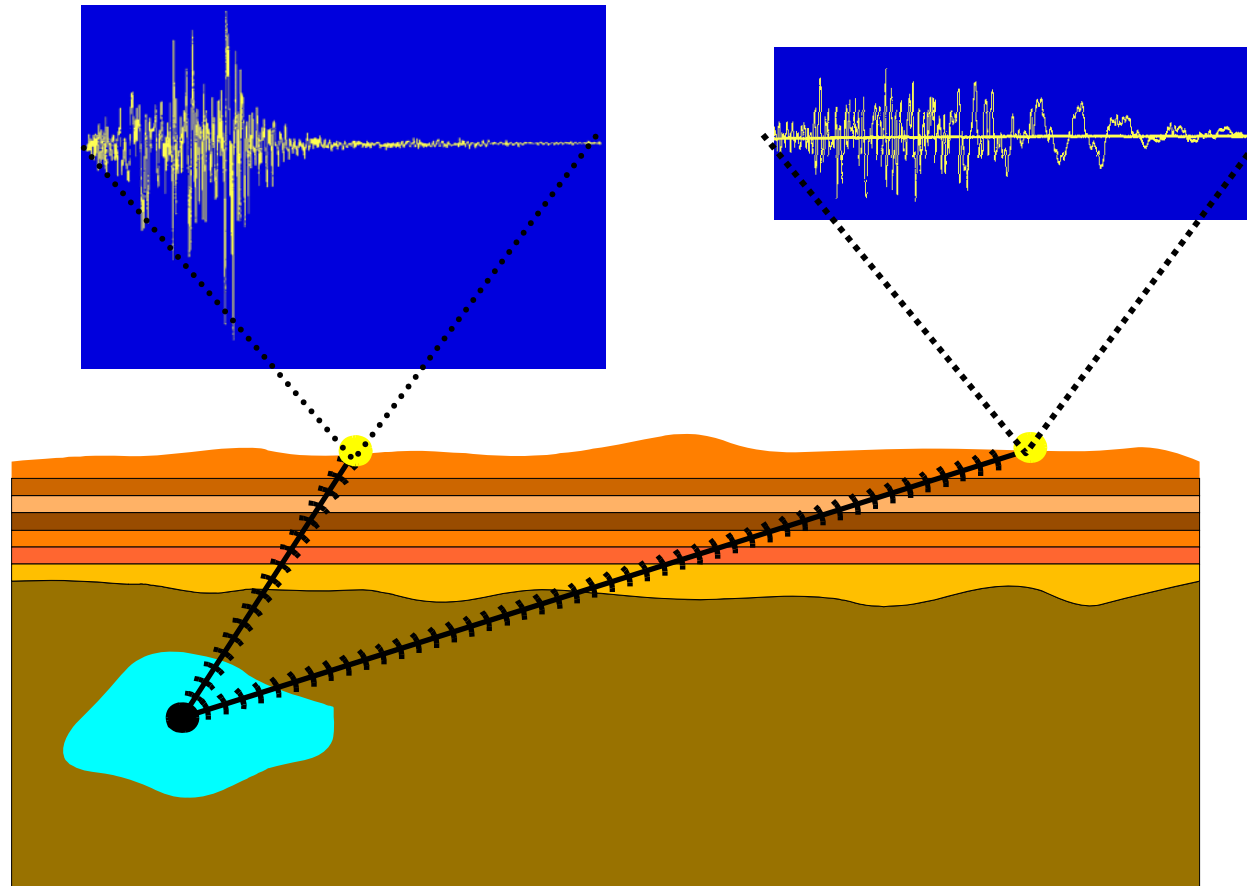
# Ground Motion Attenuation



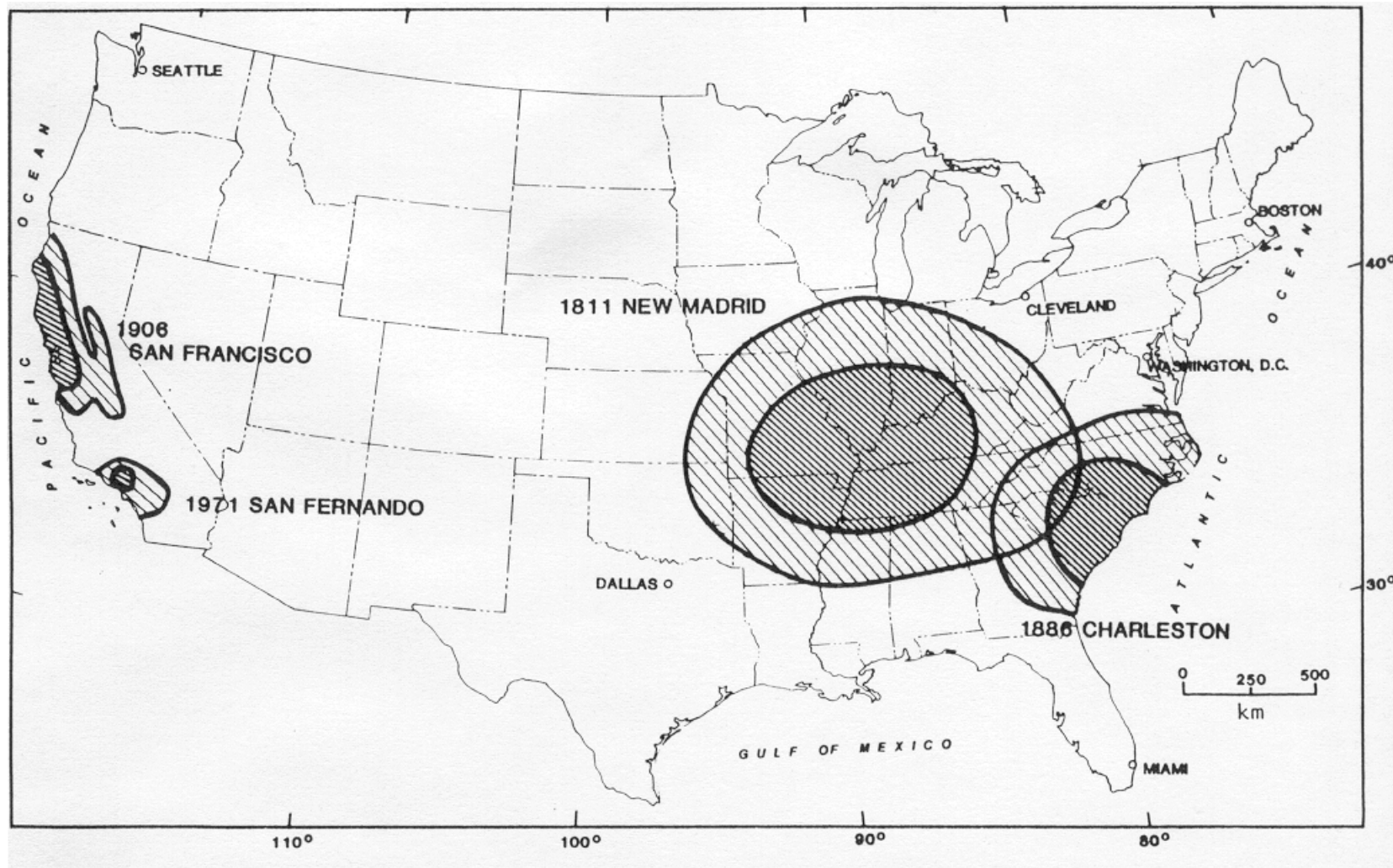
Reasons:

- Geometric spreading
- Absorption (damping)

# Attenuation with Distance



# Comparison of Attenuation for Four Earthquakes



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Seismic Hazard Analysis 5a - 12

# Ground Motion Attenuation

## Steps to Obtain Empirical Relationship

1. Obtain catalog of appropriate ground motion records
2. Correct for aftershocks, foreshocks
3. Correct for consistent magnitude measure
4. Fit data to empirical relationship of type:

$$\ln \hat{Y} = \ln b_1 + f_1(M) + \ln f_2(R) + \ln f_3(M, R) + \ln f_4(P_i) + \ln \varepsilon$$

# Ground Motion Attenuation

## Basic Empirical Relationships

$$\ln \hat{Y} = \ln b_1 + f_1(M) + \ln f_2(R) + \ln f_3(M, R) + \ln f_4(P_i) + \ln \varepsilon$$

$\hat{Y}$  Ground motion parameter (e.g. PGA)

$b_1$  Scaling factor

$f_1(M)$  Function of magnitude

$f_2(R)$  Function of distance

$f_3(M, R)$  Function of magnitude and distance

$f_4(P_i)$  Other variables

$\varepsilon$  Error term

# Ground Motion Attenuation Relationships for Different Conditions

- Central and eastern United States
- Subduction zone earthquakes
- Shallow crustal earthquakes
- Near-source attenuation
- Extensional tectonic regions
- Many others

May be developed for any desired quantity (PGA, PGV, spectral response).

# Ground Motion Attenuation Relationships

*Seismological Research Letters*  
Volume 68, Number 1  
January/February, 1997





# Earthquake Catalog for Shallow Crustal Earthquakes

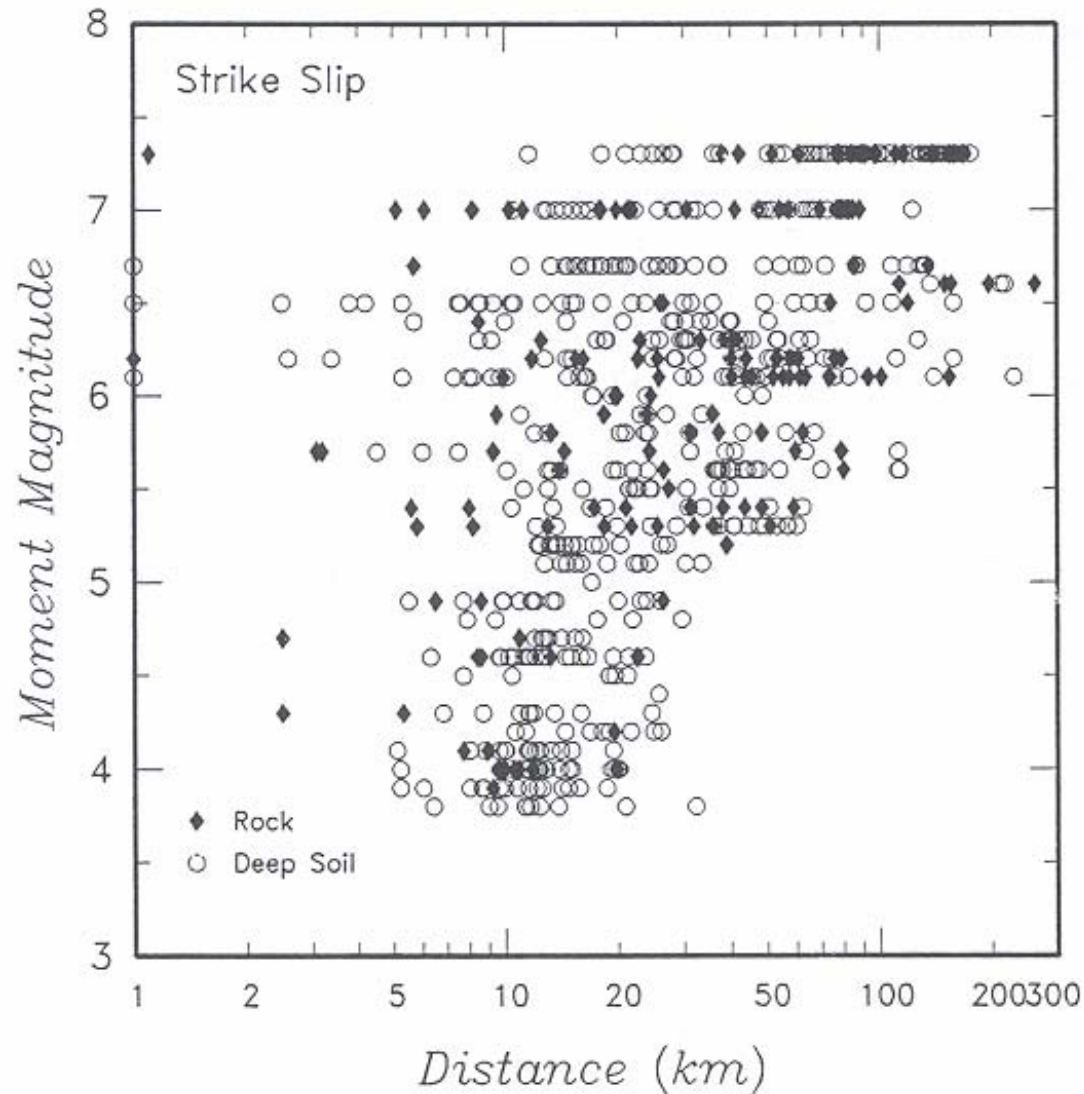
(Sadigh, Chang, Egan, Makdisi, and Youngs)

**TABLE 1**  
**List of Earthquakes Used to Develop Attenuation Relationships**

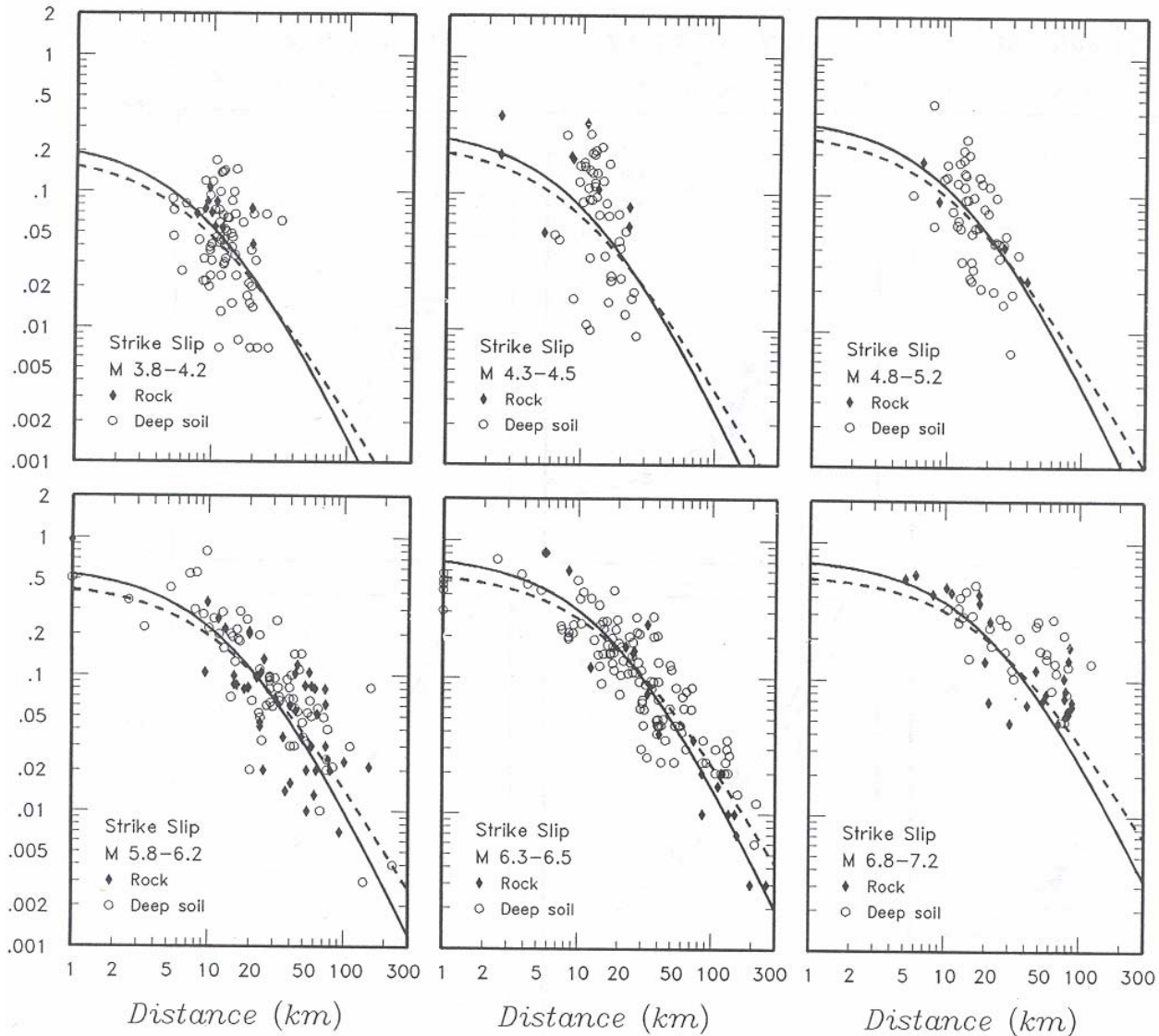
Earthquake	Date	M	Fault Type <sup>1</sup>	Distance Range (km)	No. of Records <sup>2</sup>	
					R	DS
Kern County, CA	1952/07/21	7.4	RV	120.5–224.0	0	3
Port Hueneme, CA	1957/03/18	4.7	RV	14.1–14.1	0	1
Daly City, CA	1957/03/22	5.3	RV	9.5–9.5	1	0
Parkfield, CA	1966/06/27	6.1	SS	0.1–230.0	1	6
Borrego Mtn., CA	1968/04/09	6.6	SS	113.0–261.0	5	3
Santa Rosa, CA (A)	1969/10/02	5.6	SS	80.0–113.0	1	2
Santa Rosa, CA (B)	1969/10/02	5.7	SS	78.9–112.0	1	2
Lytle Creek, CA	1970/09/12	5.3	RV	19.7–76.0	5	2
San Fernando, CA	1971/02/09	6.6	RV	2.8–305.0	11	14
Lake Isabella, CA	1971/03/08	4.1	SS	8.9–8.9	1	0
Bear Valley, CA	1972/02/24	4.7	SS	2.5–2.5	1	0
Point Mugu, CA	1973/02/21	5.6	RV	25.0–25.0	0	1
Hollister, CA	1974/11/28	5.2	SS	39.0–39.0	1	0
Oroville, CA	1975/08/01	5.9	SS	9.5–35.8	2	2
Oroville, CA (R)	1975/08/02	5.1	SS	12.7–14.6	0	2
Oroville, CA (S)	1975/08/02	5.2	SS	12.4–15.0	0	2
Oroville, CA (A)	1975/08/03	4.6	SS	8.4–14.9	1	6

# Earthquake Catalog for Shallow Crustal Earthquakes

(Sadigh, Chang, Egan, Makdisi, and Youngs)



# Attenuation Relation for Shallow Crustal Earthquakes (Sadigh, Chang, Egan, Makdisi, and Youngs)



# Attenuation Relation for Shallow Crustal Earthquakes

(Sadigh, Chang, Egan, Makdisi, and Youngs)

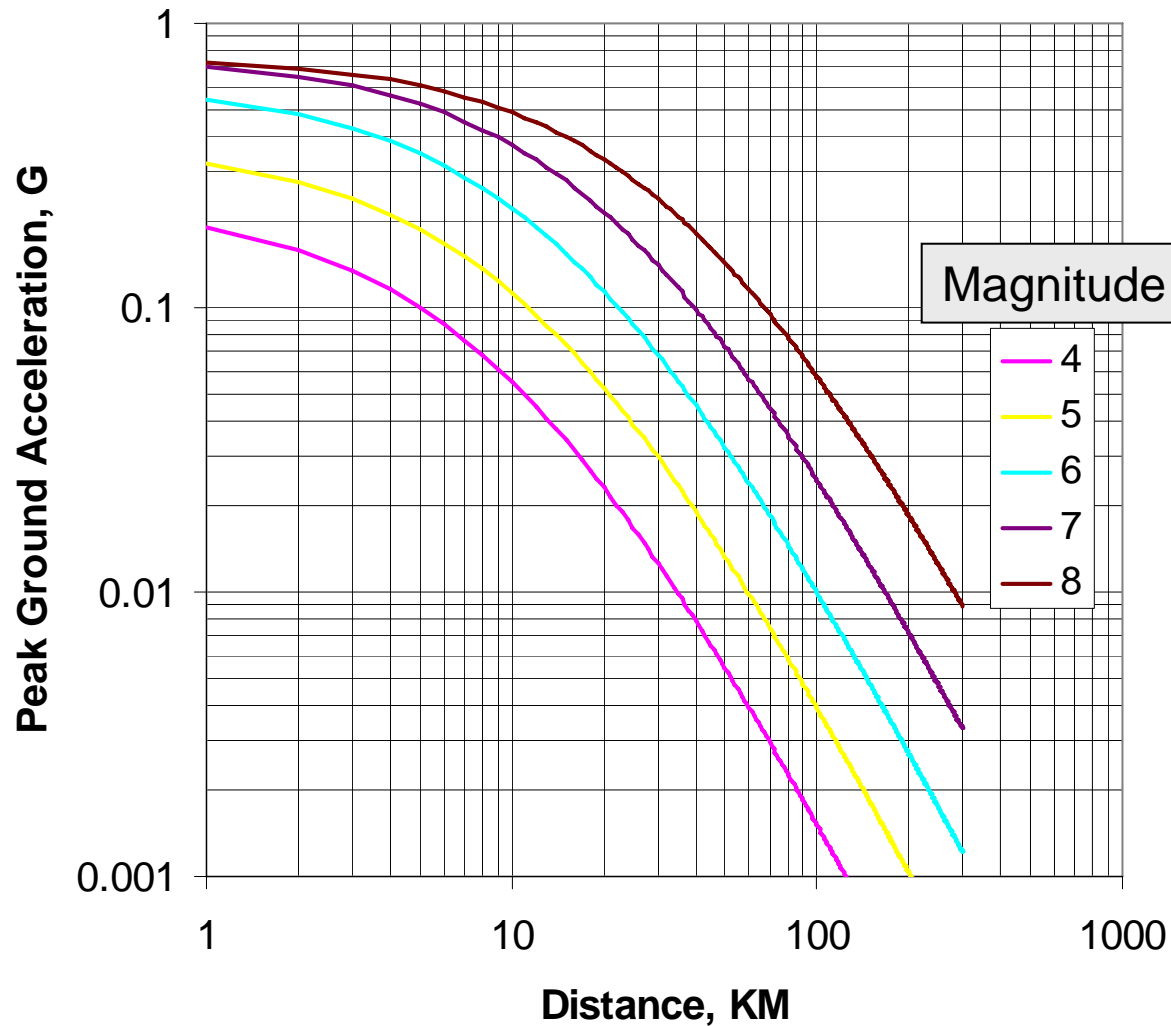
$$\ln(y) = C_1 + C_2 M + C_3 (8.5 - M) + C_4 \ln(r_{rup} + \exp(C_5 + C_6 M)) + C_7 (r_{rup} + 2)$$

T	C <sub>1</sub>	C <sub>2</sub>	C <sub>3</sub>	C <sub>4</sub>	C <sub>5</sub>	C <sub>6</sub>	C <sub>7</sub>
PGA	-0.624	1.000	0.000	-2.100	1.296	0.250	0.000
0.07	0.110	1.000	0.006	-2.128	1.296	0.250	-0.082
0.1	0.275	1.000	0.006	-2.148	1.296	0.250	-0.041
0.2	0.153	1.000	-0.004	-2.080	1.296	0.250	0.000
0.3	-0.057	1.000	-0.017	-2.028	1.296	0.250	0.000
0.4	-0.298	1.000	-0.028	-1.990	1.296	0.250	0.000
0.5	-0.588	1.000	-0.040	-1.945	1.296	0.250	0.000
0.75	-1.208	1.000	-0.050	-1.865	1.296	0.250	0.000
1	-1.705	1.000	-0.055	-1.800	1.296	0.250	0.000
1.5	-2.407	1.000	-0.065	-1.725	1.296	0.250	0.000
2	-2.945	1.000	-0.070	-1.670	1.296	0.250	0.000
3	-3.700	1.000	-0.080	-1.610	1.296	0.250	0.000
4	-4.230	1.000	-0.100	-1.570	1.296	0.250	0.000

Table for Magnitude ≤ 6.5

# Attenuation Relation for Shallow Crustal Earthquakes

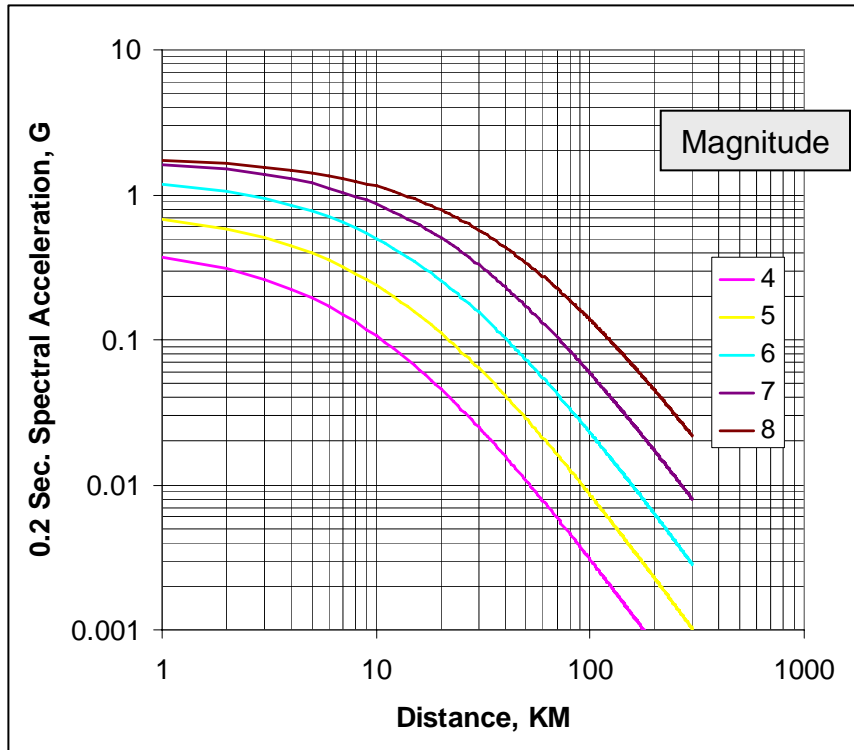
(Sadigh, Chang, Egan, Makdisi, and Youngs)



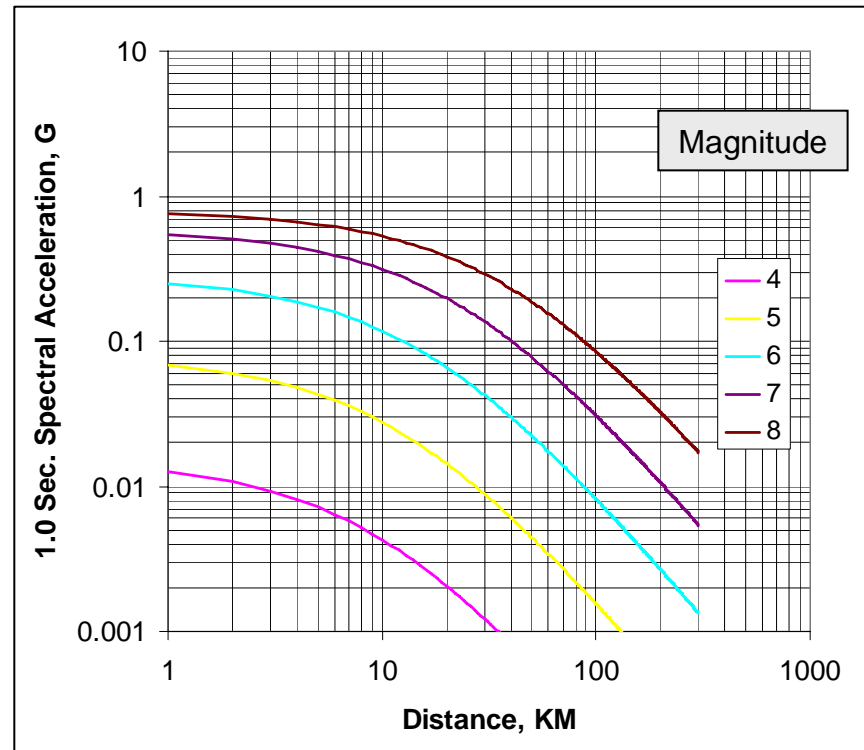
# Attenuation Relation for Shallow Crustal Earthquakes

(Sadigh, Chang, Egan, Makdisi, and Youngs)

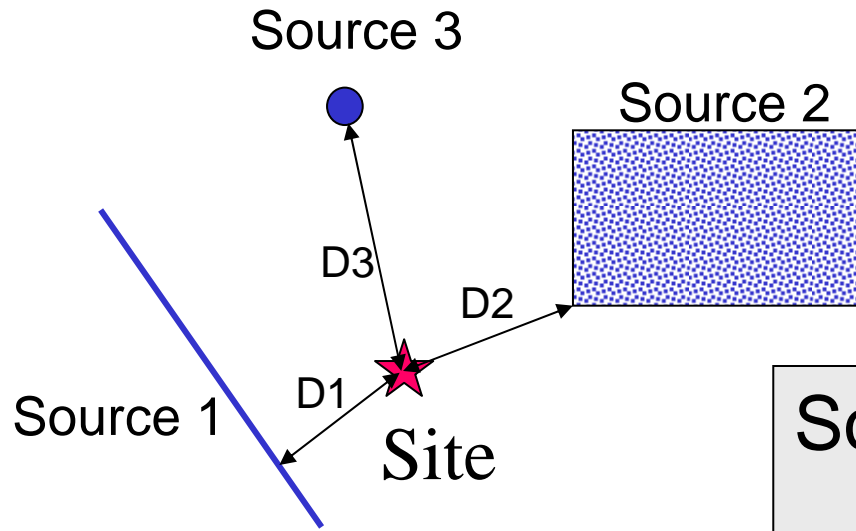
## 0.2 Second Acceleration



## 1.0 Second Acceleration



# Example Deterministic Analysis (Kramer)

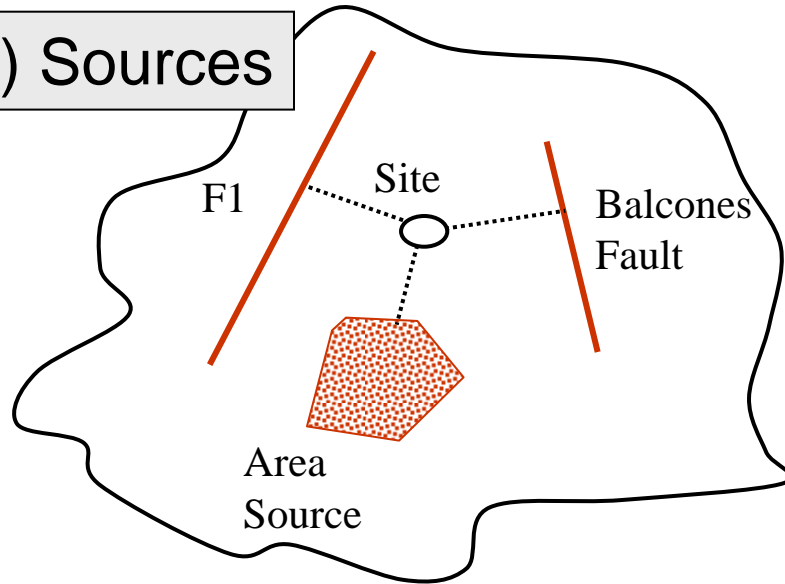


Source	M	D (km)	PGA (g)
1	7.3	23.7	0.42
<b>2</b>	<b>7.7</b>	<b>25.0</b>	<b>0.57</b>
3	5.0	60.0	0.02

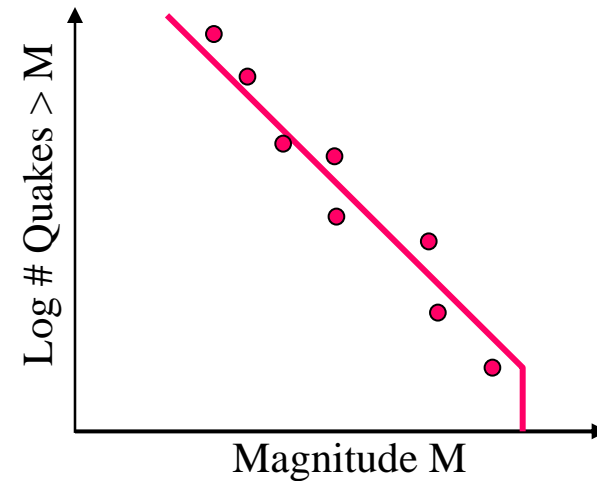
Maximum on source    ↑  
 Closest distance    ———— ↑  
 From attenuation relationship    ———— ↑

# Steps in Probabilistic Seismic Hazard Analysis

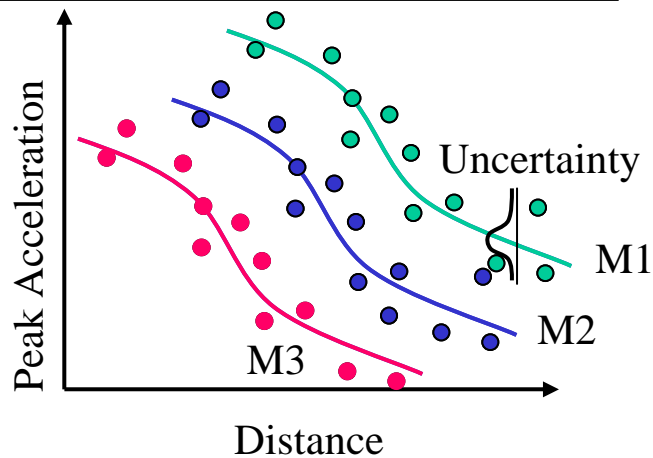
## (1) Sources



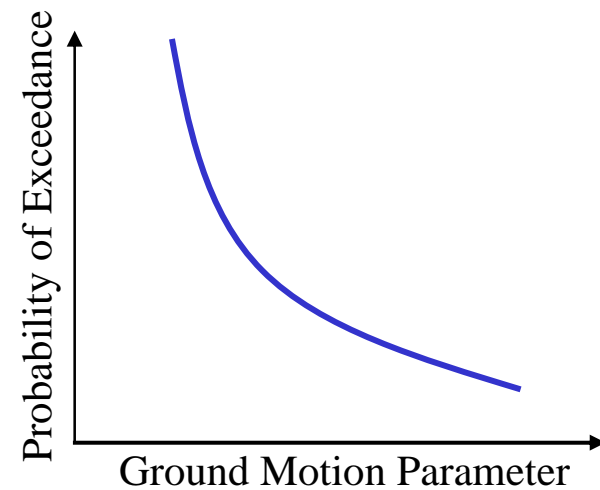
## (2) Recurrence



## (3) Ground Motion

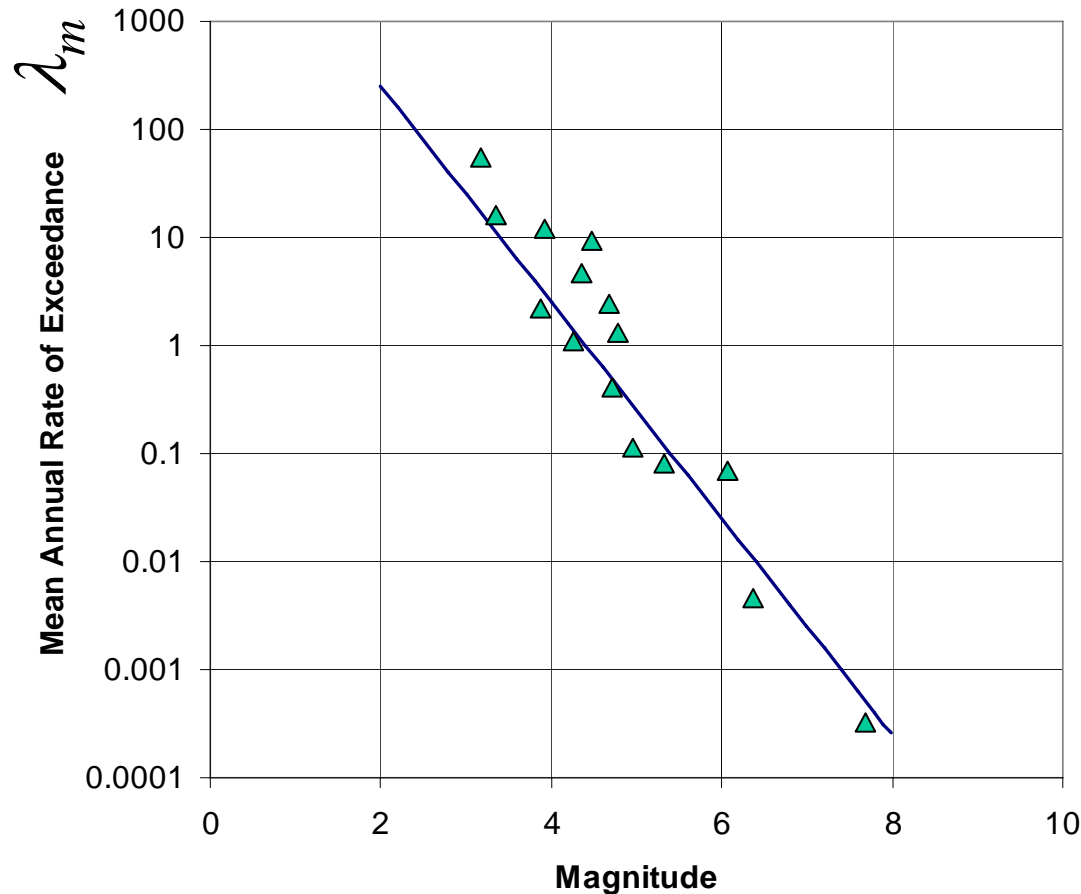


## (4) Probability of Exceedance





# Empirical Gutenberg-Richter Recurrence Relationship



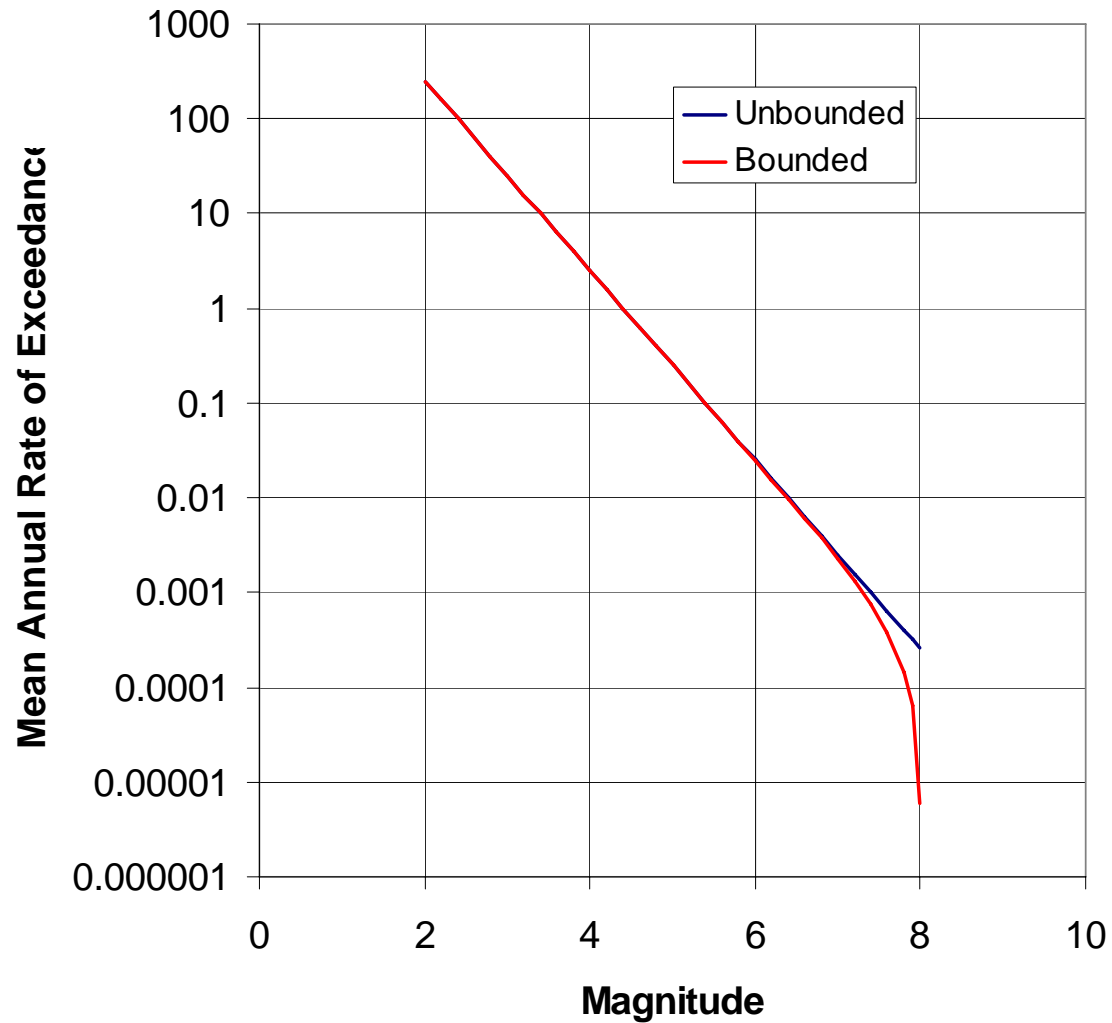
$$\log \lambda_m = a - bm$$

$\lambda_m$  = mean rate of recurrence (events/year)

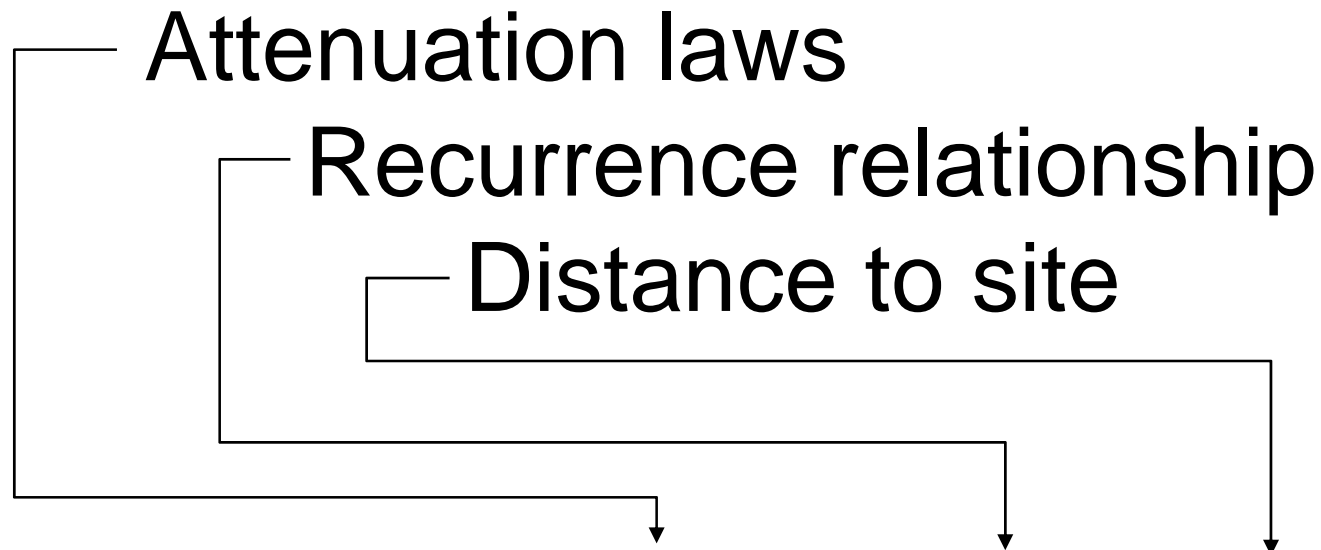
$1/\lambda_m$  = return period

$a$  and  $b$  to be determined from data

# Bounded vs Unbounded Recurrence Relationship

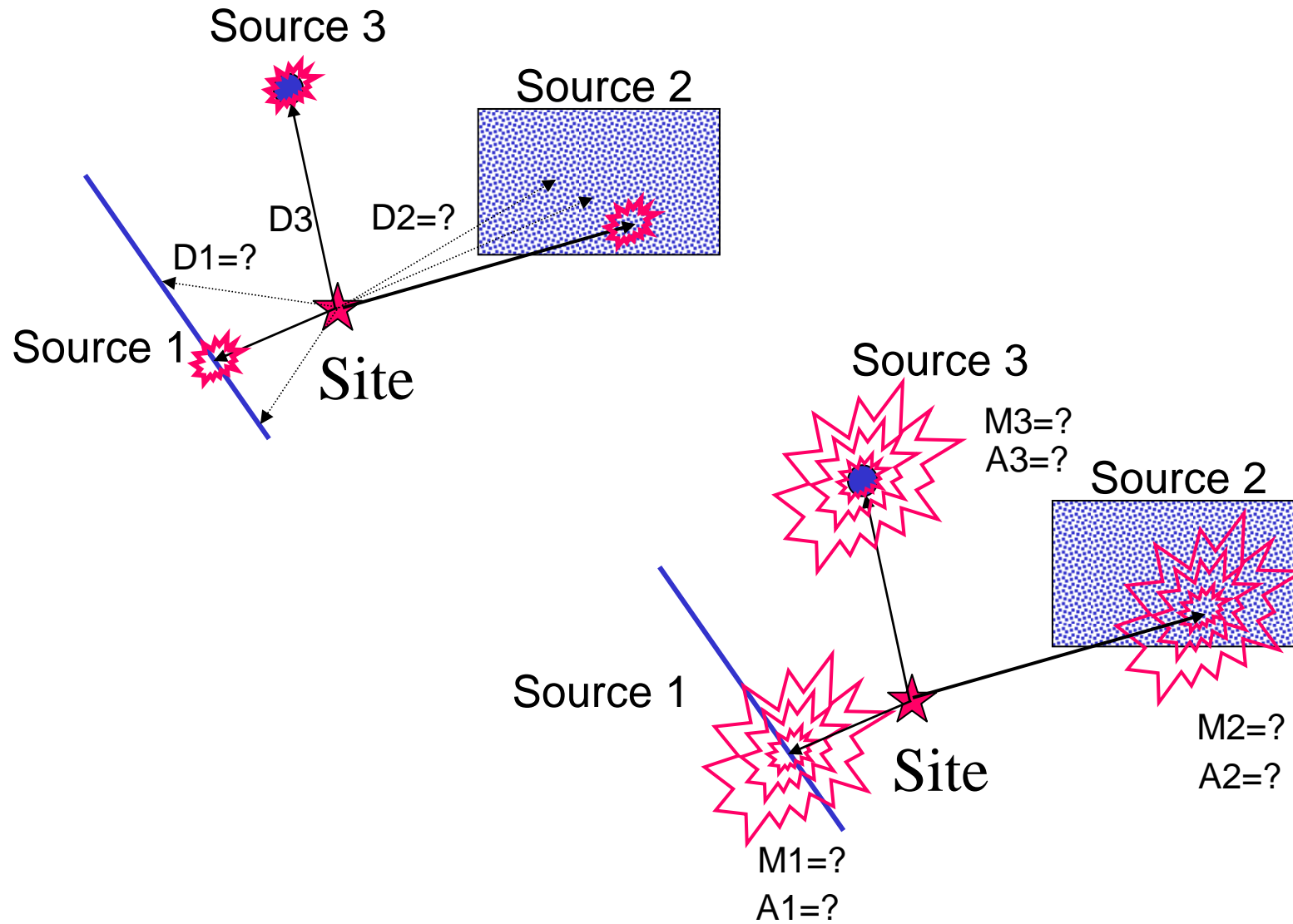


# Uncertainties Included in Probabilistic Analysis

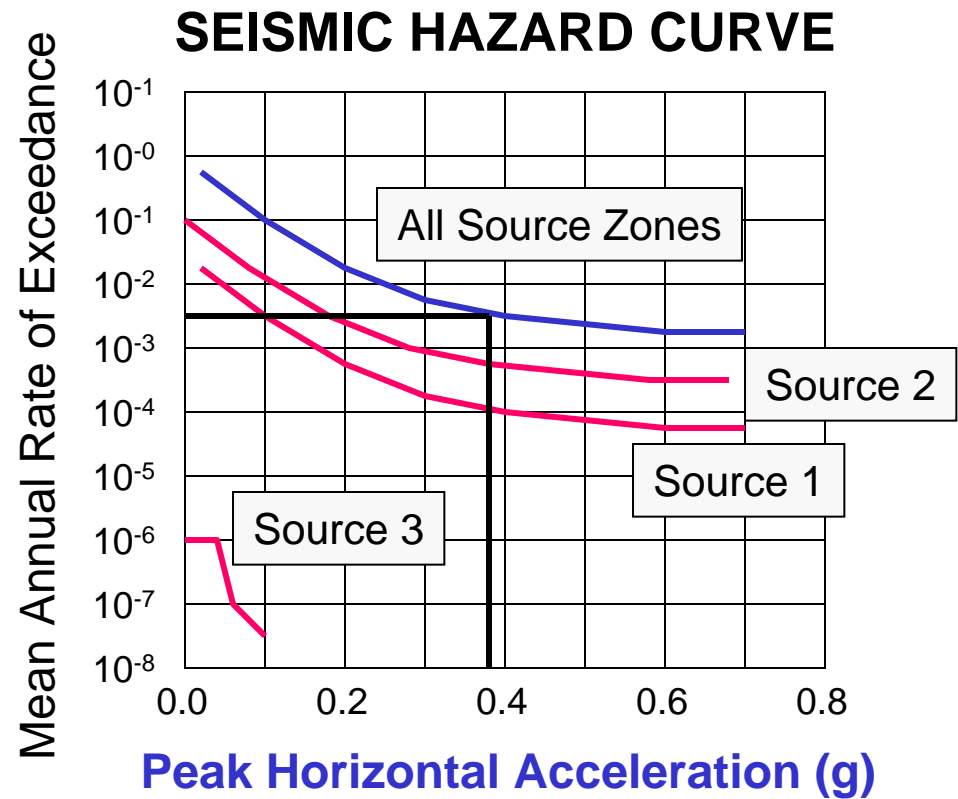
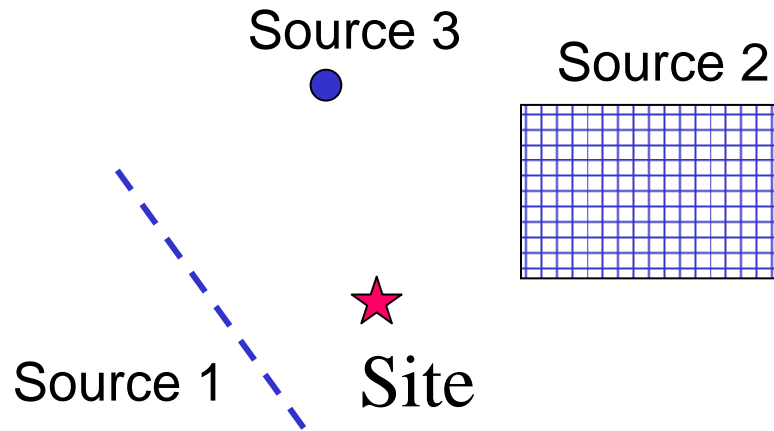


$$\lambda_{y^*} = \sum_{i=1}^{N_S} \sum_{j=1}^{N_M} \sum_{k=1}^{N_R} v_i P[Y > y^* | m_j, r_k] P[M = m_j] P[R = r_k]$$

# Example Probabilistic Analysis (Kramer)

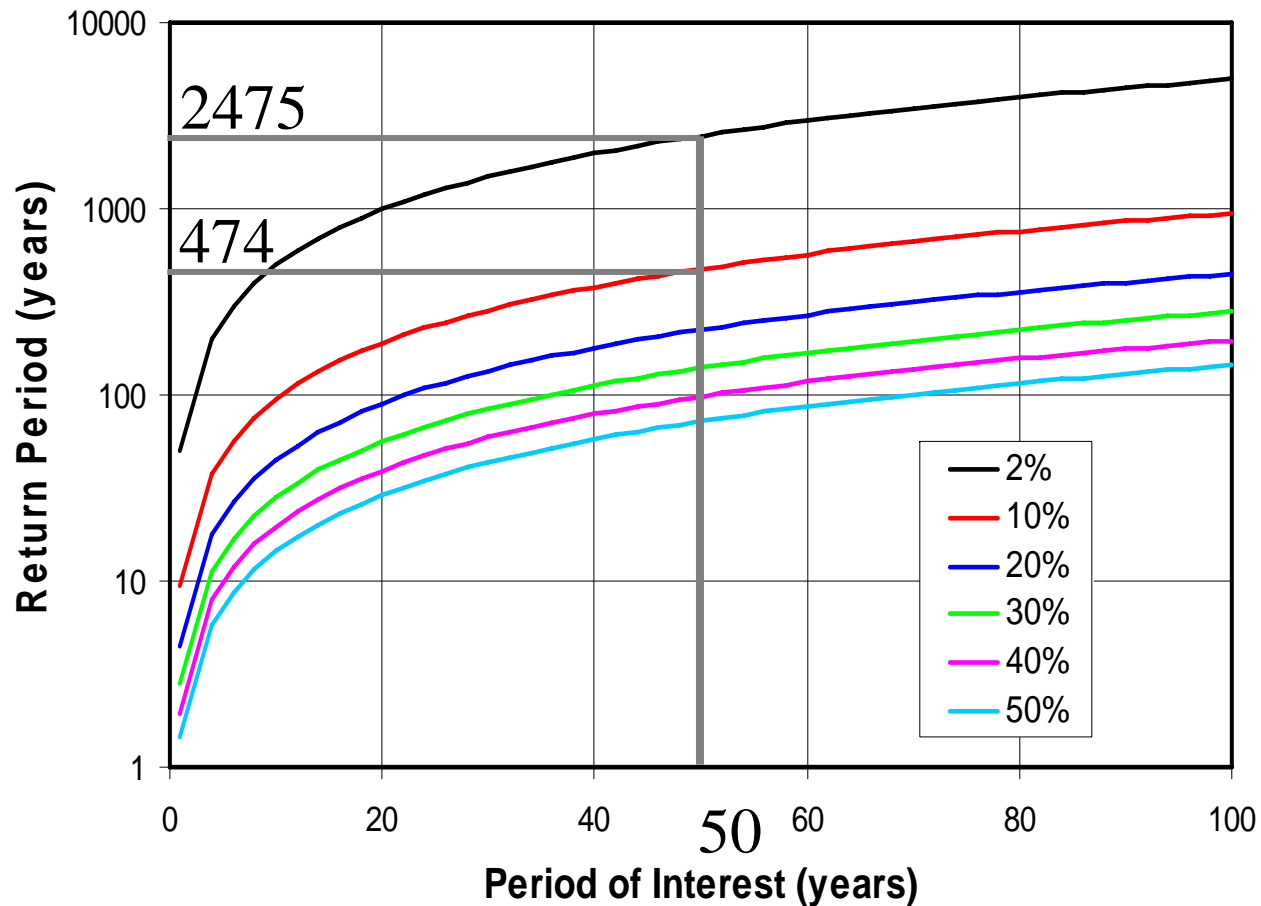


# Result of Probabilistic Hazard Analysis



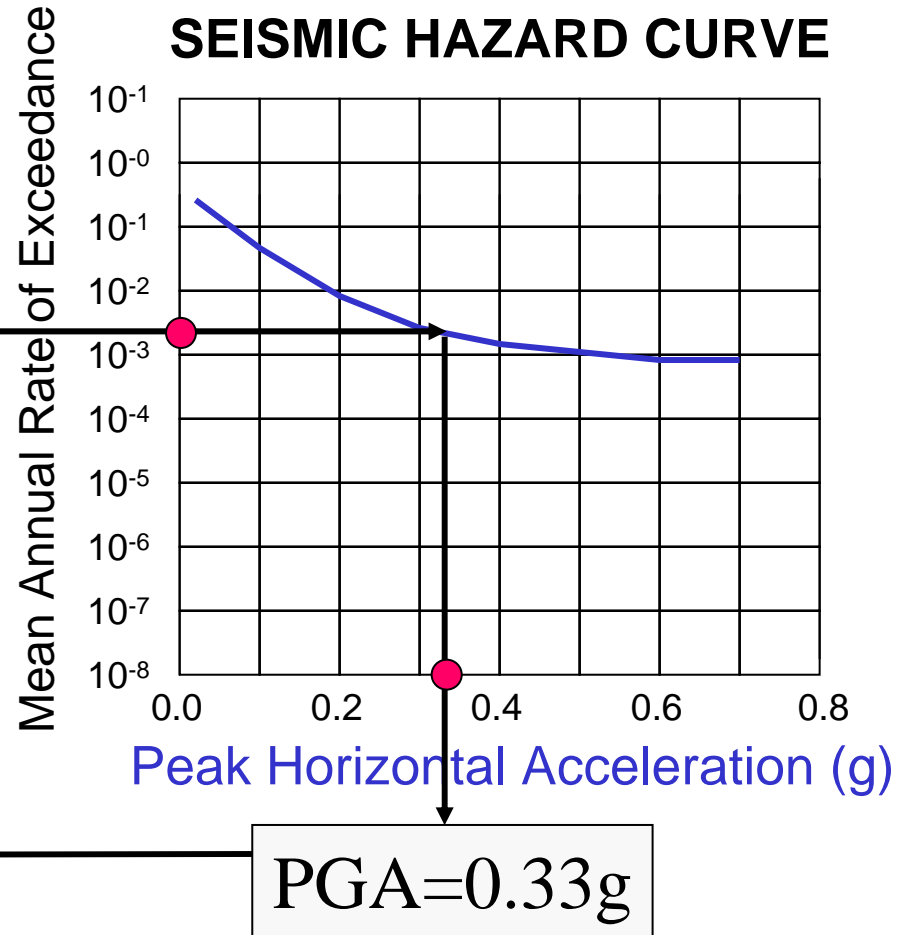
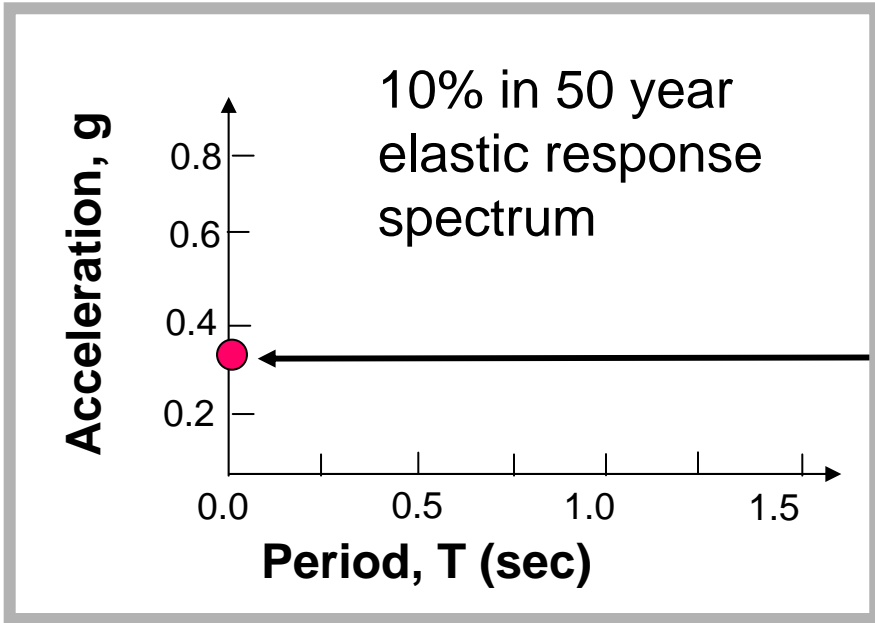
# Relationship Between Return Period, Period of Interest, and Probability of Exceedance

$$\text{Return period} = -T/\ln(1-P(Z>z))$$



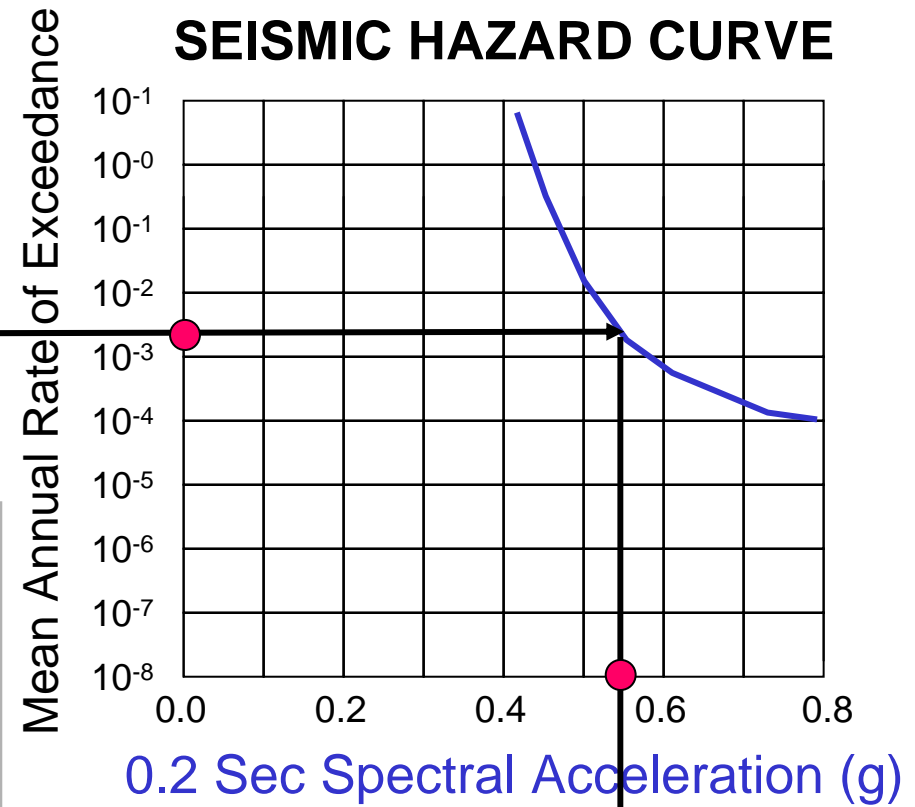
# Use of PGA Seismic Hazard Curve

10% probability in 50 years  
 Return period = 475 years  
 Rate of exceedance =  $1/475=0.0021$

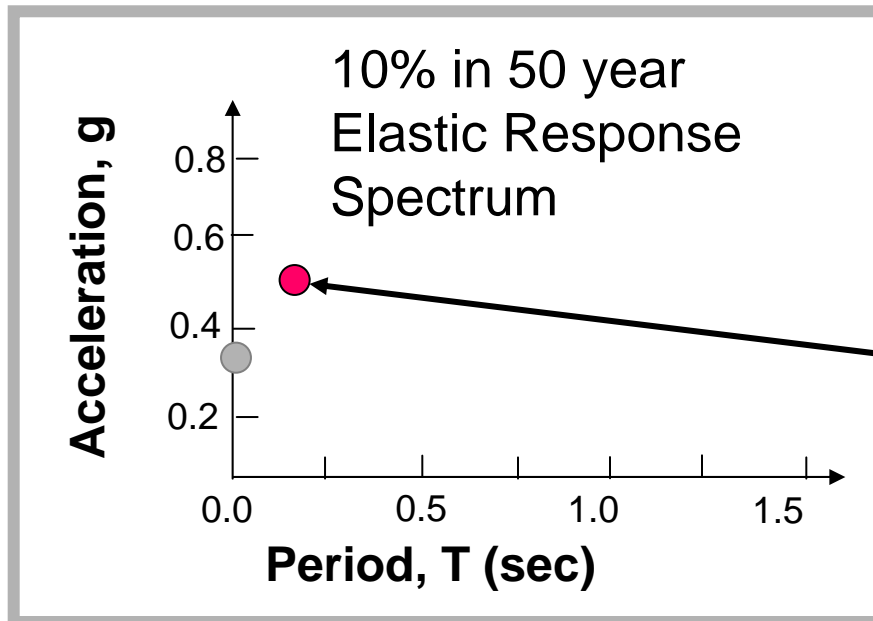


# Use of 0.2 Sec. Seismic Hazard Curve

10% probability in 50 years  
 Return period = 475 years  
 rate of exceedance =  $1/475=0.0021$

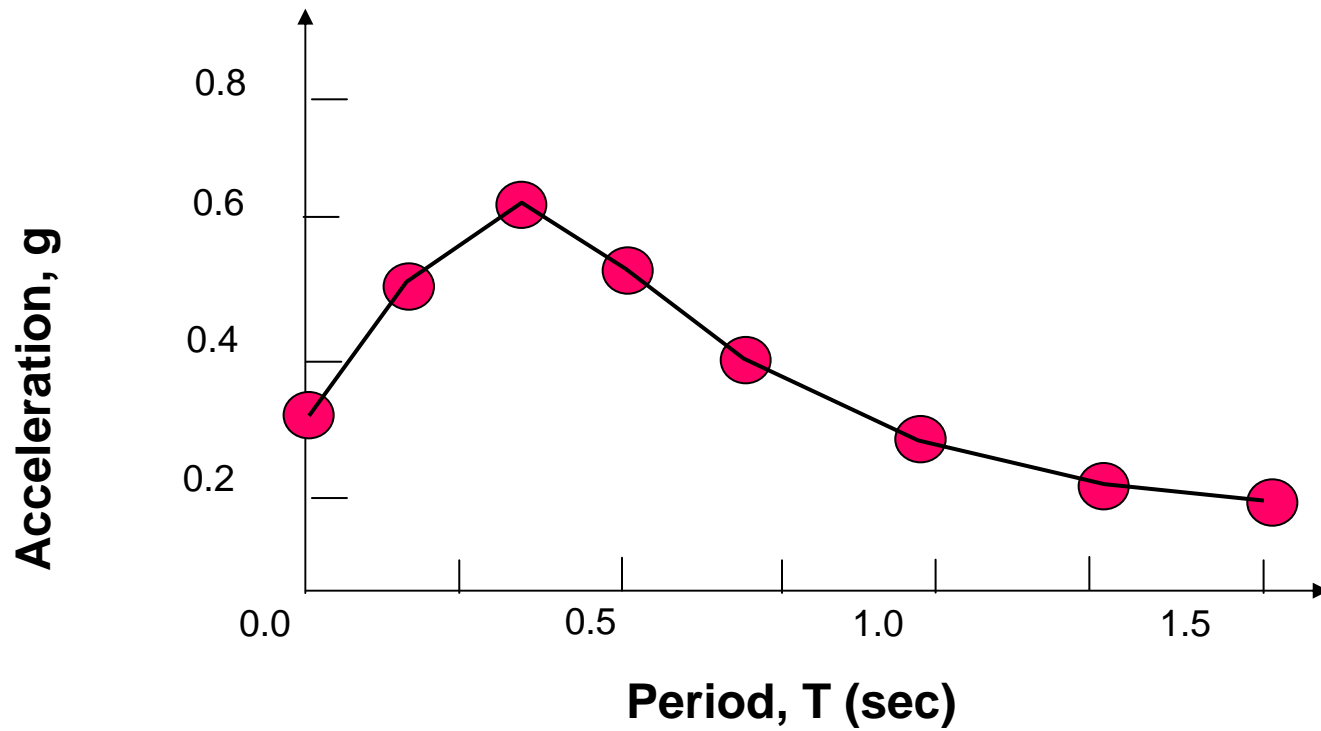


**PGA = 0.55g**

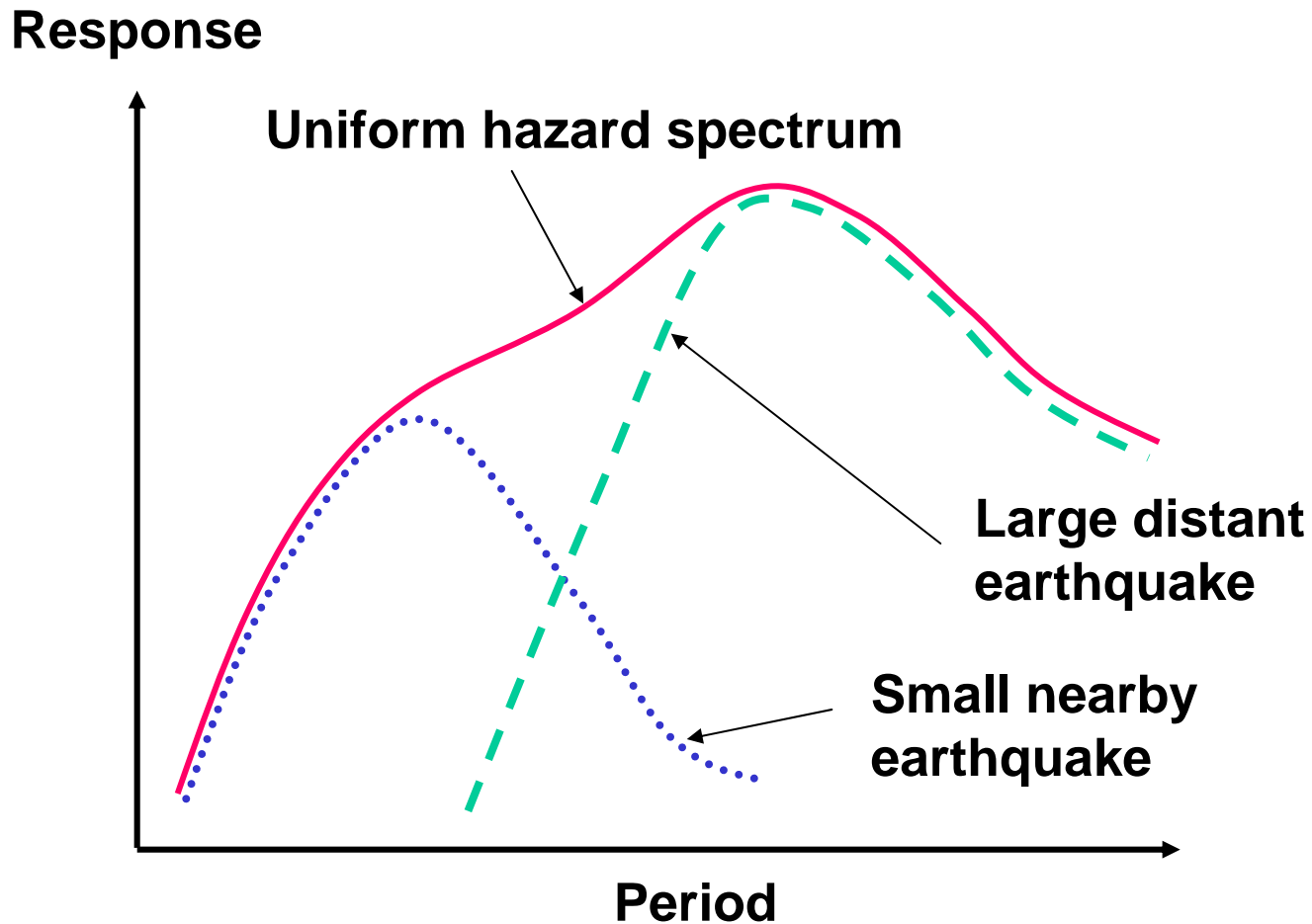




# 10% in 50 Year Elastic Response Spectrum



# Uniform Hazard Spectrum



# Uniform Hazard Spectrum

Developed from *probabilistic* analysis

All ordinates have equal probability of exceedance

Represents contributions from small local,  
large distant earthquakes

May be overly conservative for modal response  
spectrum analysis

May not be appropriate for artificial ground motion  
generation

# Probabilistic vs Deterministic Seismic Hazard Analysis

“The *deterministic* approach provides a clear and trackable method of computing seismic hazard whose assumptions are easily discerned. It provides understandable scenarios that can be related to the problem at hand.”

“However, it has no way for accounting for uncertainty. Conclusions based on deterministic analysis can easily be upset by the occurrence of new earthquakes.”

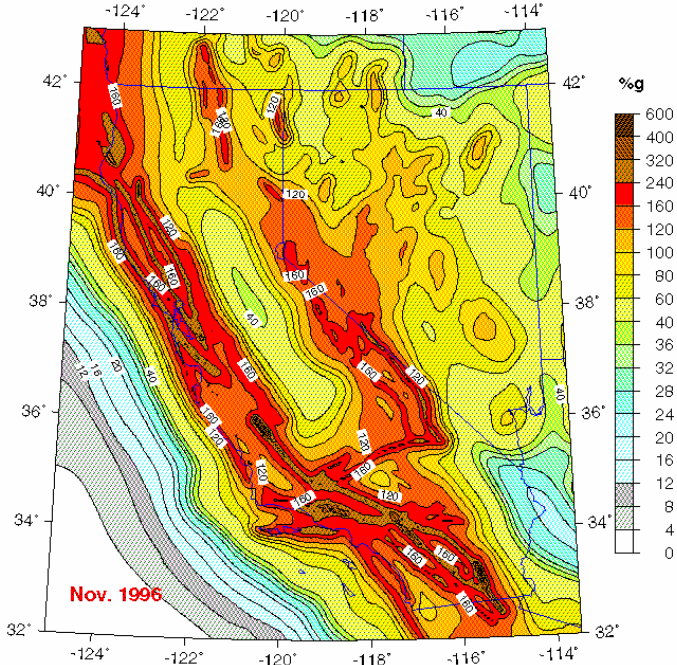
# Probabilistic vs Deterministic Seismic Hazard Analysis

“The *probabilistic* approach is capable of integrating a wide range of information and uncertainties into a flexible framework.”

“Unfortunately, its highly integrated framework can obscure those elements which drive the results, and its highly quantitative nature can lead to false impressions of accuracy.”

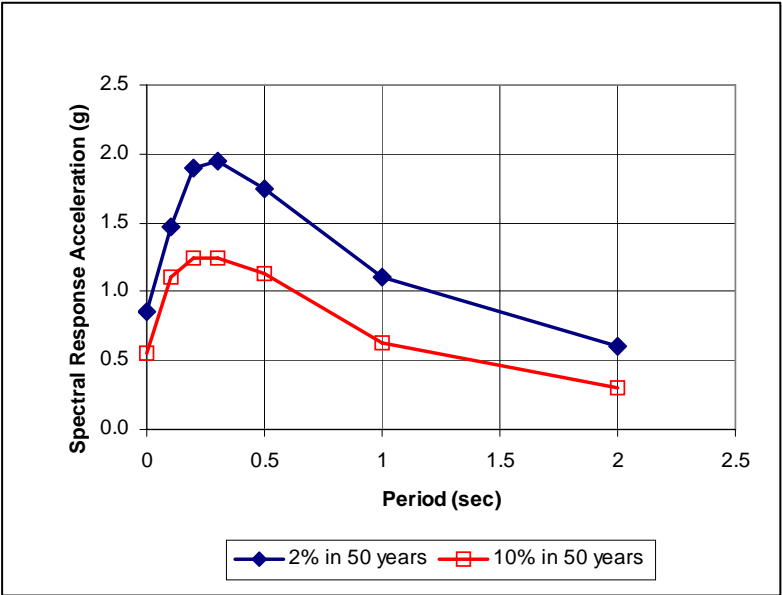
# USGS Probabilistic Hazard Maps (Project 97)

0.2 sec Spectral Accel. (%g) with 2% Probability of Exceedance in 50 Years  
 site: NEHRP B-C boundary



For California portion: U.S. Geological Survey - California Division of Mines and Geology  
 For Nevada and surrounding states: USGS

## HAZARD MAP



## RESPONSE SPECTRA



# USGS Probabilistic Hazard Maps (and *NEHRP Provisions* Maps)

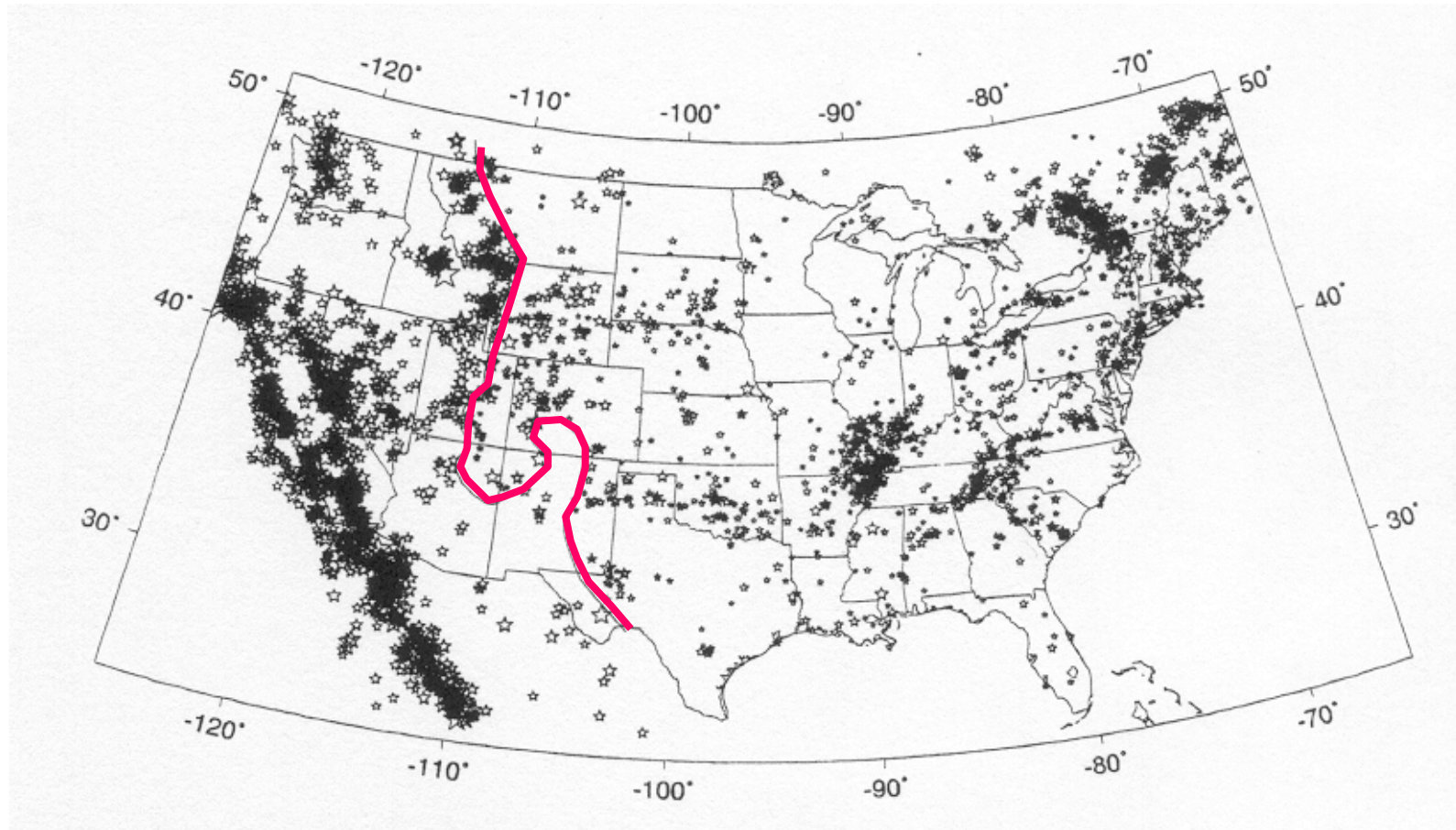
*Earthquake Spectra*, Seismic Design Provisions and Guidelines Theme Issue, Volume 16, Number 1, February 2000

# Maximum Considered Earthquake (MCE)

The MCE ground motions are defined as the maximum level of earthquake shaking that is considered as reasonable to design normal structures to resist.



# USGS Seismic Hazard Regions



Note: Different attenuation relationships used for different regions.

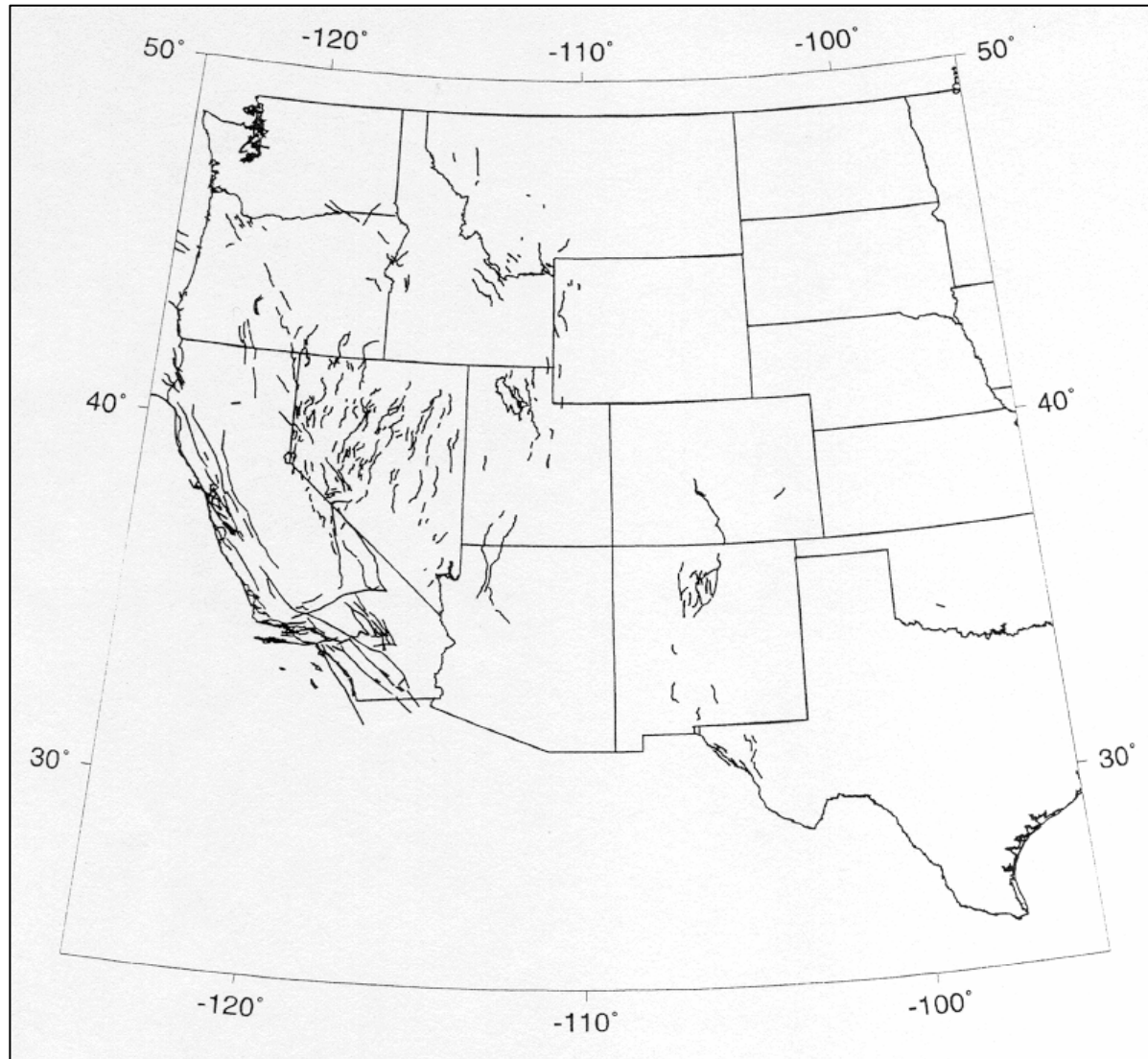


**FEMA**

Instructional Material Complementing *FEMA 451, Design Examples*

Seismic Hazard Analysis 5a - 41

# USGS Seismic Hazard WUS Faults

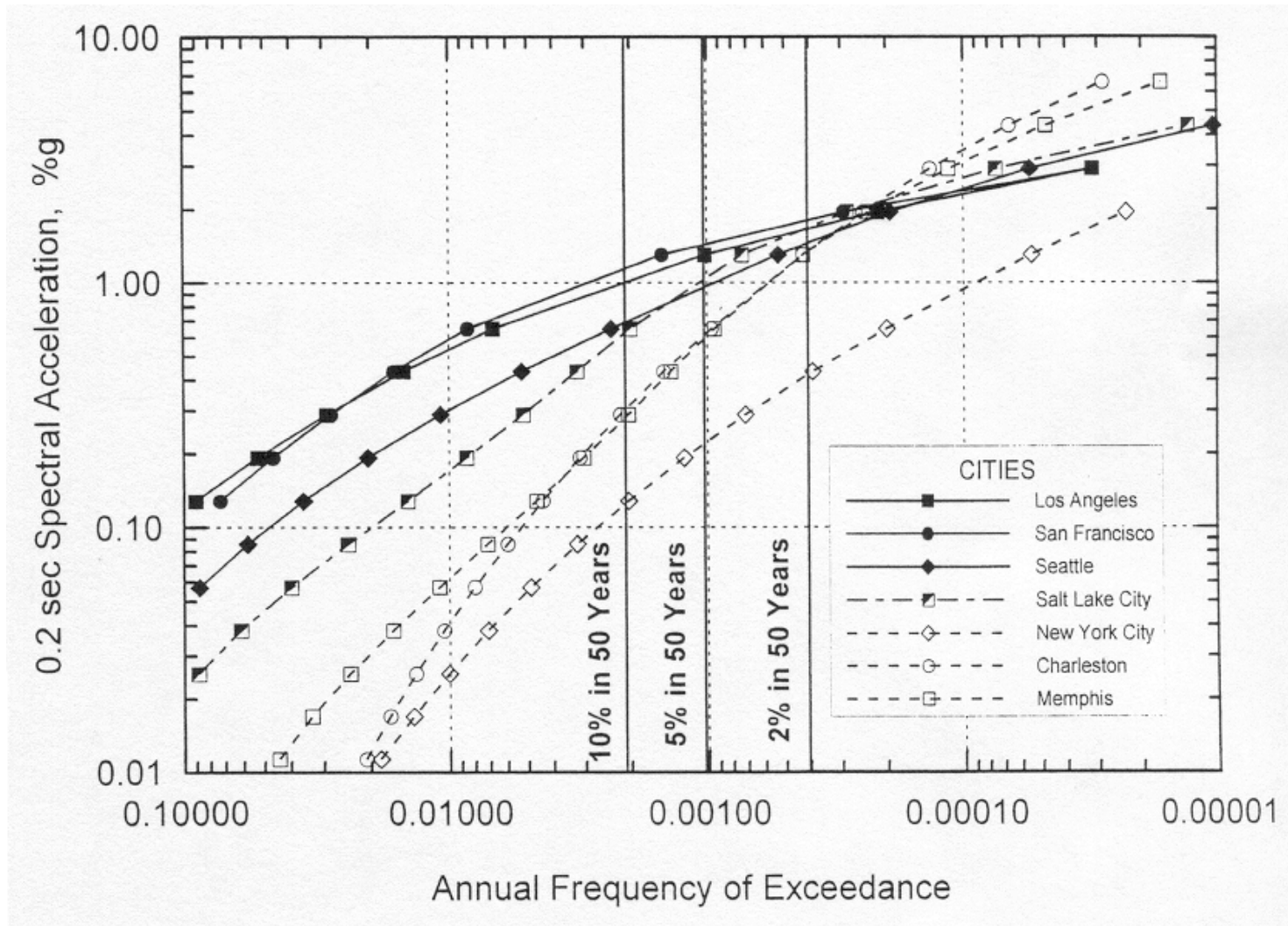


**FEMA**

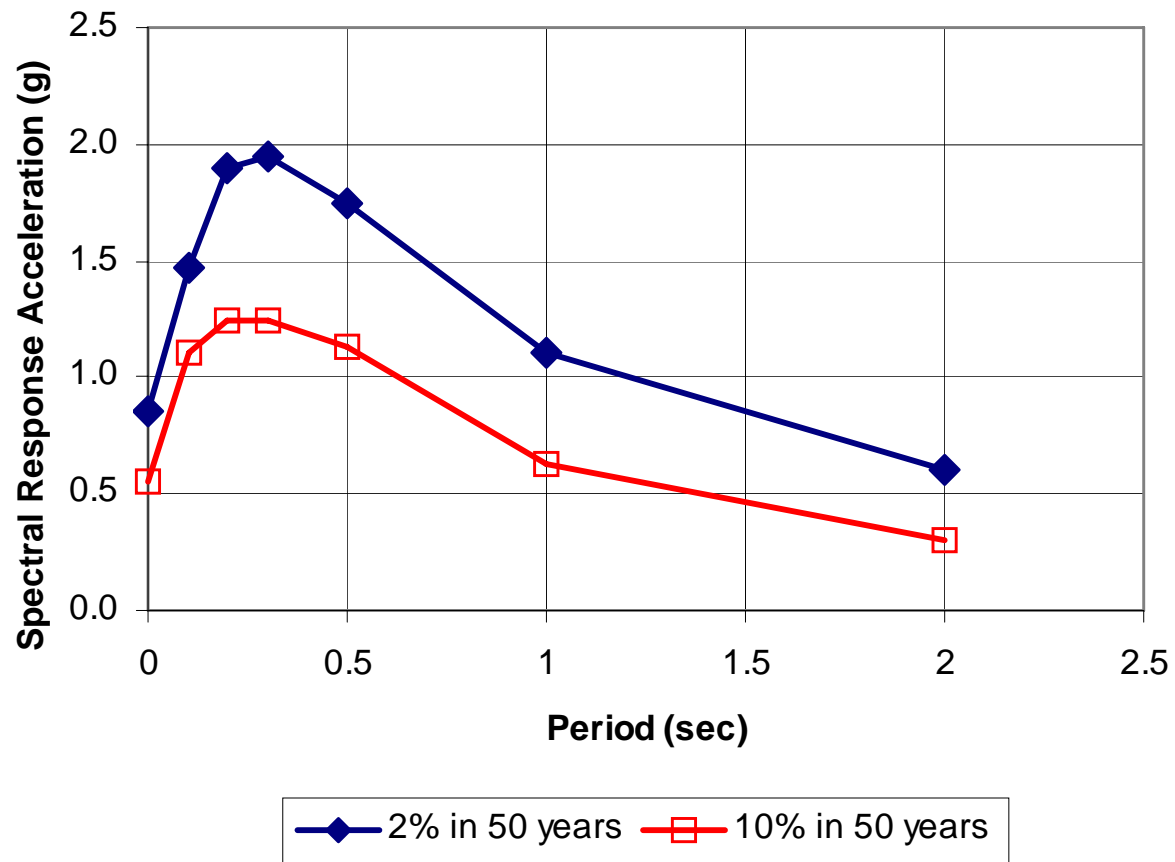
Instructional Material Complementing *FEMA 451, Design Examples*

Seismic Hazard Analysis 5a - 42

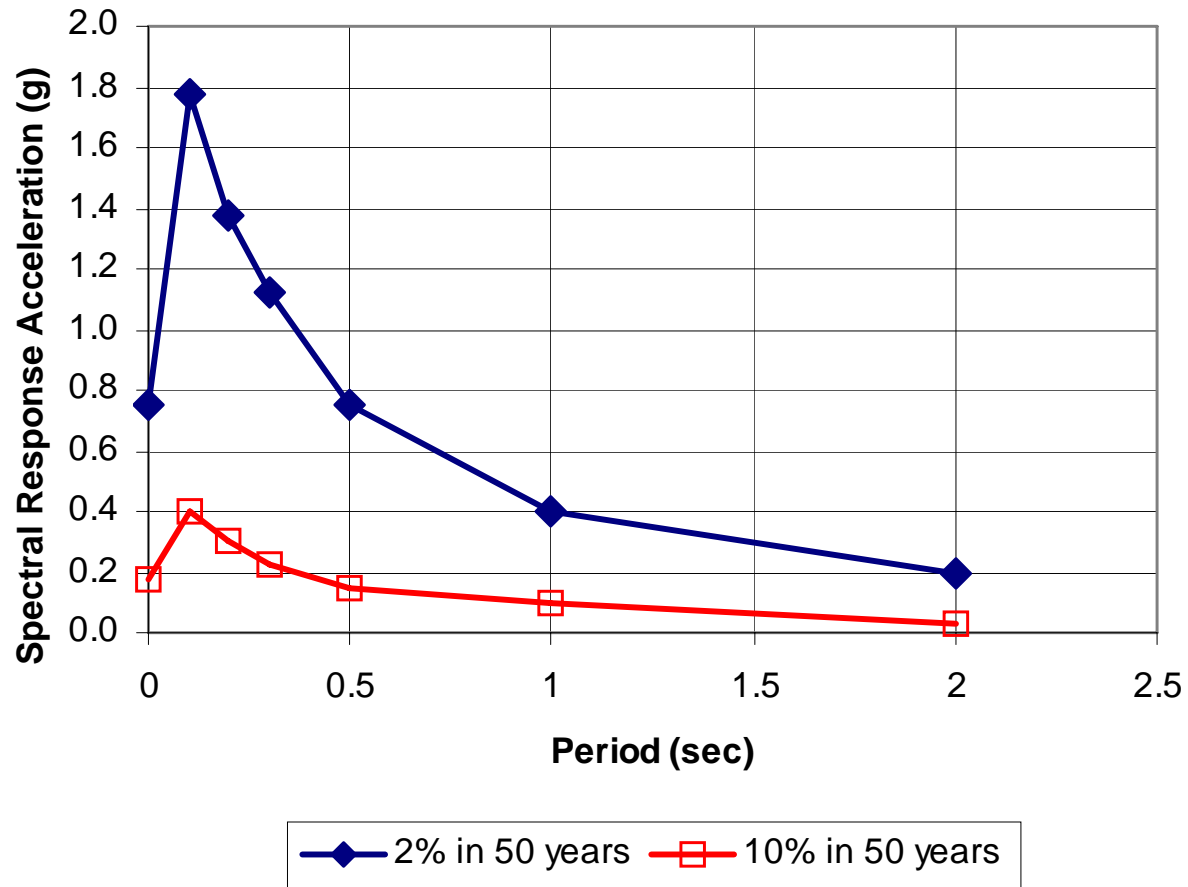
# USGS Seismic Hazard Curves for Various Cities



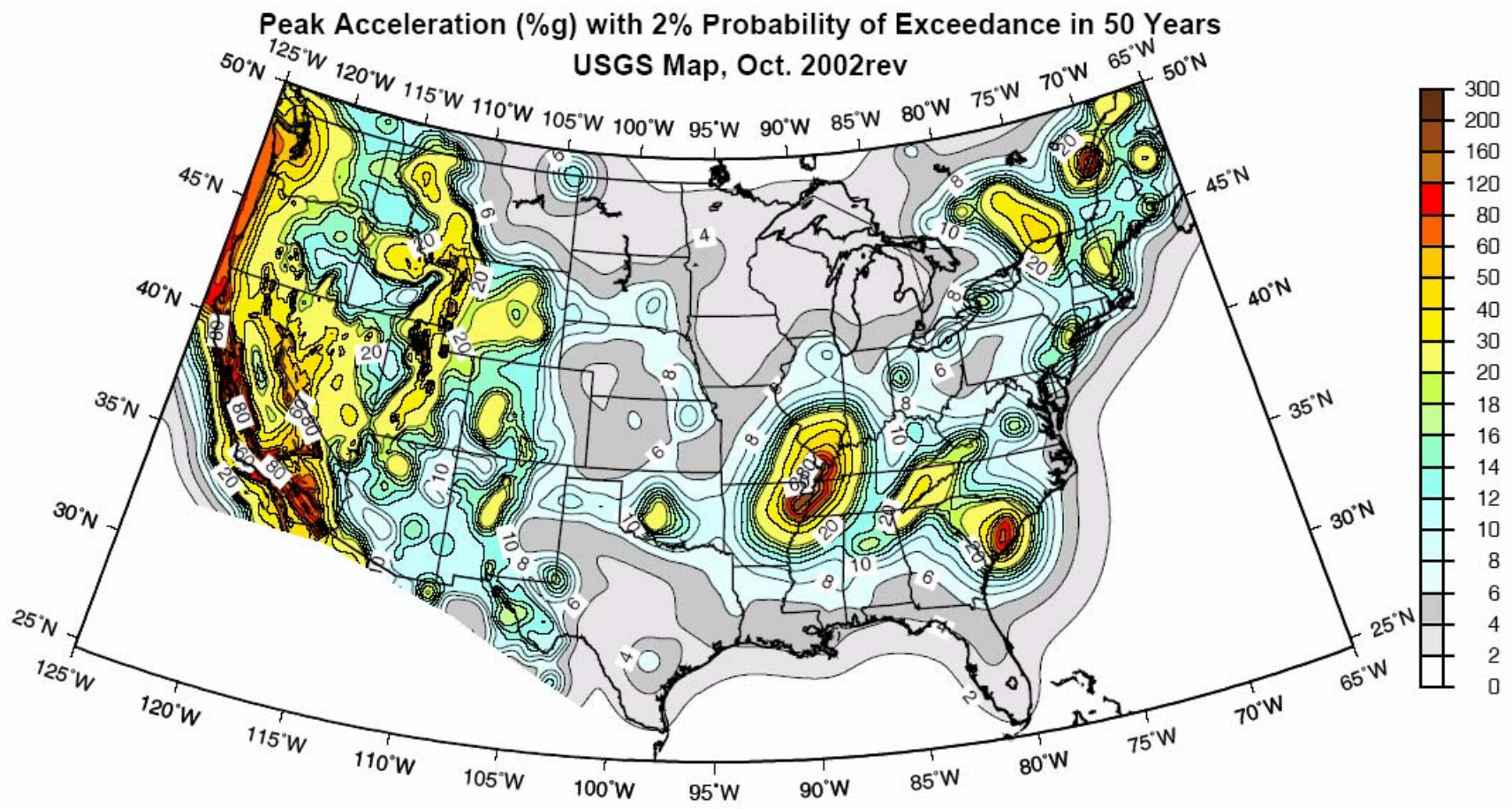
# Uniform Hazard Spectra for San Francisco



# Uniform Hazard Spectra for Charleston, SC



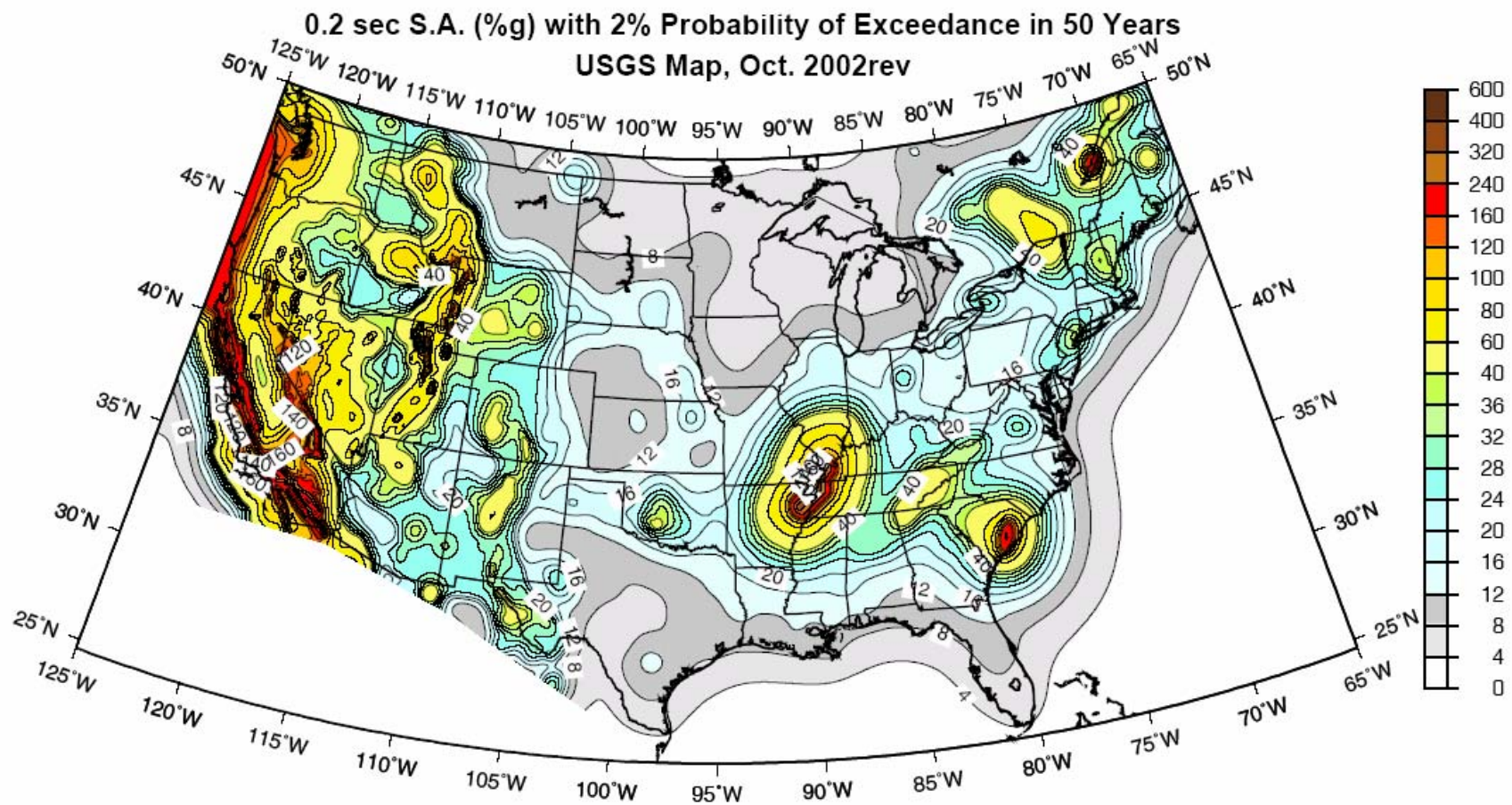
# USGS Seismic Hazard Map of Coterminous United States



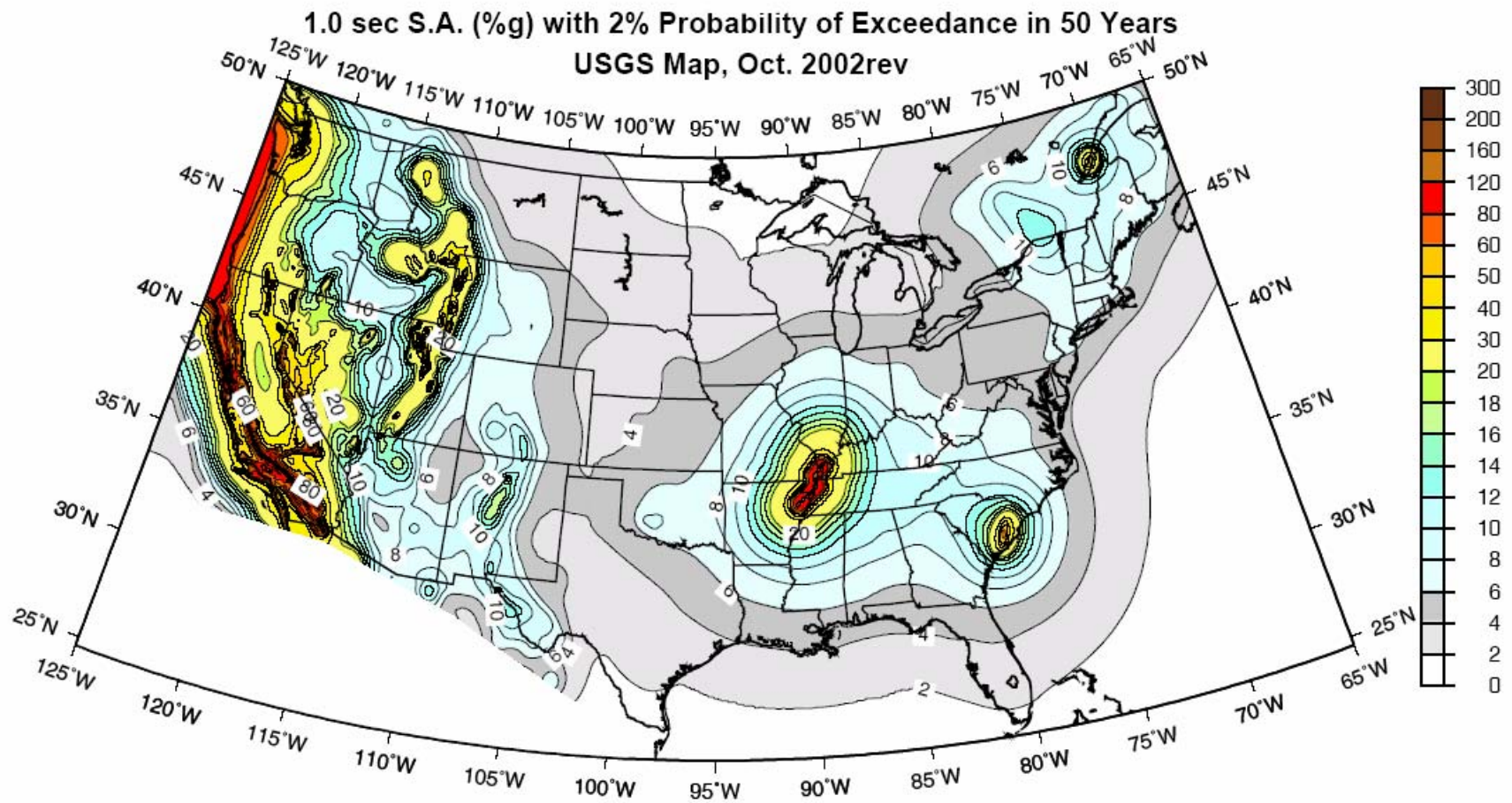
<http://earthquake.usgs.gov/hazmaps/>



# USGS Seismic Hazard Map of Coterminous United States



# USGS Seismic Hazard Map for Coterminous United States



FEMA

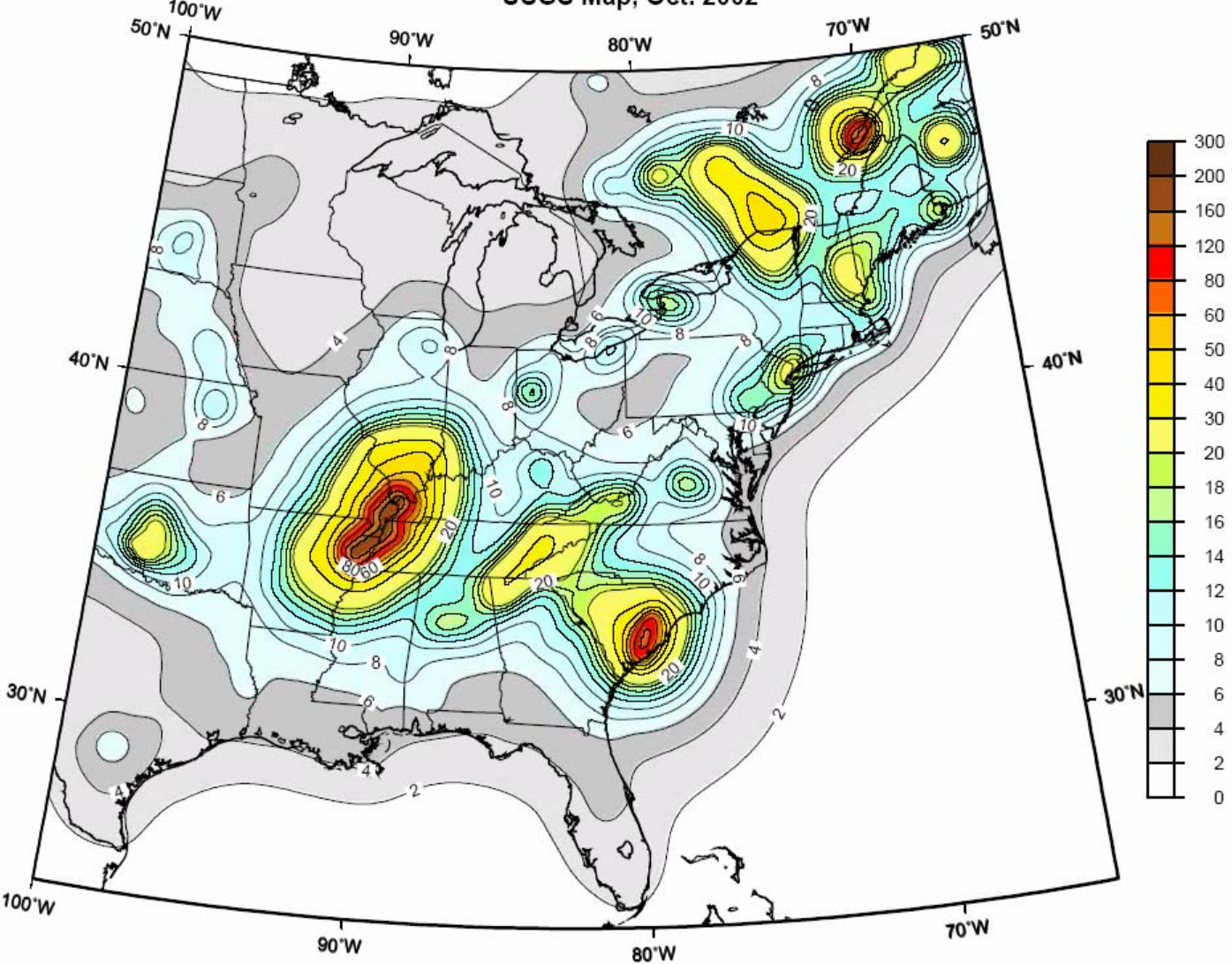
Instructional Material Complementing *FEMA 451, Design Examples*

Seismic Hazard Analysis 5a - 48



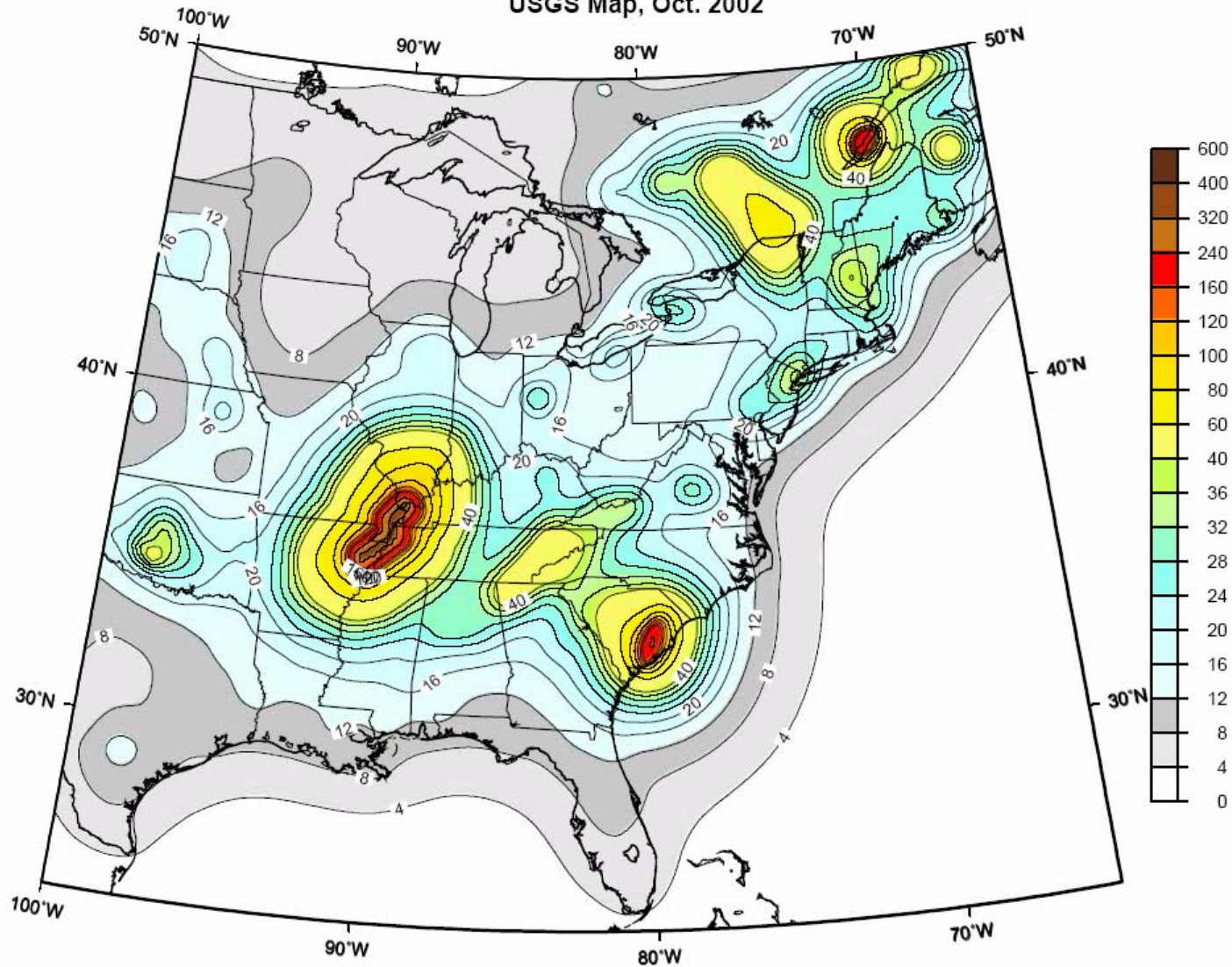
# USGS Map for Central and Eastern United States

Peak Acceleration (%g) with 2% Probability of Exceedance in 50 Years  
USGS Map, Oct. 2002



# USGS Map for Central and Eastern United States

0.2 sec SA (%g) with 2% Probability of Exceedance in 50 Years  
USGS Map, Oct. 2002



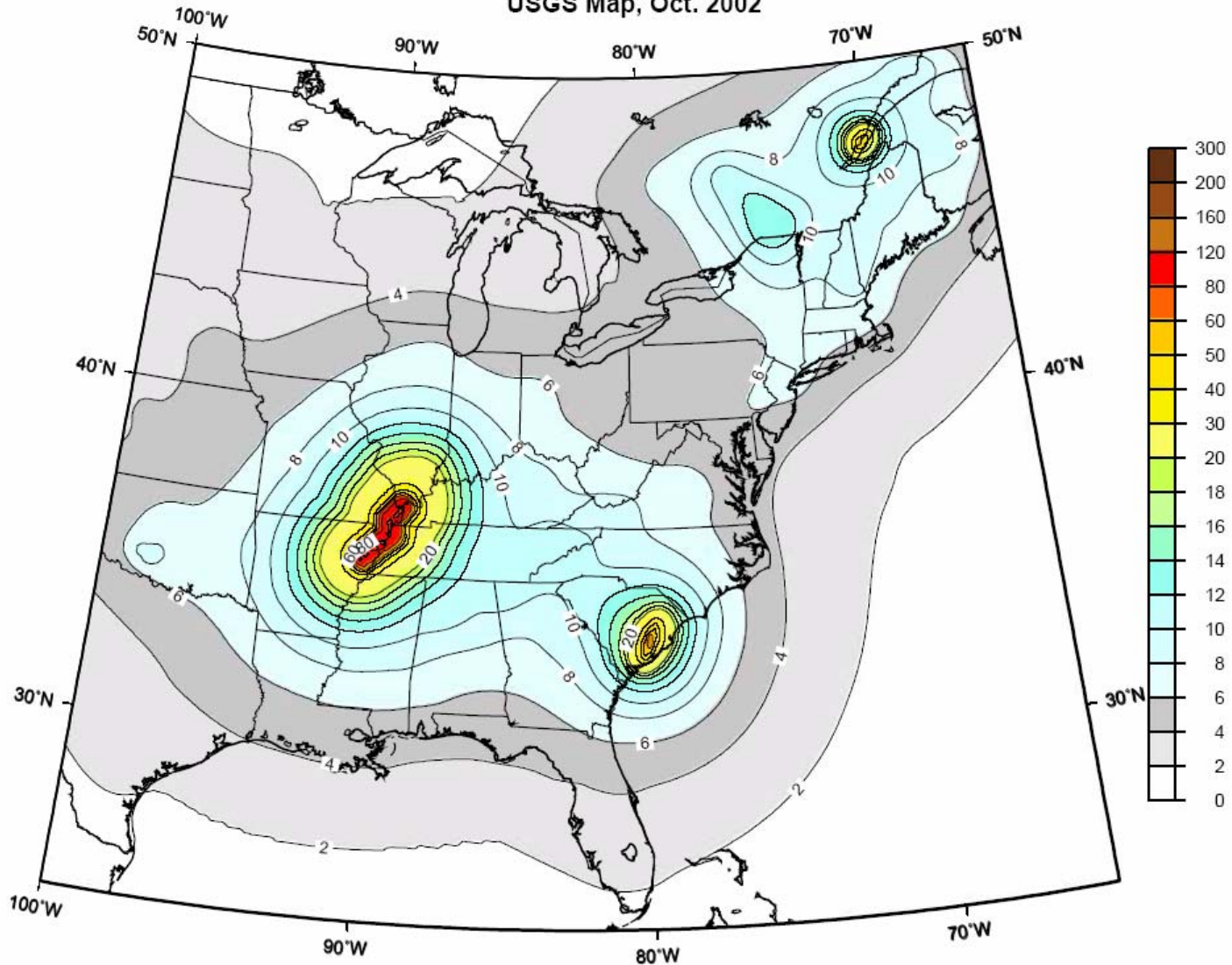
FEMA

Instructional Material Complementing *FEMA 451, Design Examples*

Seismic Hazard Analysis 5a - 50

# USGS Map for Central and Eastern United States

1.0 sec SA (%g) with 2% Probability of Exceedance in 50 Years  
USGS Map, Oct. 2002

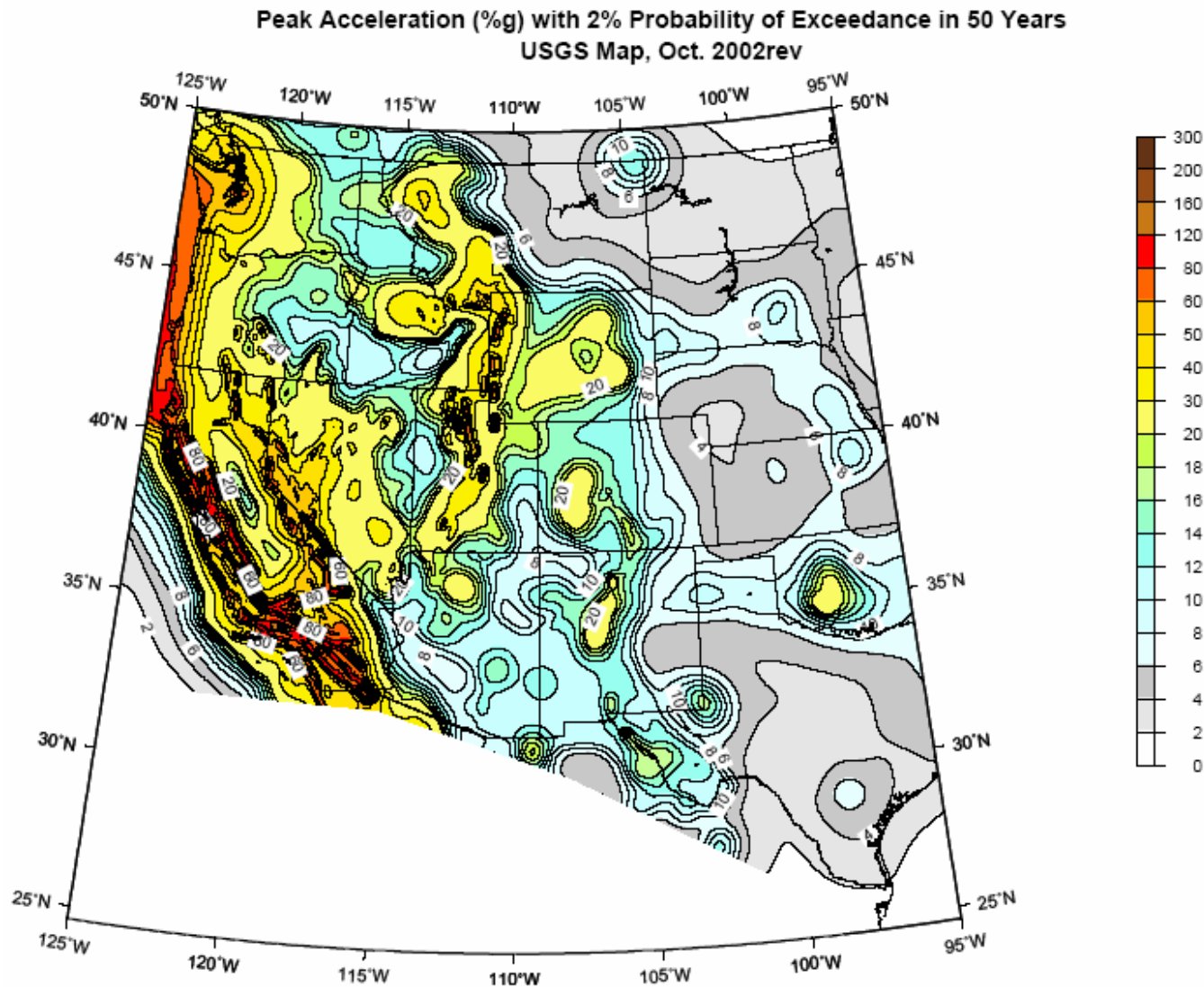


FEMA

Instructional Material Complementing *FEMA 451, Design Examples*

Seismic Hazard Analysis 5a - 51

# USGS Map for Western United States

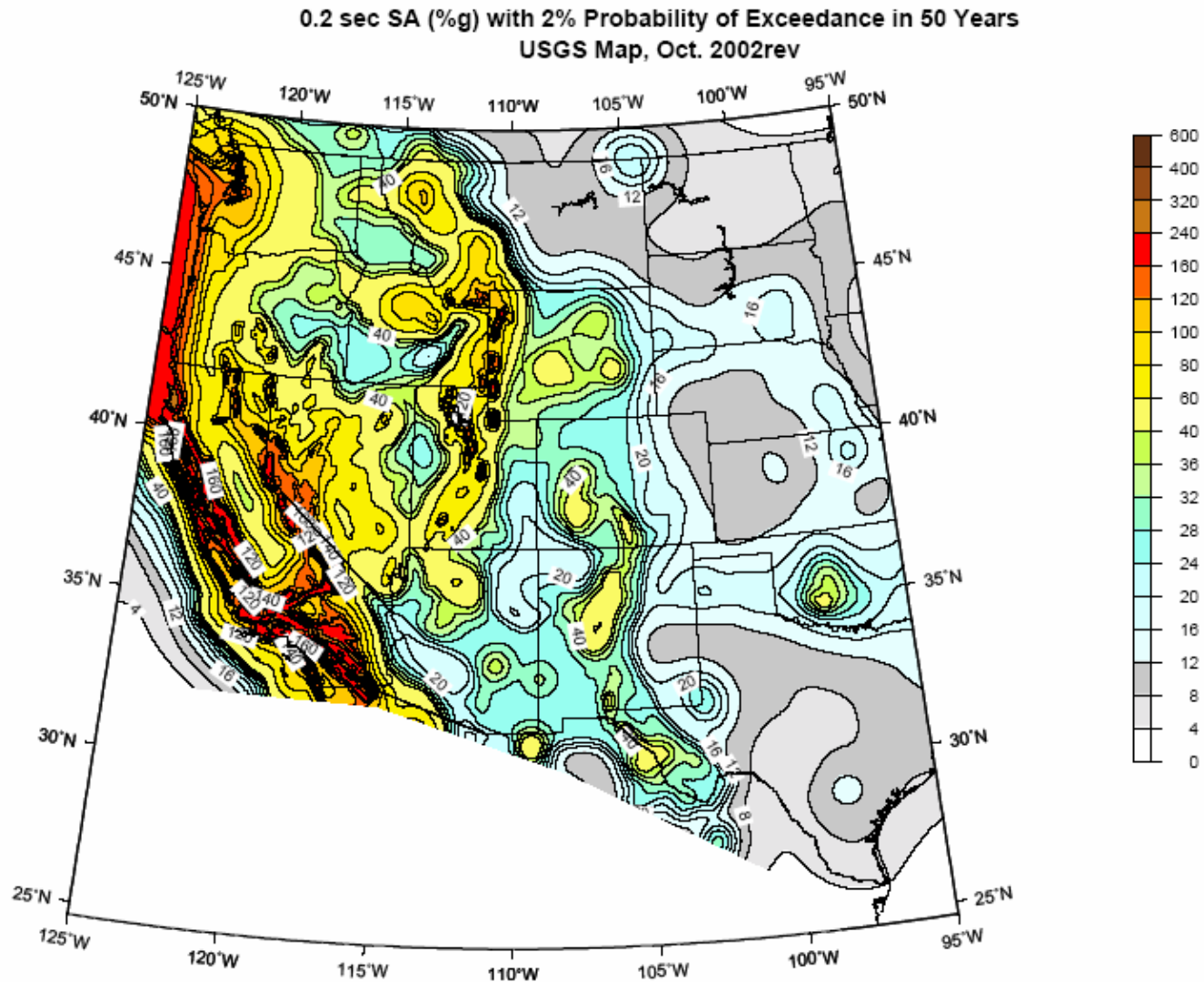


FEMA

Instructional Material Complementing *FEMA 451, Design Examples*

Seismic Hazard Analysis 5a - 52

# USGS Map for Western United States



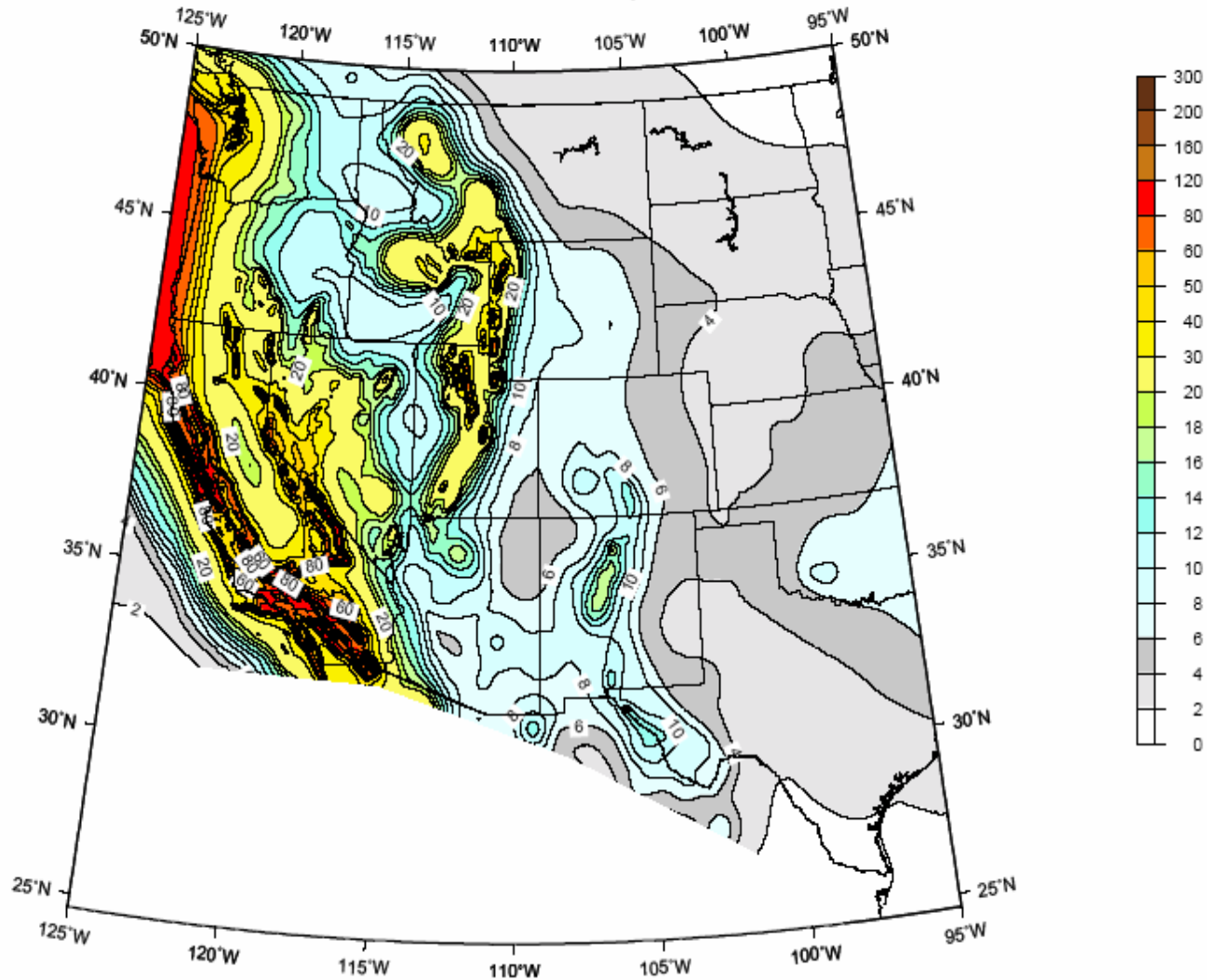
FEMA

Instructional Material Complementing *FEMA 451, Design Examples*

Seismic Hazard Analysis 5a - 53

# USGS Map for Western United States

1.0 sec SA (%g) with 2% Probability of Exceedance in 50 Years  
USGS Map, Oct. 2002rev



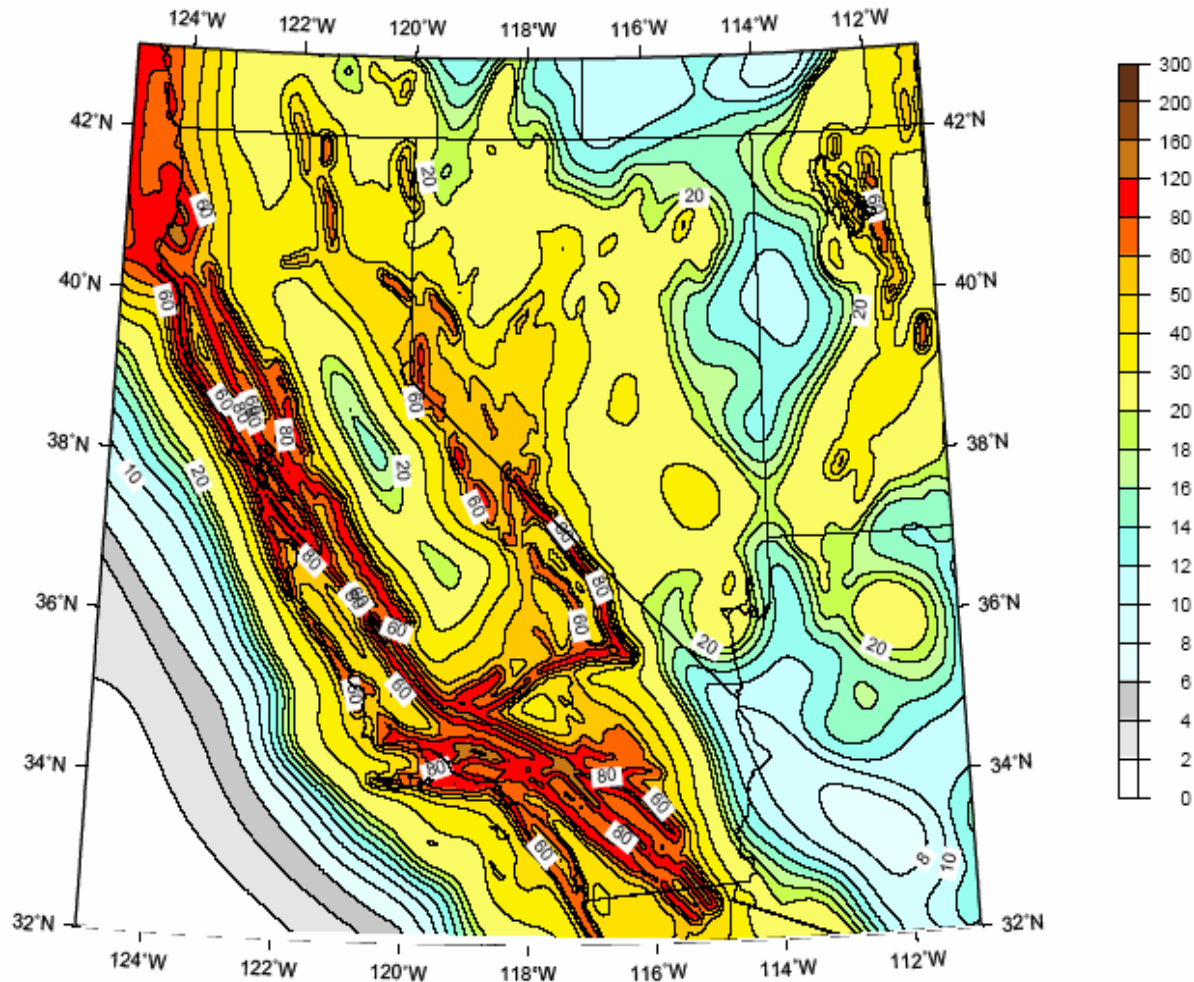
FEMA

Instructional Material Complementing *FEMA 451, Design Examples*

Seismic Hazard Analysis 5a - 54

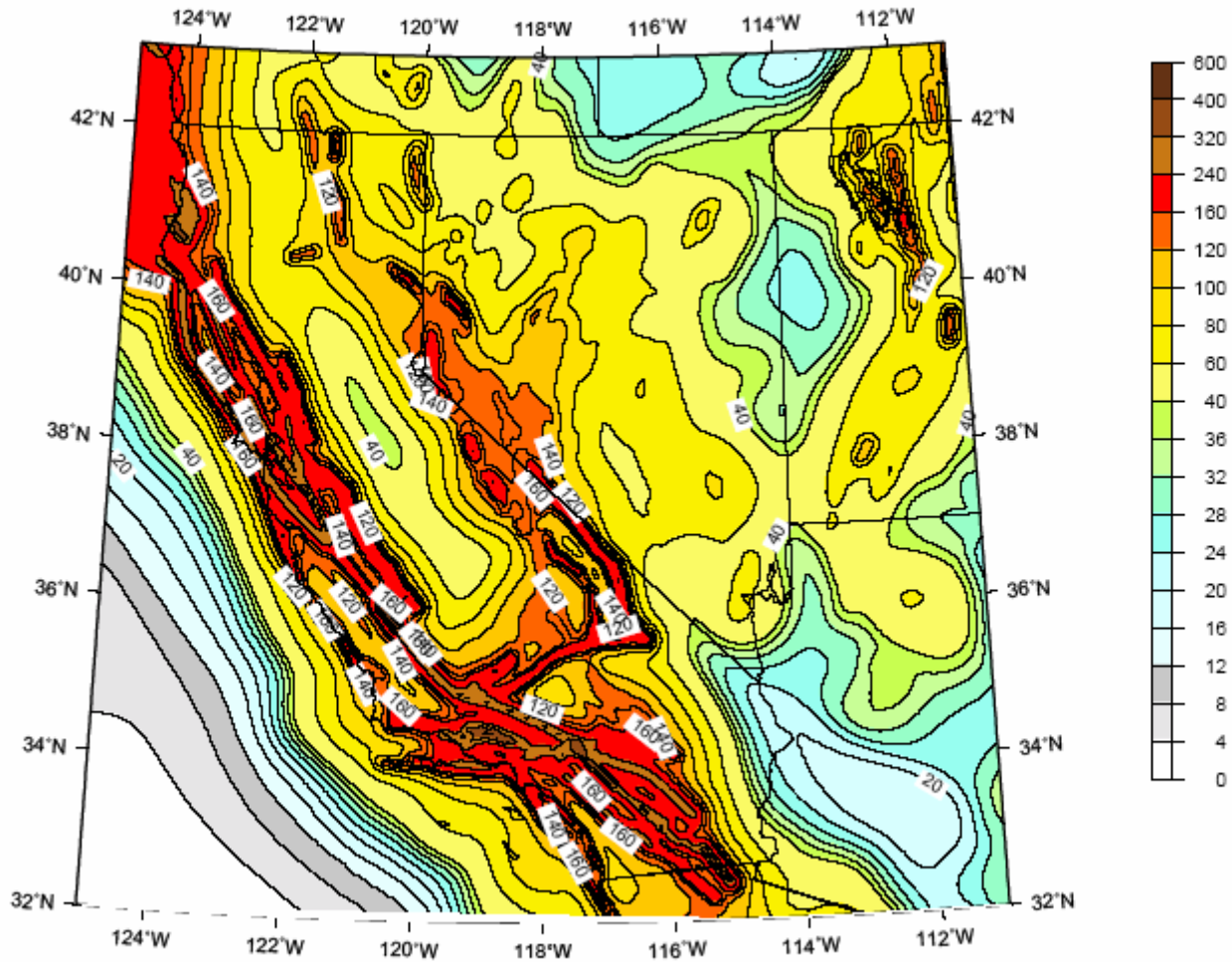
# USGS Map for California

Peak Acceleration (%g) with 2% Probability of Exceedance in 50 Years  
USGS Map, Oct. 2002rev



# USGS Map for California

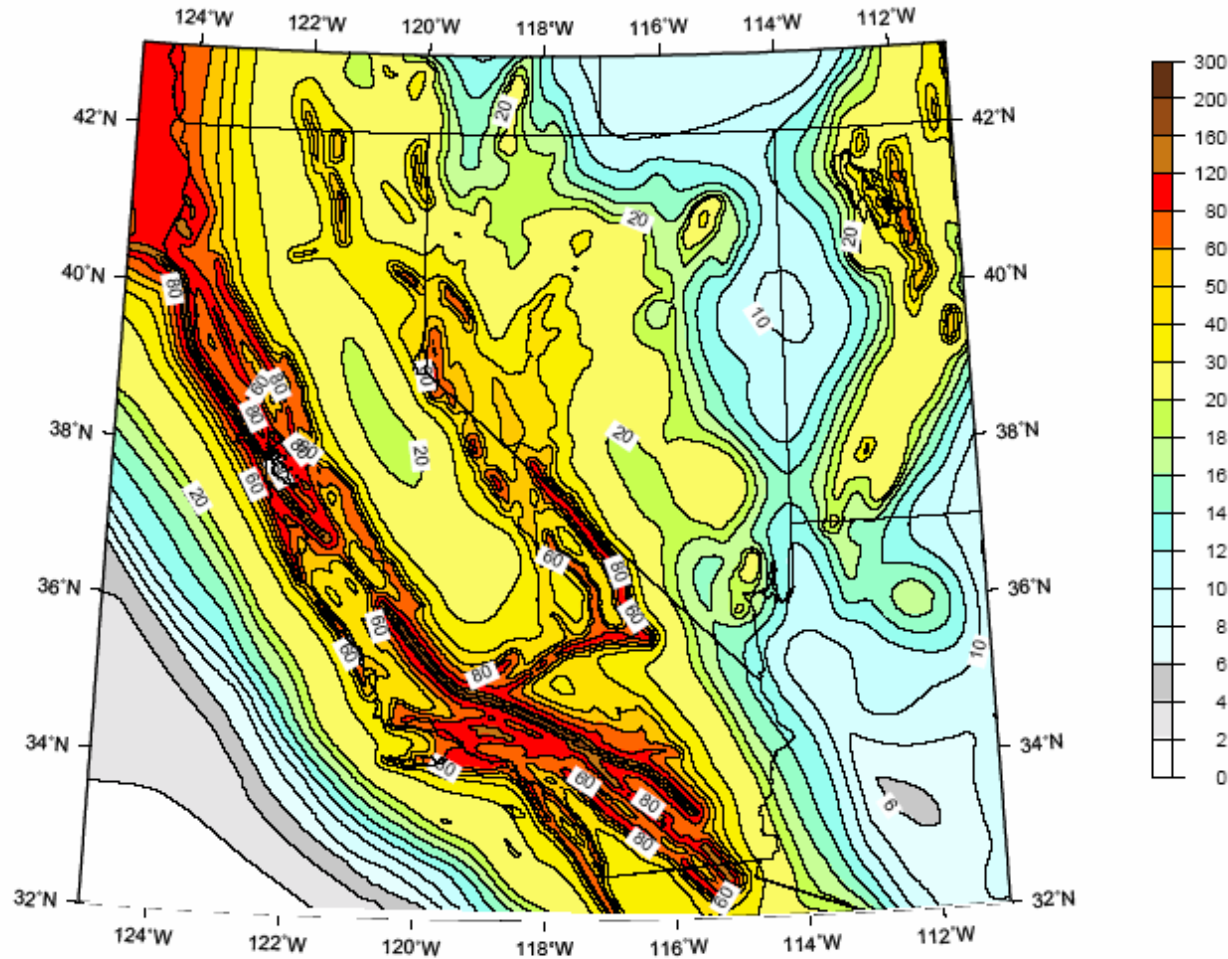
0.2 sec SA (%g) with 2% Probability of Exceedance in 50 Years  
USGS Map, Oct. 2002rev





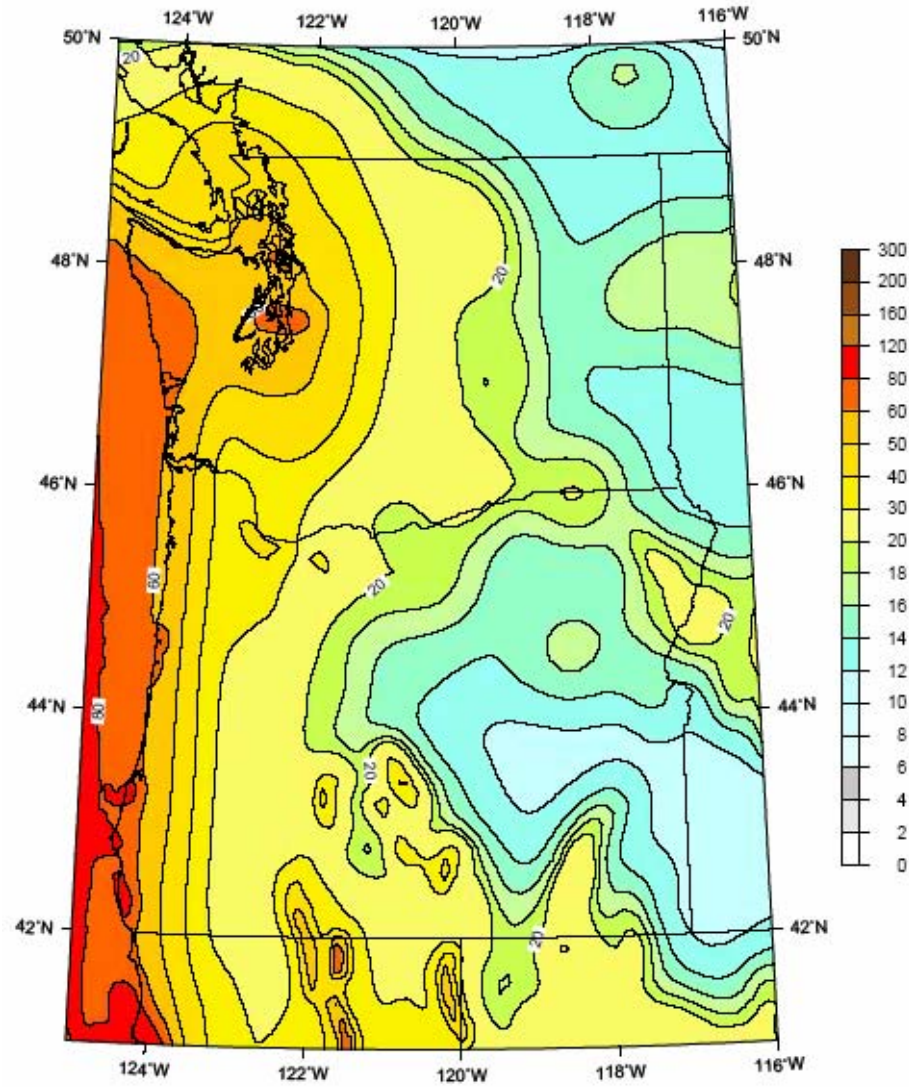
# USGS Map for California

1.0 sec SA (%g) with 2% Probability of Exceedance in 50 Years  
USGS Map, Oct. 2002rev



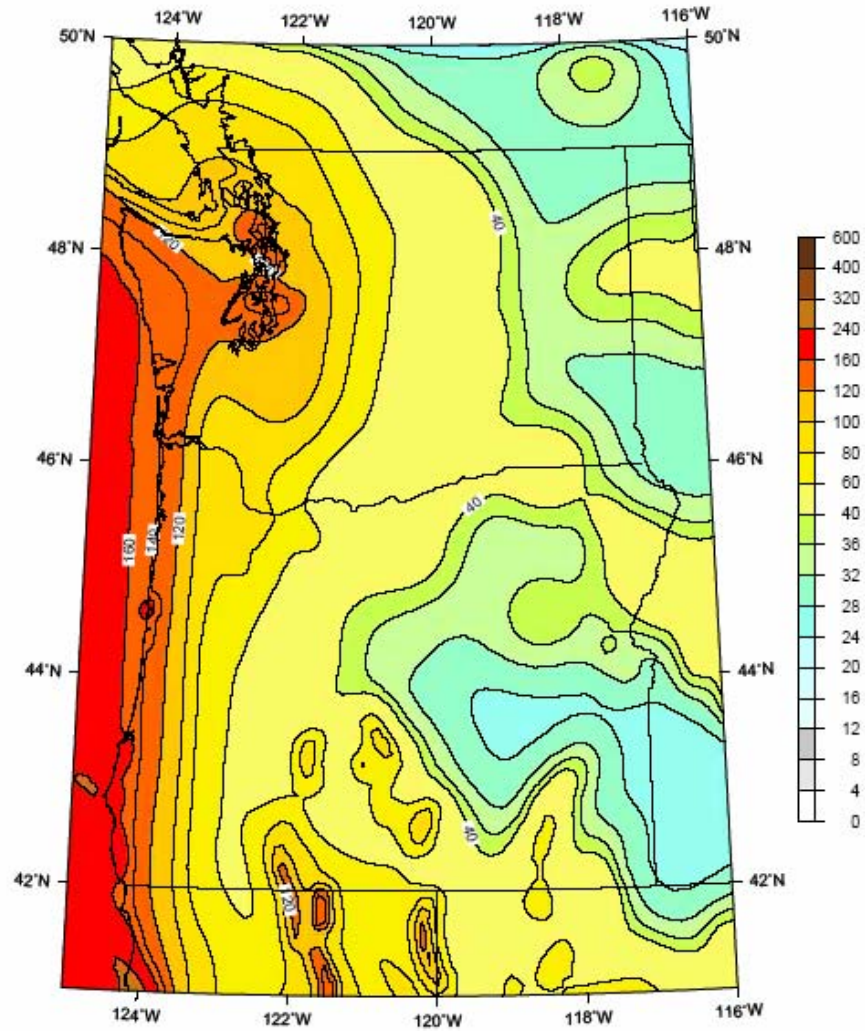
# USGS Map for Pacific Northwest

Peak Accel. (%g) with 2% Probability of Exceedance in 50 Years  
USGS Map, Oct. 2002



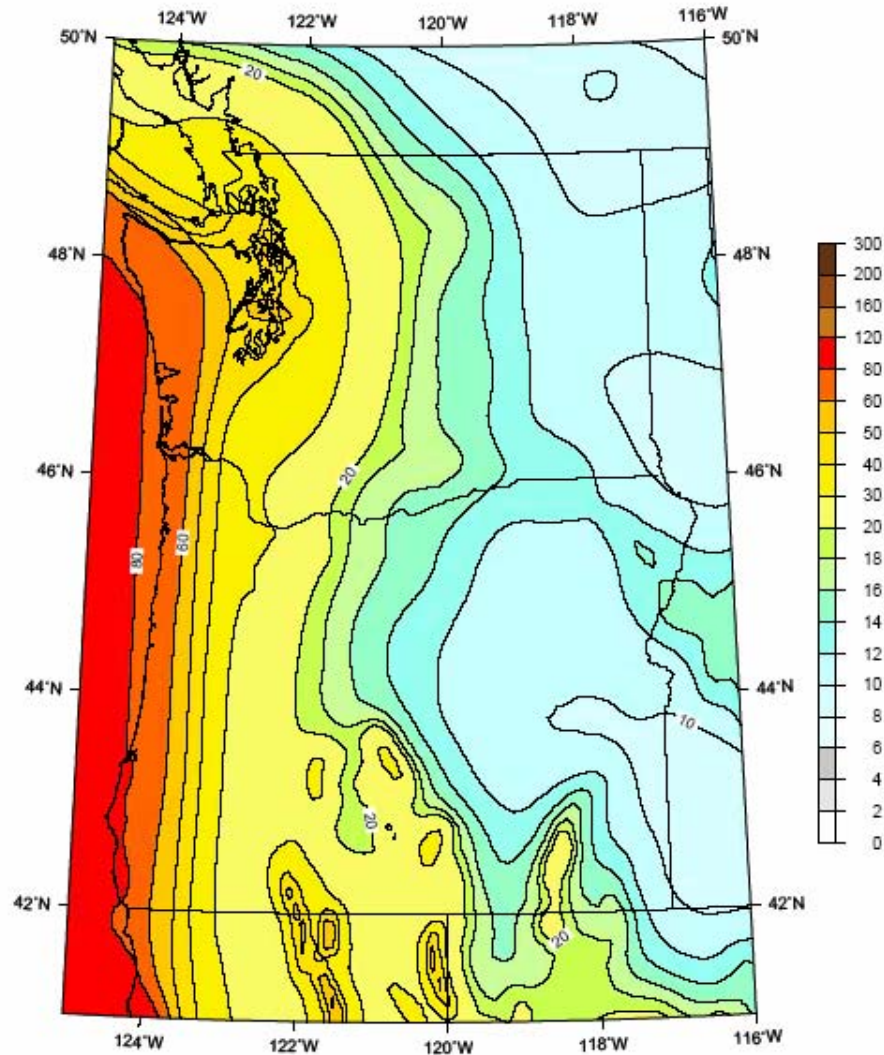
# USGS Map for Pacific Northwest

0.2 sec SA (%g) with 2% Probability of Exceedance in 50 Years  
USGS Map, Oct. 2002

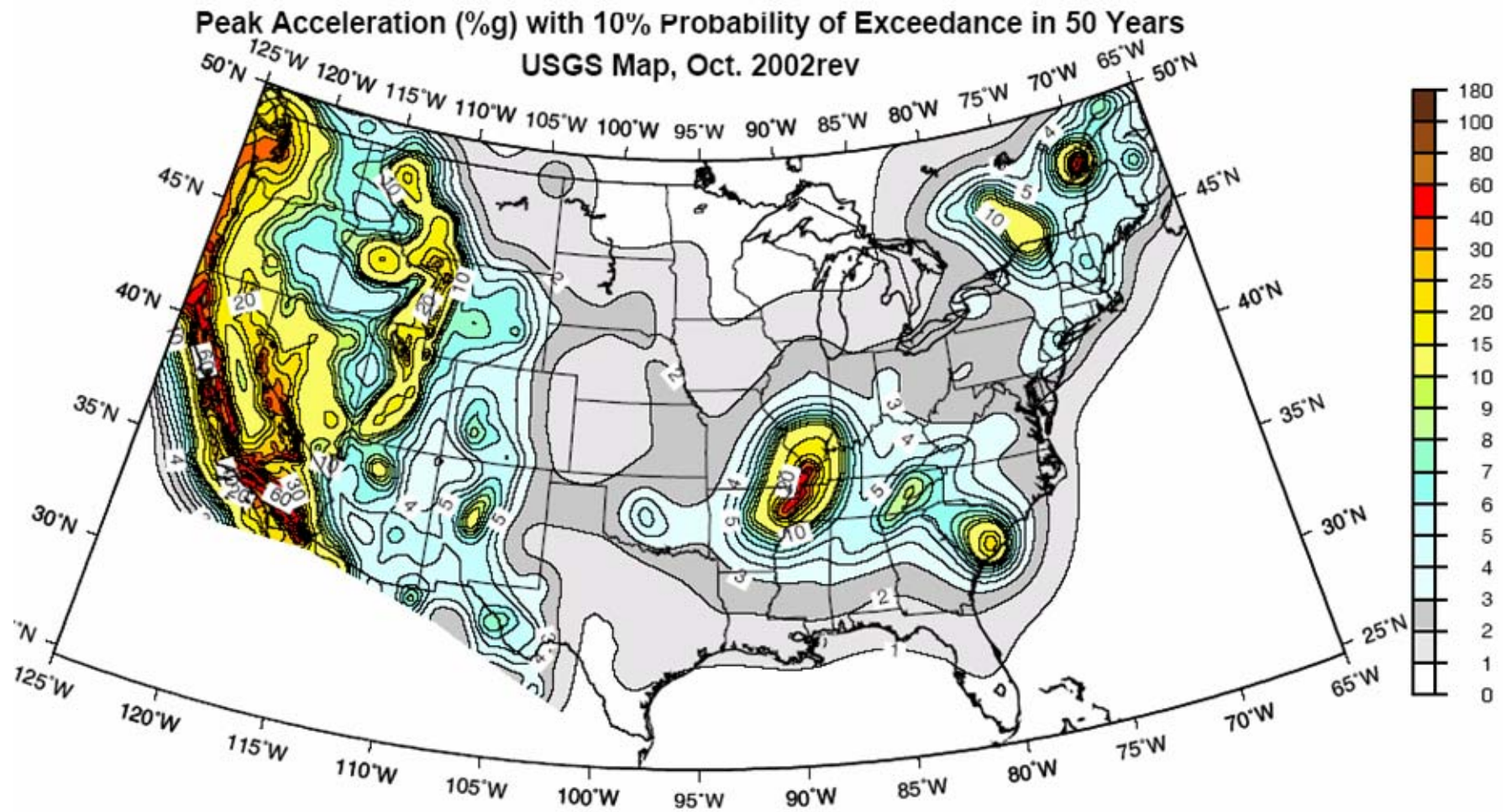


# USGS Map for Pacific Northwest

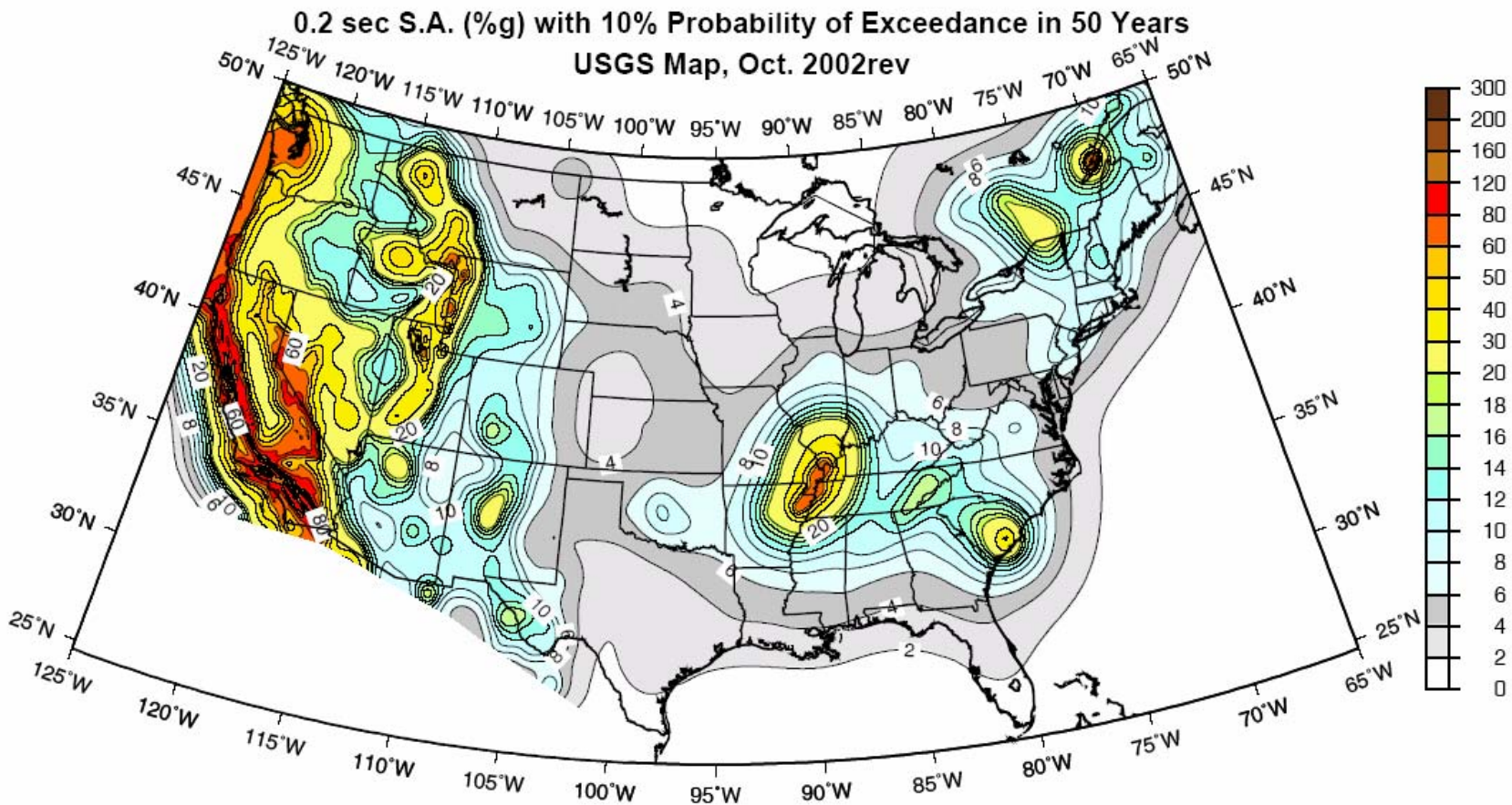
1.0 sec SA (%g) with 2% Probability of Exceedance in 50 Years  
USGS Map, Oct. 2002



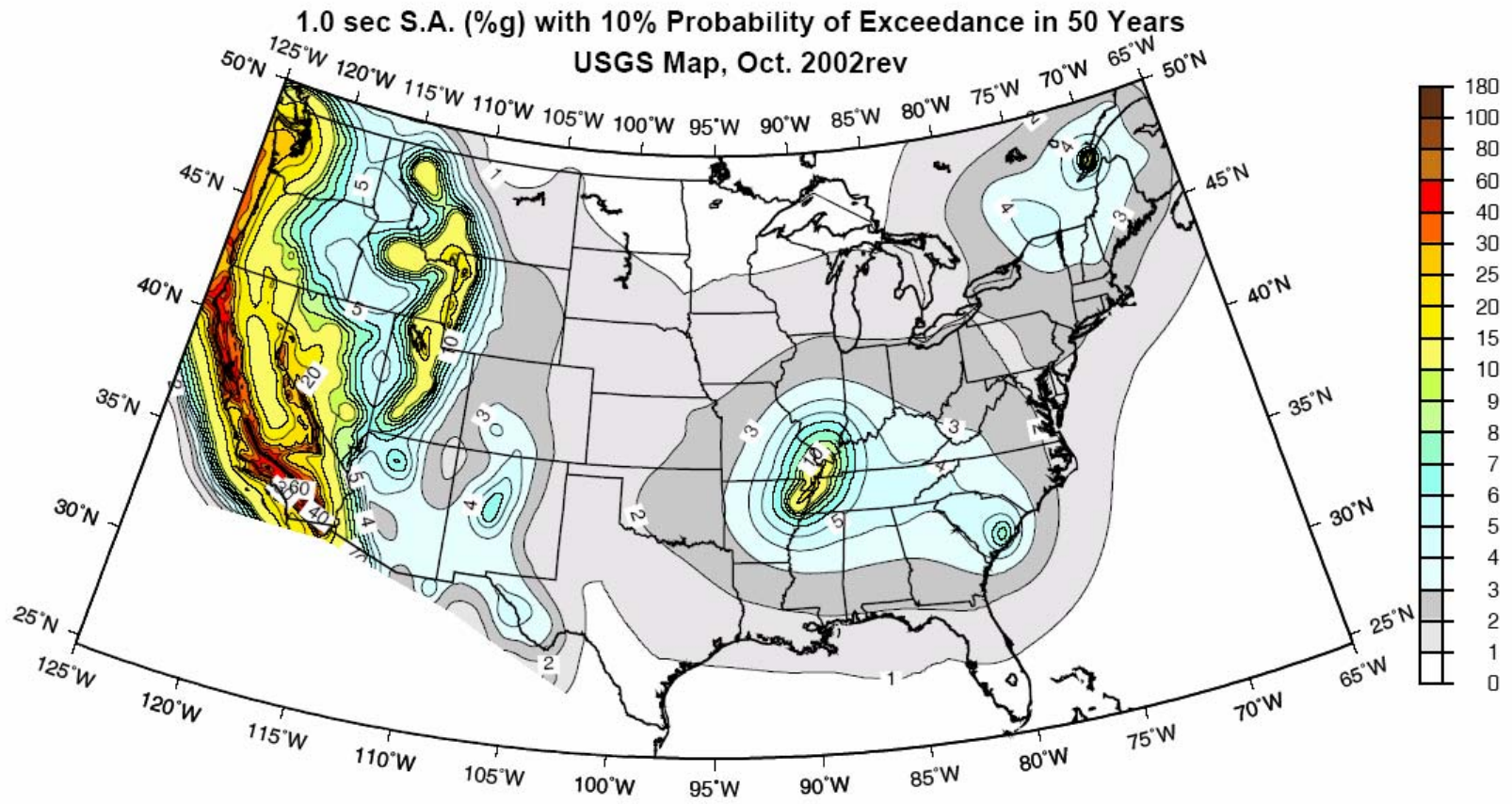
# USGS Seismic Hazard Map of Coterminous United States



# USGS Seismic Hazard Map of Coterminous United States

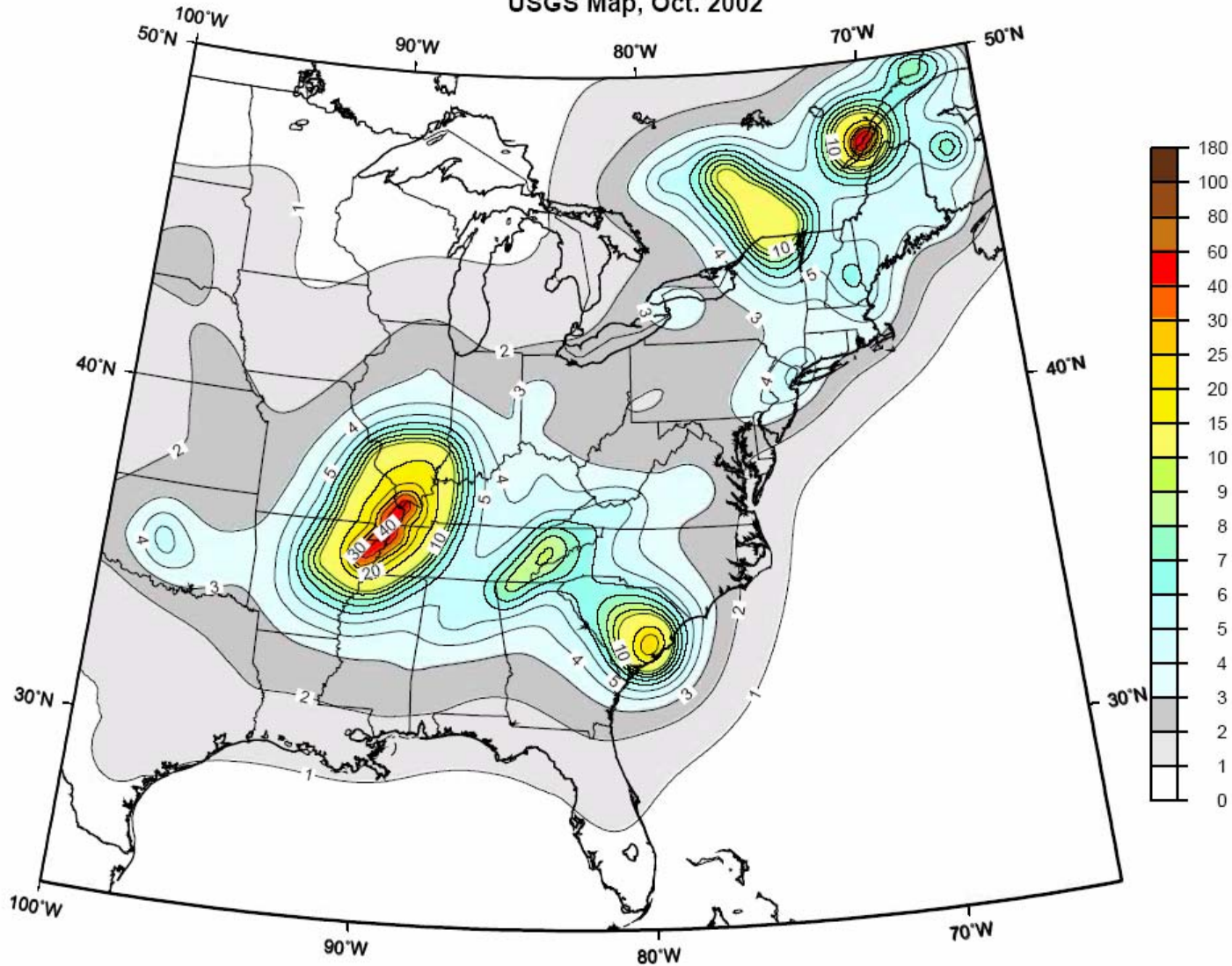


# USGS Seismic Hazard Map of Coterminous United States



# USGS Map for Central and Eastern United States

Peak Acceleration (%g) with 10% Probability of Exceedance in 50 Years  
USGS Map, Oct. 2002



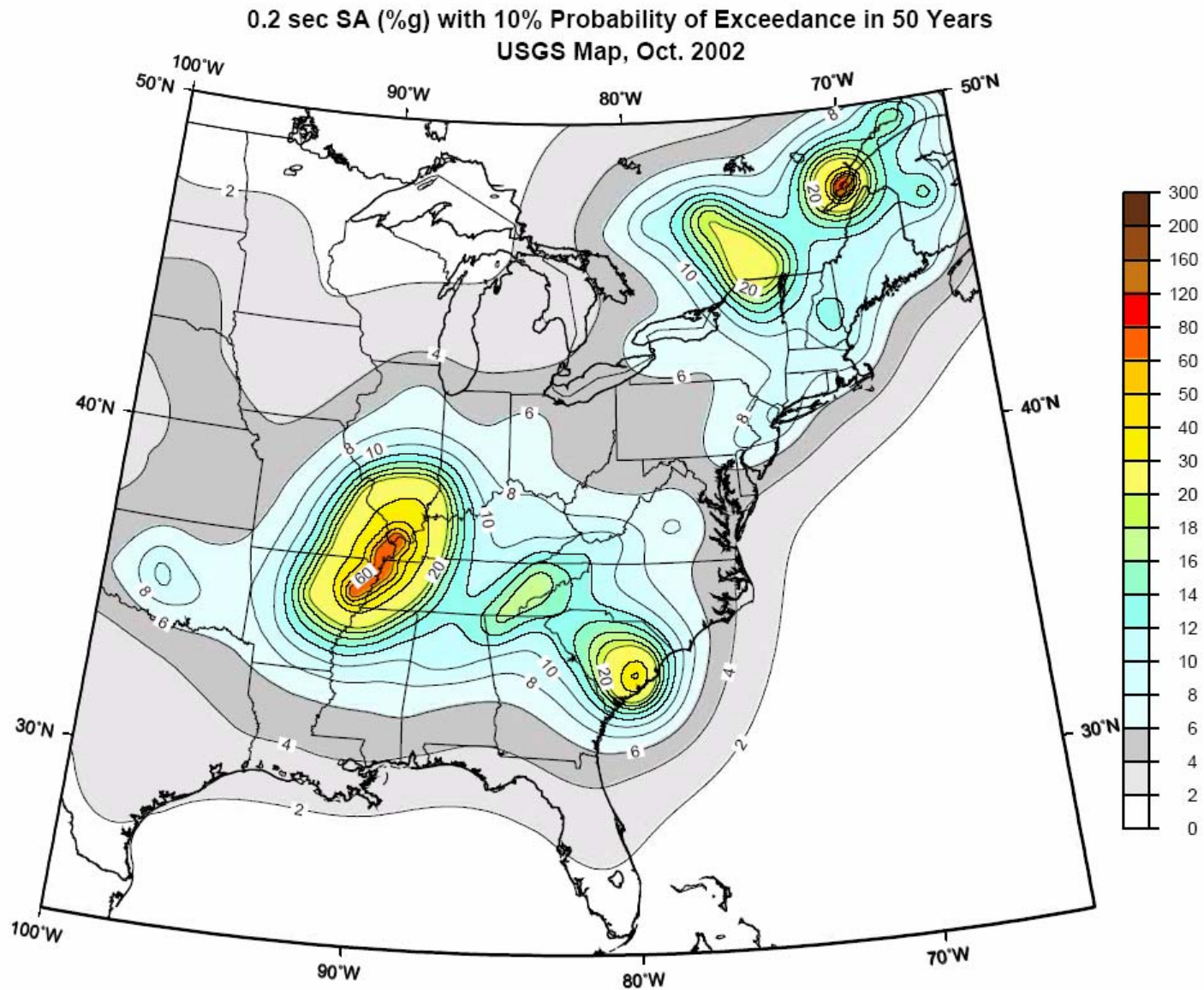
FEMA

Instructional Material Complementing *FEMA 451, Design Examples*

Seismic Hazard Analysis 5a - 64



# USGS Map for Central and Eastern United States



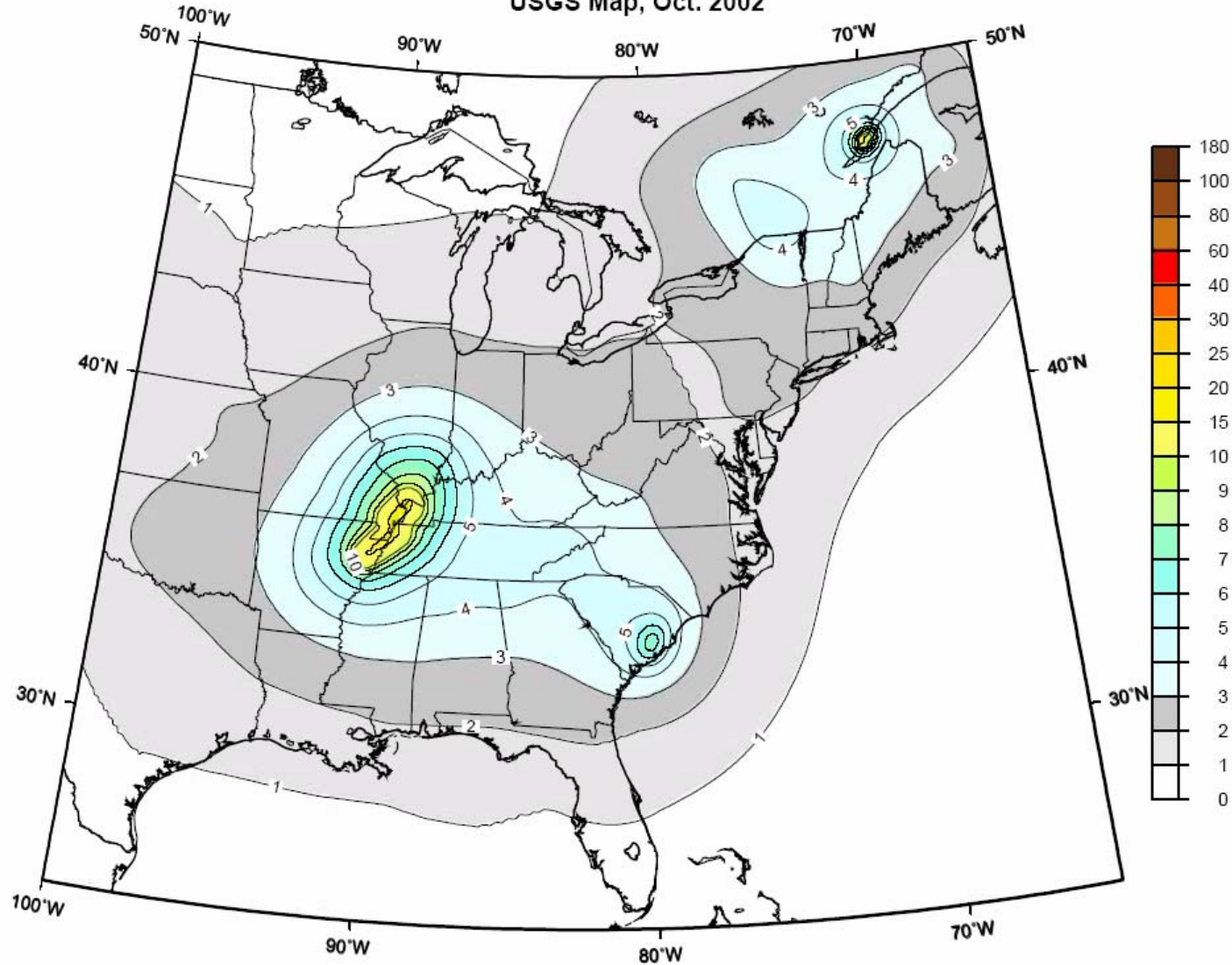
FEMA

Instructional Material Complementing *FEMA 451, Design Examples*

Seismic Hazard Analysis 5a - 65

# USGS Map for Central and Eastern United States

1.0 sec SA (%g) with 10% Probability of Exceedance in 50 Years  
USGS Map, Oct. 2002

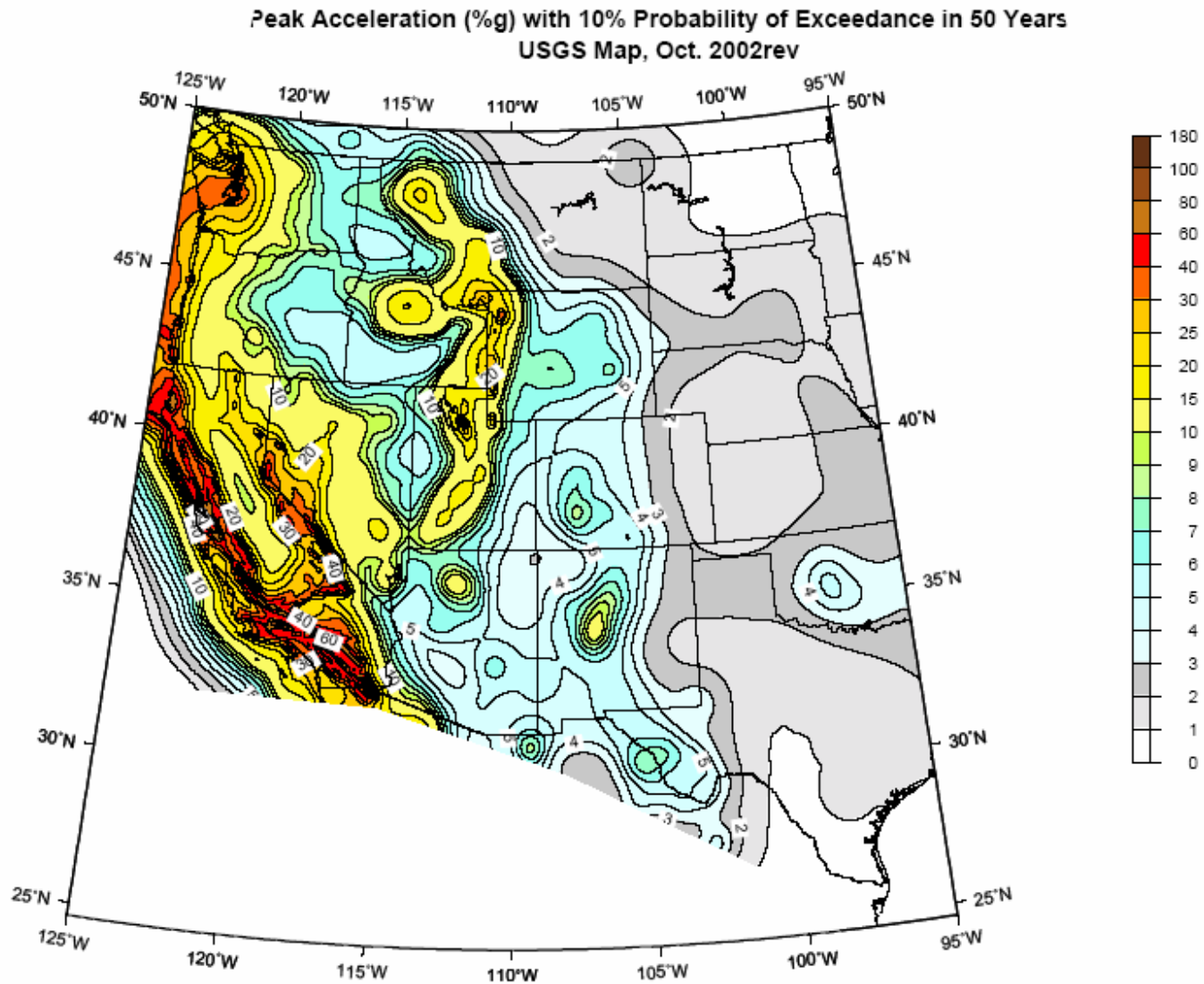


FEMA

Instructional Material Complementing *FEMA 451, Design Examples*

Seismic Hazard Analysis 5a - 66

# USGS Map for Western United States

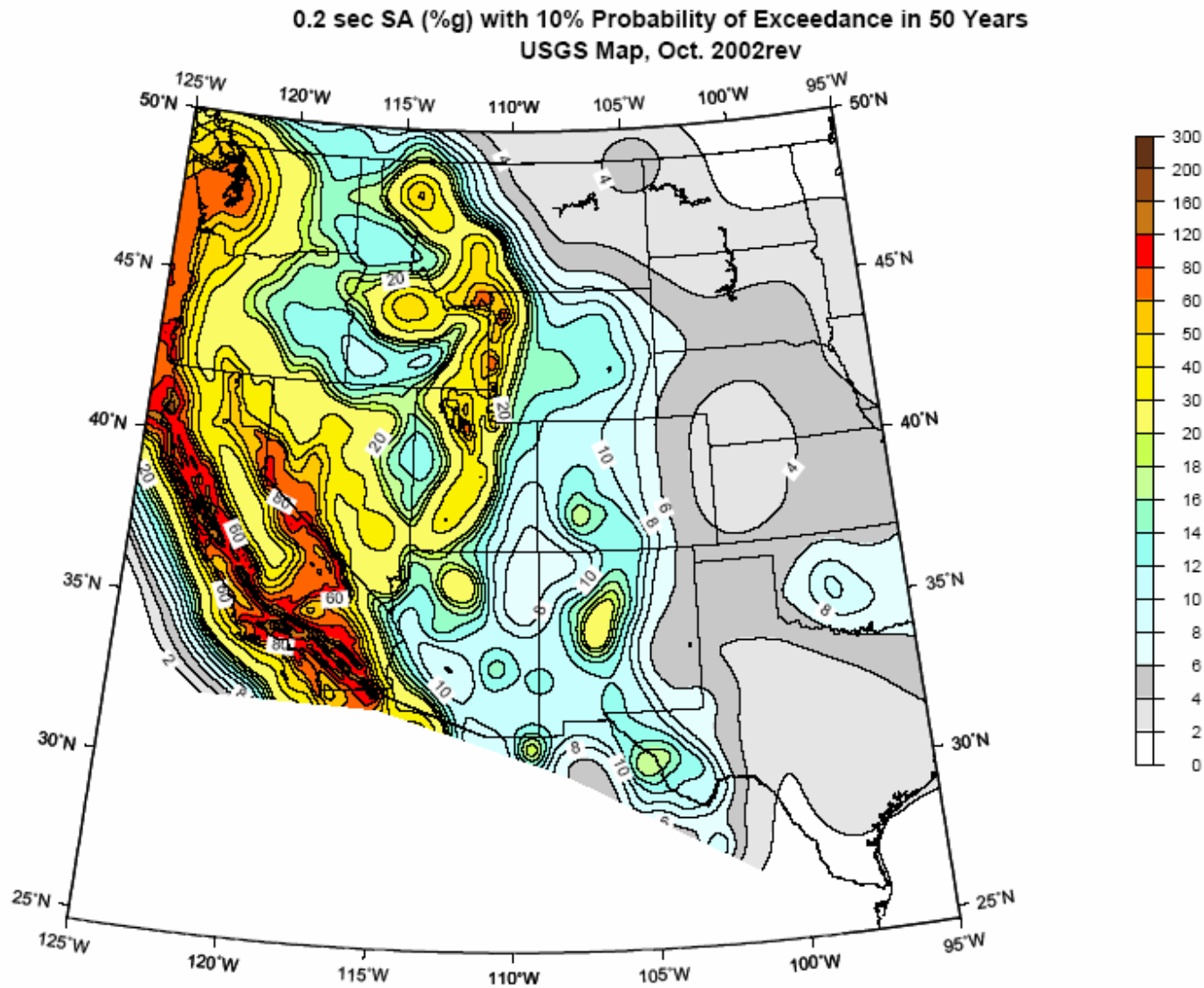


FEMA

Instructional Material Complementing *FEMA 451, Design Examples*

Seismic Hazard Analysis 5a - 67

# USGS Map for Western United States



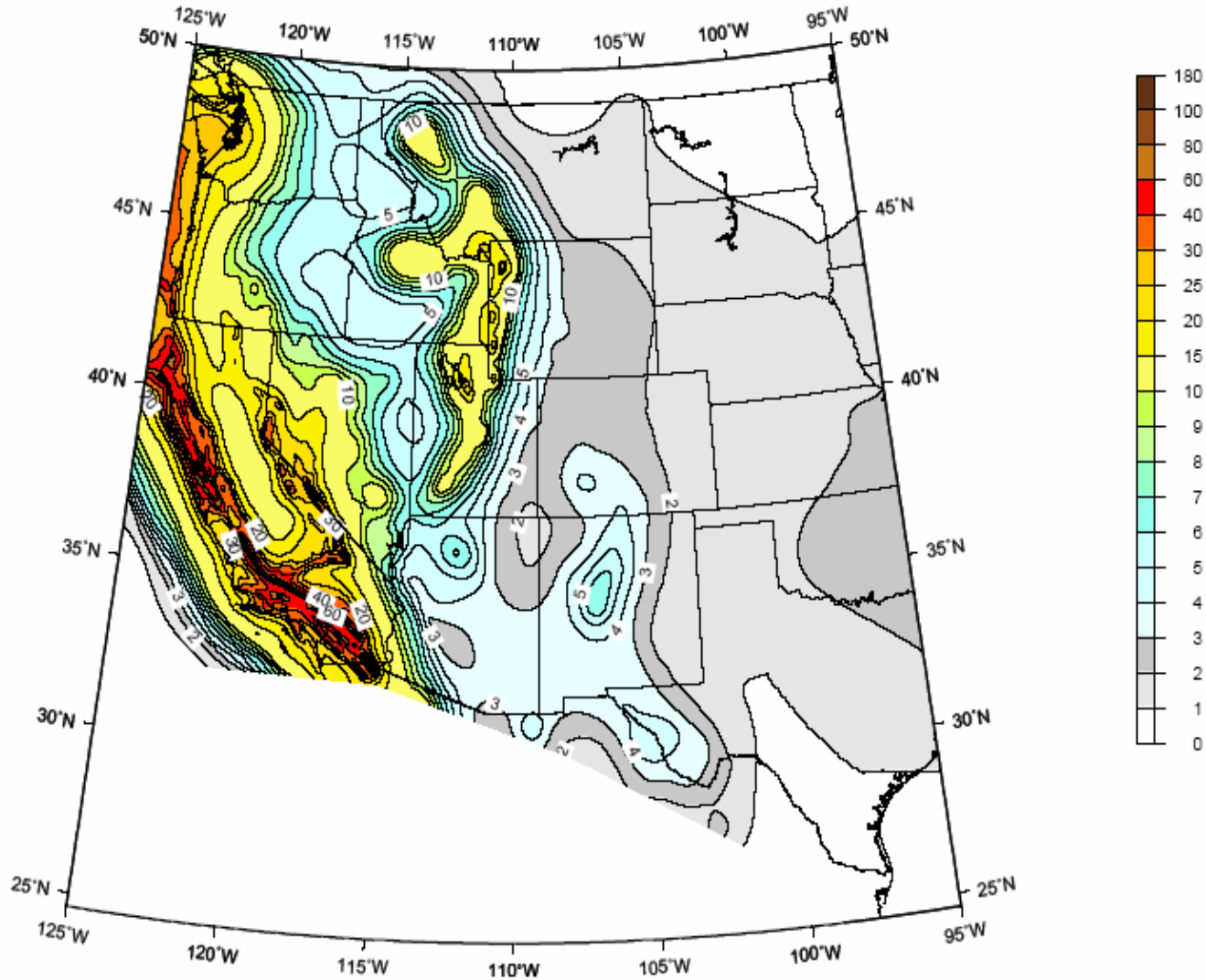
FEMA

Instructional Material Complementing *FEMA 451, Design Examples*

Seismic Hazard Analysis 5a - 68

# USGS Map for Western United States

1.0 sec SA (%g) with 10% Probability of Exceedance in 50 Years  
USGS Map, Oct. 2002rev



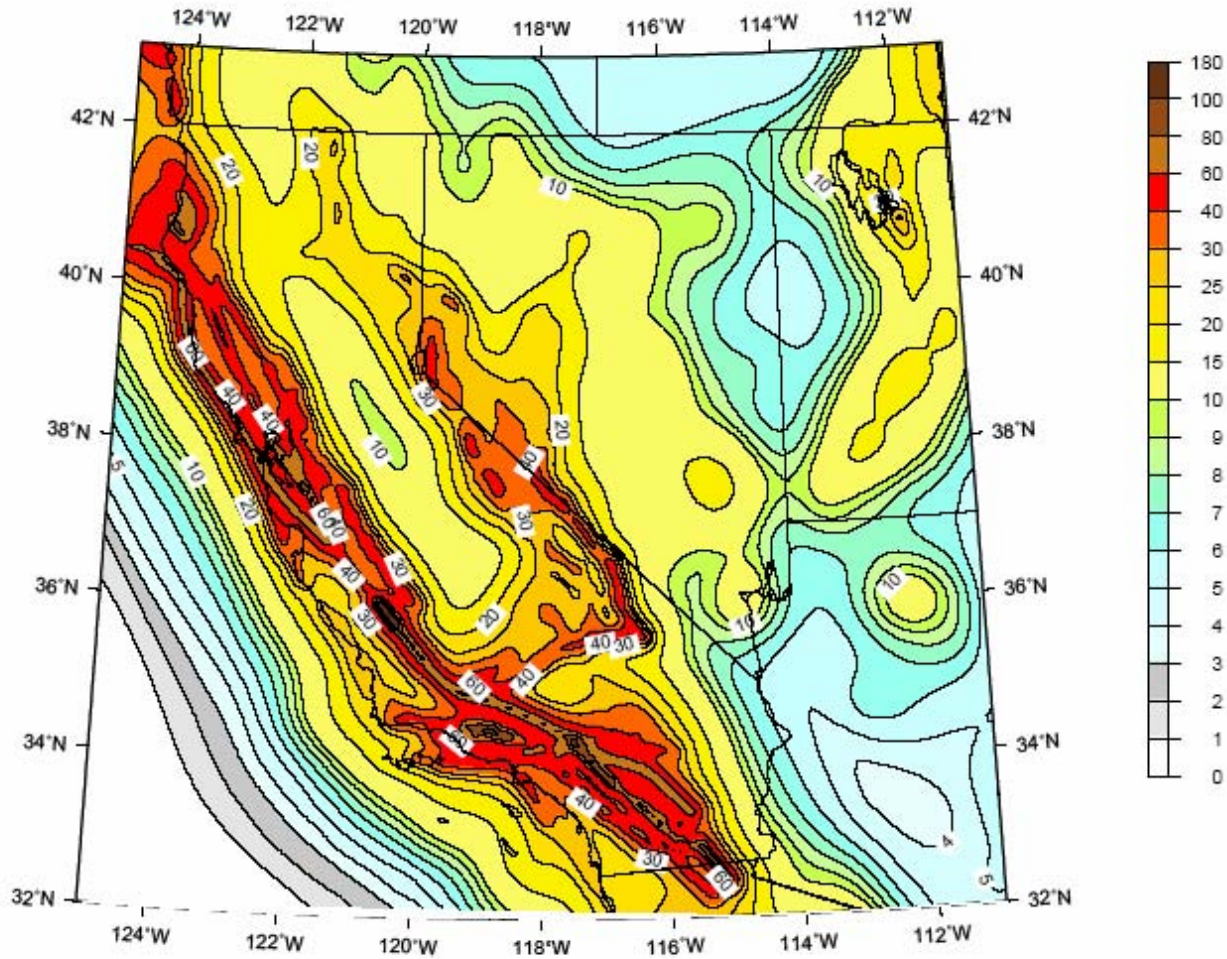
FEMA

Instructional Material Complementing *FEMA 451, Design Examples*

Seismic Hazard Analysis 5a - 69

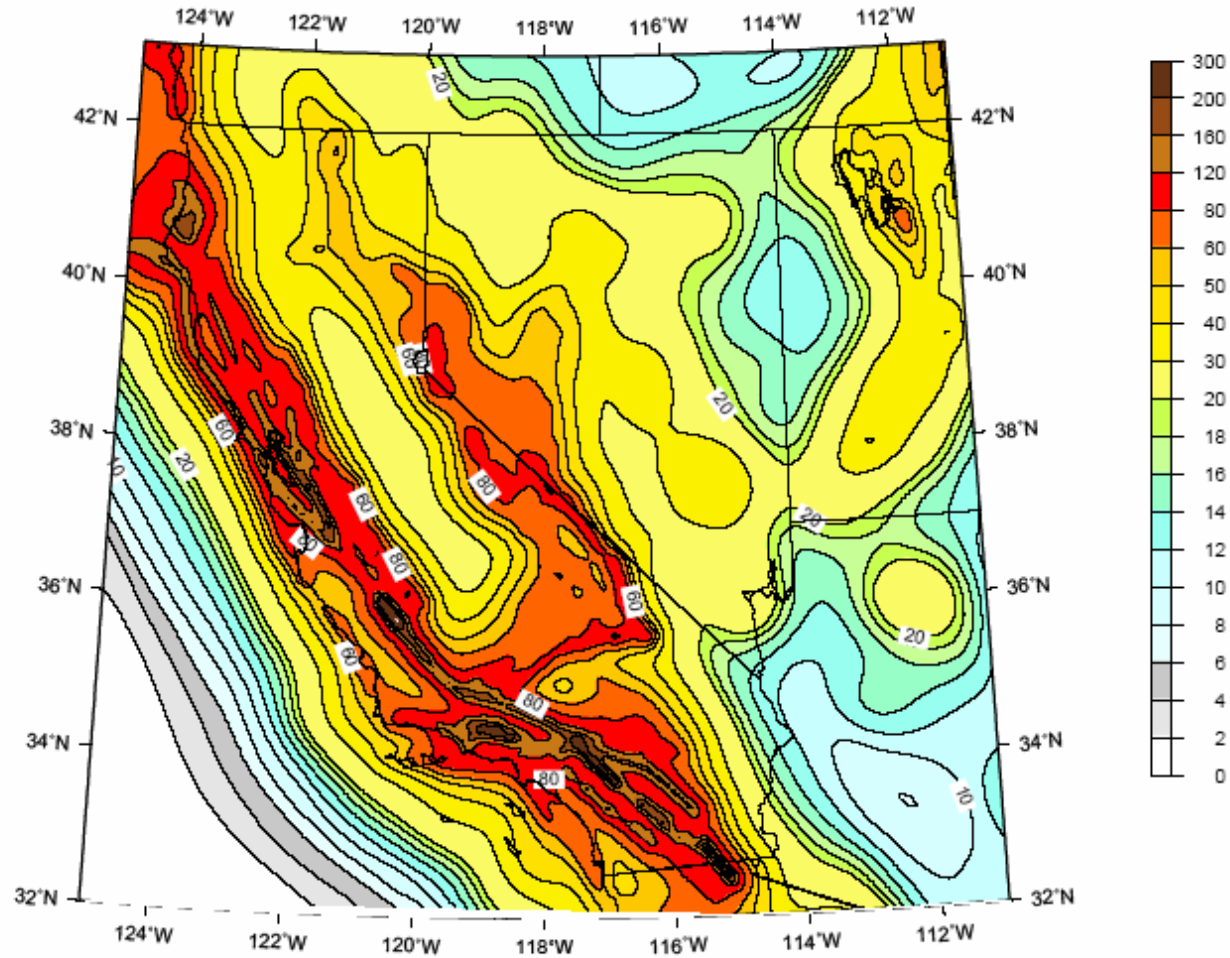
# USGS Map for California

Peak Acceleration (%g) with 10% Probability of Exceedance in 50 Years  
USGS Map, Oct. 2002rev



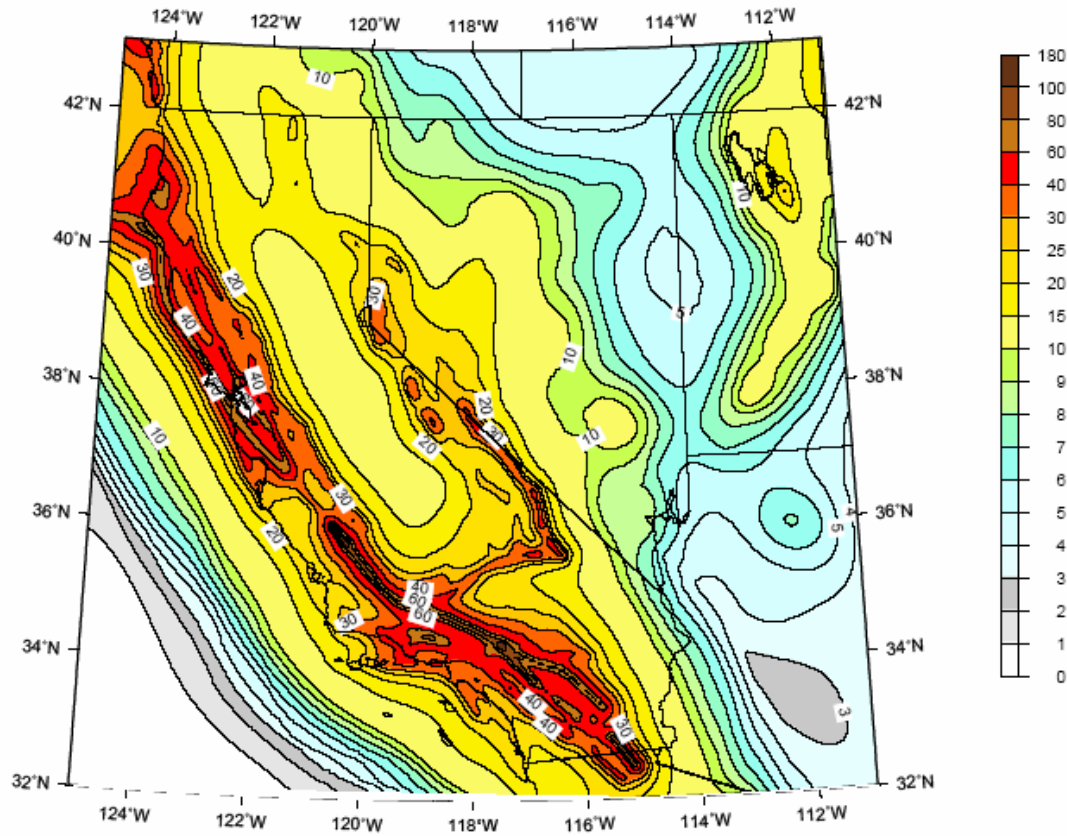
# USGS Map for California

0.2 sec SA (%g) with 10% Probability of Exceedance in 50 Years  
USGS Map, Oct. 2002rev



# USGS Map for California

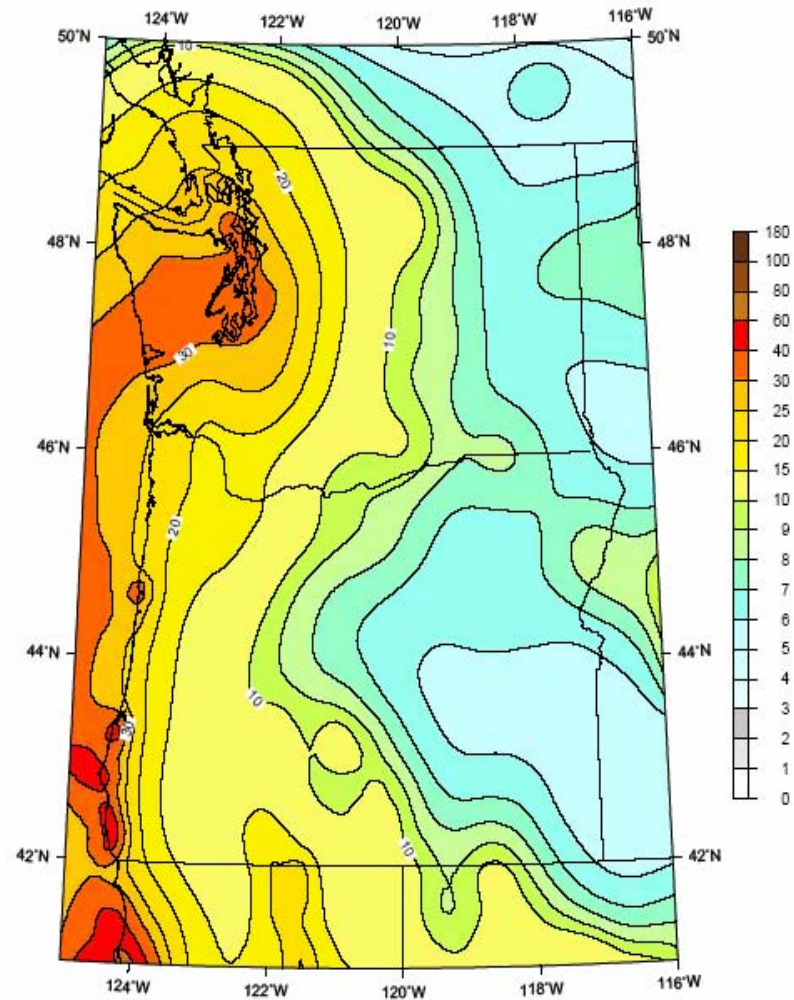
1.0 sec SA (%g) with 10% Probability of Exceedance in 50 Years  
USGS Map, Oct. 2002rev





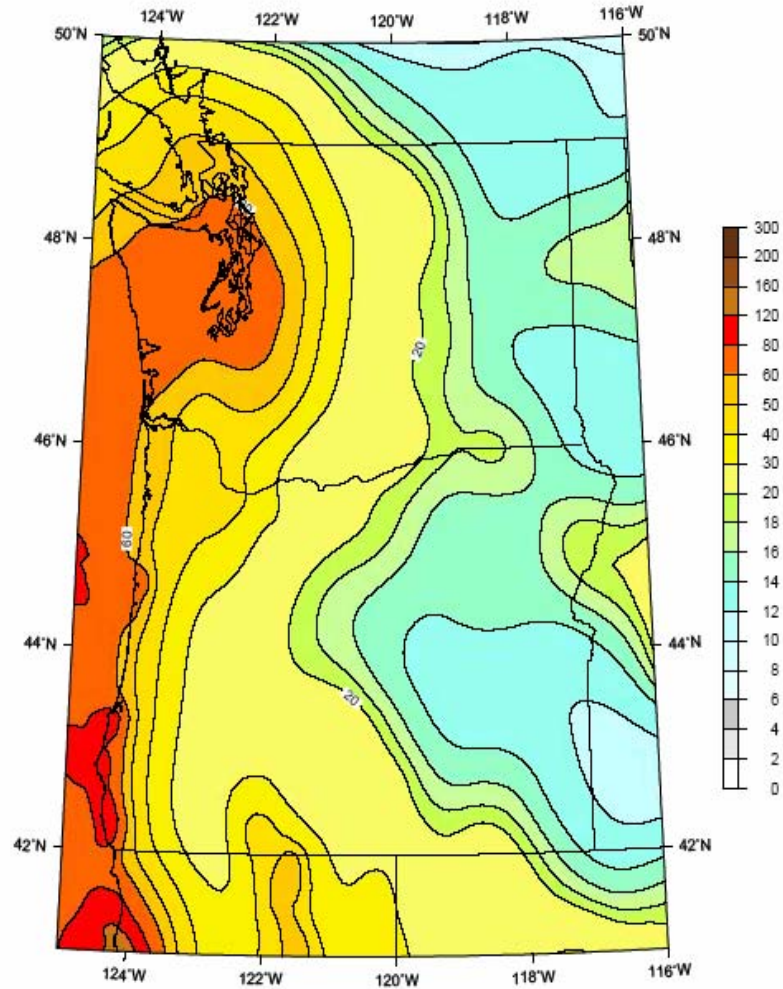
# USGS Map for Pacific Northwest

Peak Accel. (%g) with 10% Probability of Exceedance in 50 Years  
USGS Map, Oct. 2002



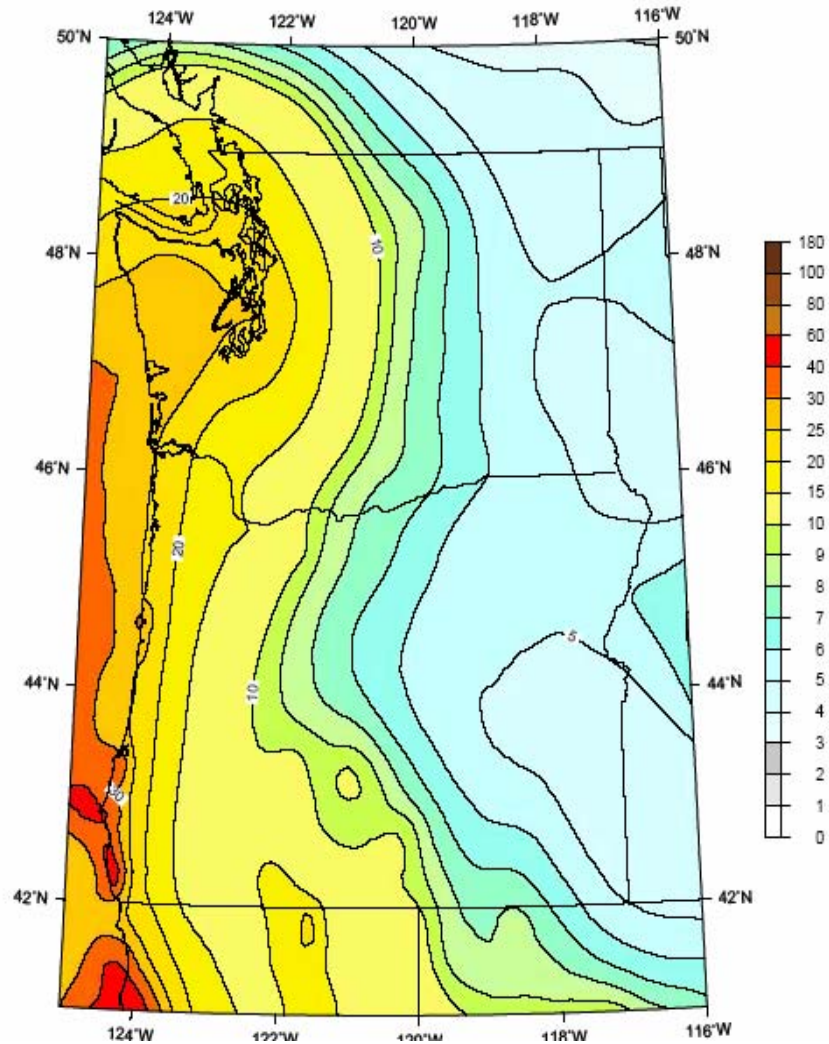
# USGS Map for Pacific Northwest

0.2 sec SA (%g) with 10% Probability of Exceedance in 50 Years  
USGS Map, Oct. 2002



# USGS Map for Pacific Northwest

1.0 sec SA (%g) with 10% Probability of Exceedance in 50 Years  
USGS Map, Oct. 2002



# USGS Website for Map Values

<http://earthquake.usgs.gov/research/hazmaps/design/>

The input zipcode is 80203. (DENVER)

ZIP CODE 80203  
LOCATION 39.7310 Lat. -104.9815 Long.  
DISTANCE TO NEAREST GRID POINT 3.7898 kms  
NEAREST GRID POINT 39.7 Lat. -105.0 Long.

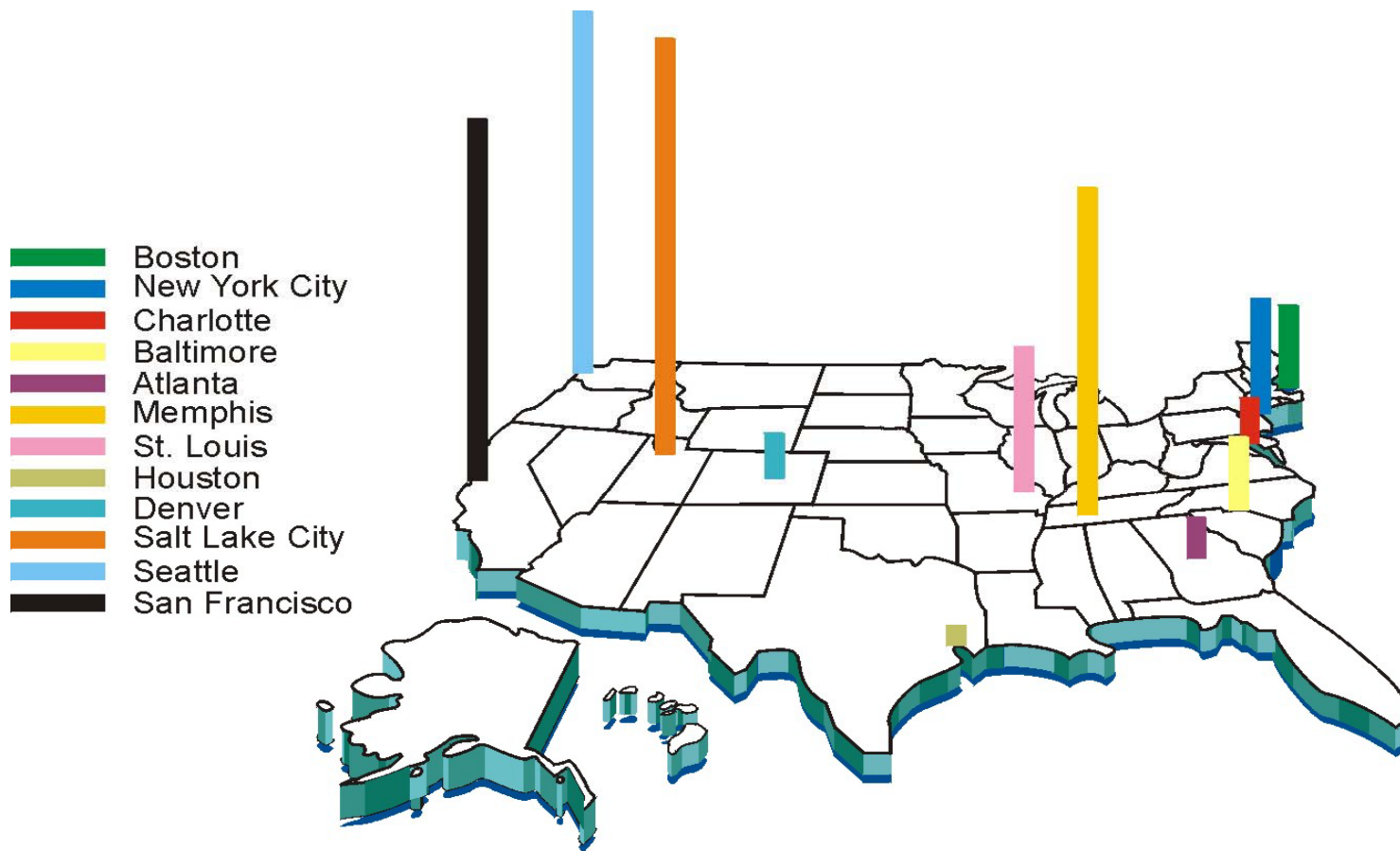
Probabilistic ground motion values, in %g, at the Nearest Grid point are:

	10%PE in 50 yr	5%PE in 50 yr	2%PE in 50 yr
PGA	3.299764	5.207589	9.642159
0.2 sec SA	7.728900	11.917400	19.921591
0.3 sec SA	6.178438	9.507714	16.133711
1.0 sec SA	2.334019	3.601994	5.879917

**CAUTION: USE OF ZIPCODES IS DISCOURAGED; LAT-LONG VALUES WILL GIVE ACCURATE RESULTS.**



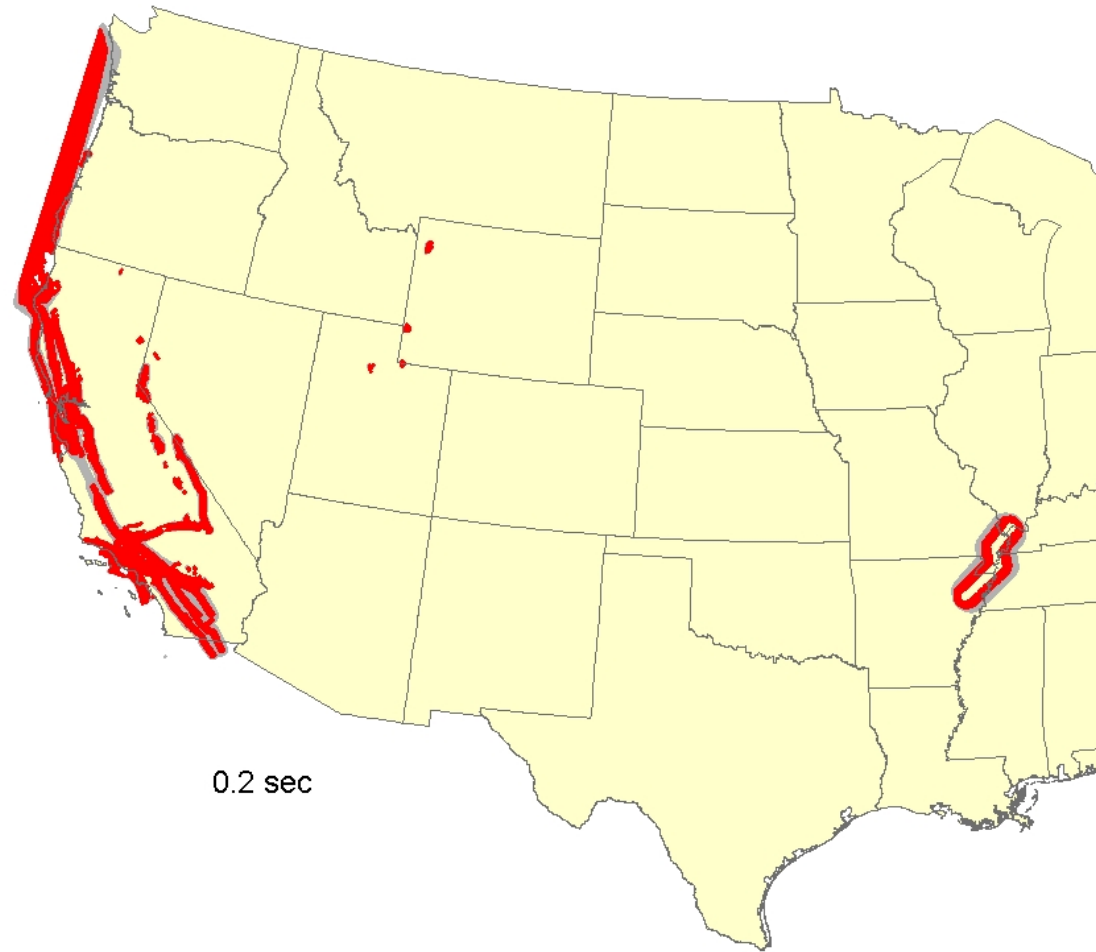
# Relative PGAs for the United States



# 2000 NEHRP Recommended Provisions Maps

- 5% damped, 2% in 50 years, Site Class B (firm rock)
- 0.2 second and 1.0 second spectral ordinates provided
- On certain faults in California, Alaska, Hawaii, and CUS *Provisions* values are deterministic cap times 1.5. Outside deterministic areas, *Provisions* maps are the same as the USGS maps.
- USGS longitude/latitude and zipcode values are probabilistic MCE. To avoid confusion, ALWAYS use *Provisions* (adopted by ASCE and IBC) maps for design purposes.

# Location of Deterministic Areas



# Deterministic Cap

Applies only where probabilistic values exceed highest design values from old (Algermissen and Perkins) maps.

The deterministic procedure for mapping applies:

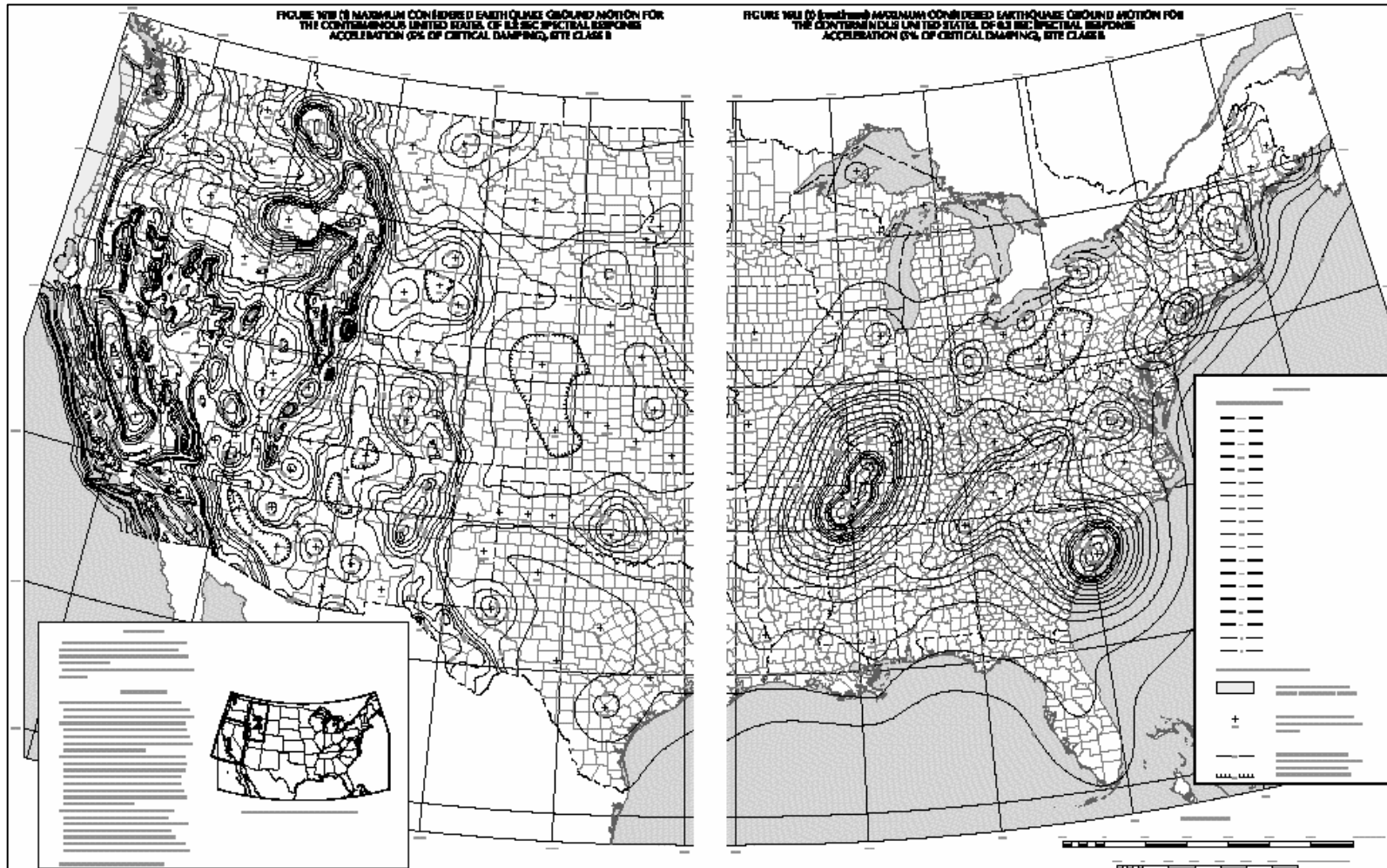
- For known “active” faults
- Uses characteristic largest earthquake on fault
- Uses 150% of value from median attenuation

Use deterministic value if lower than 2% in 50 year value



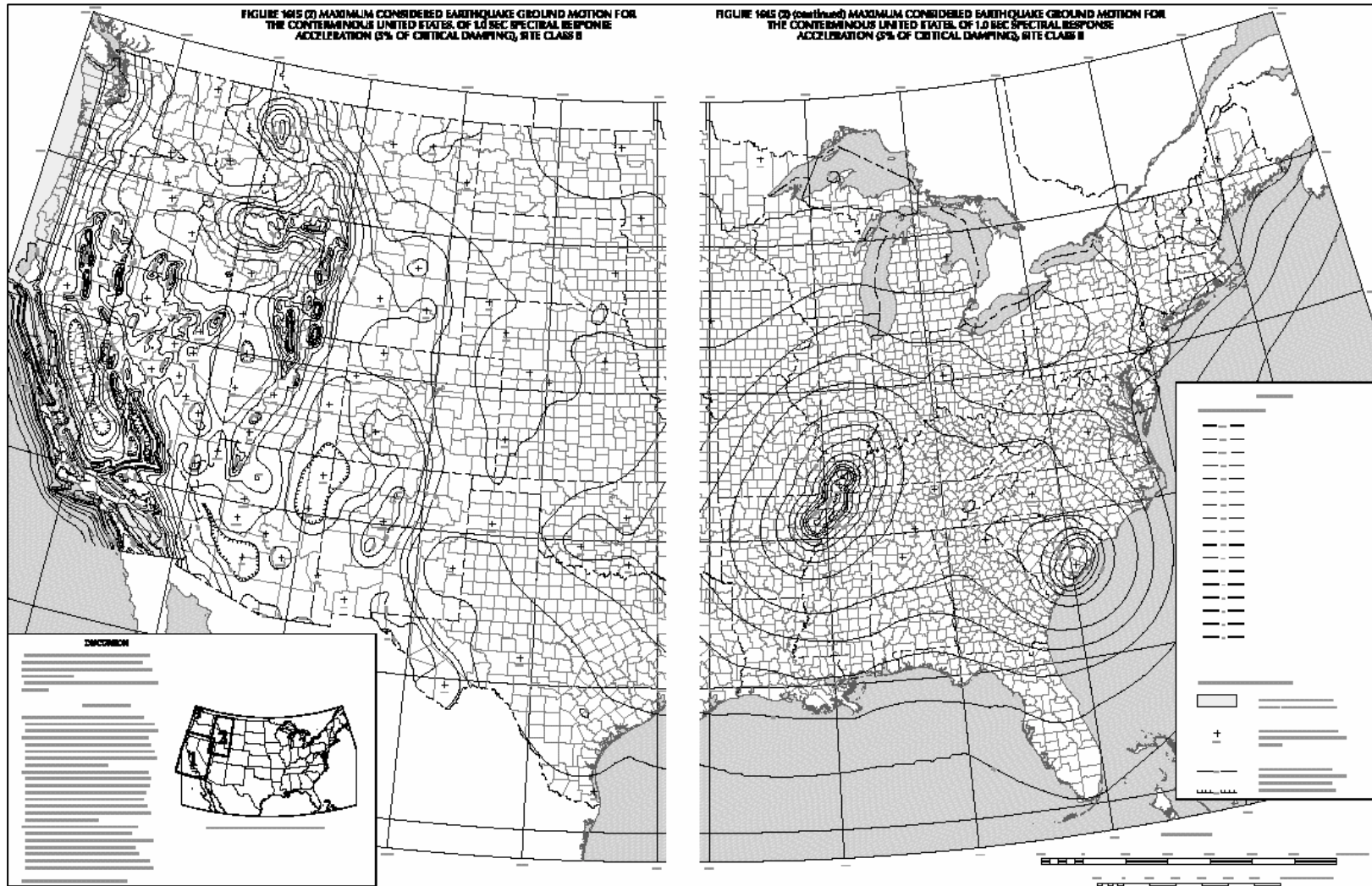
# NEHRP Provisions Maps

## 0.2 Second Spectral Response ( $S_s$ )

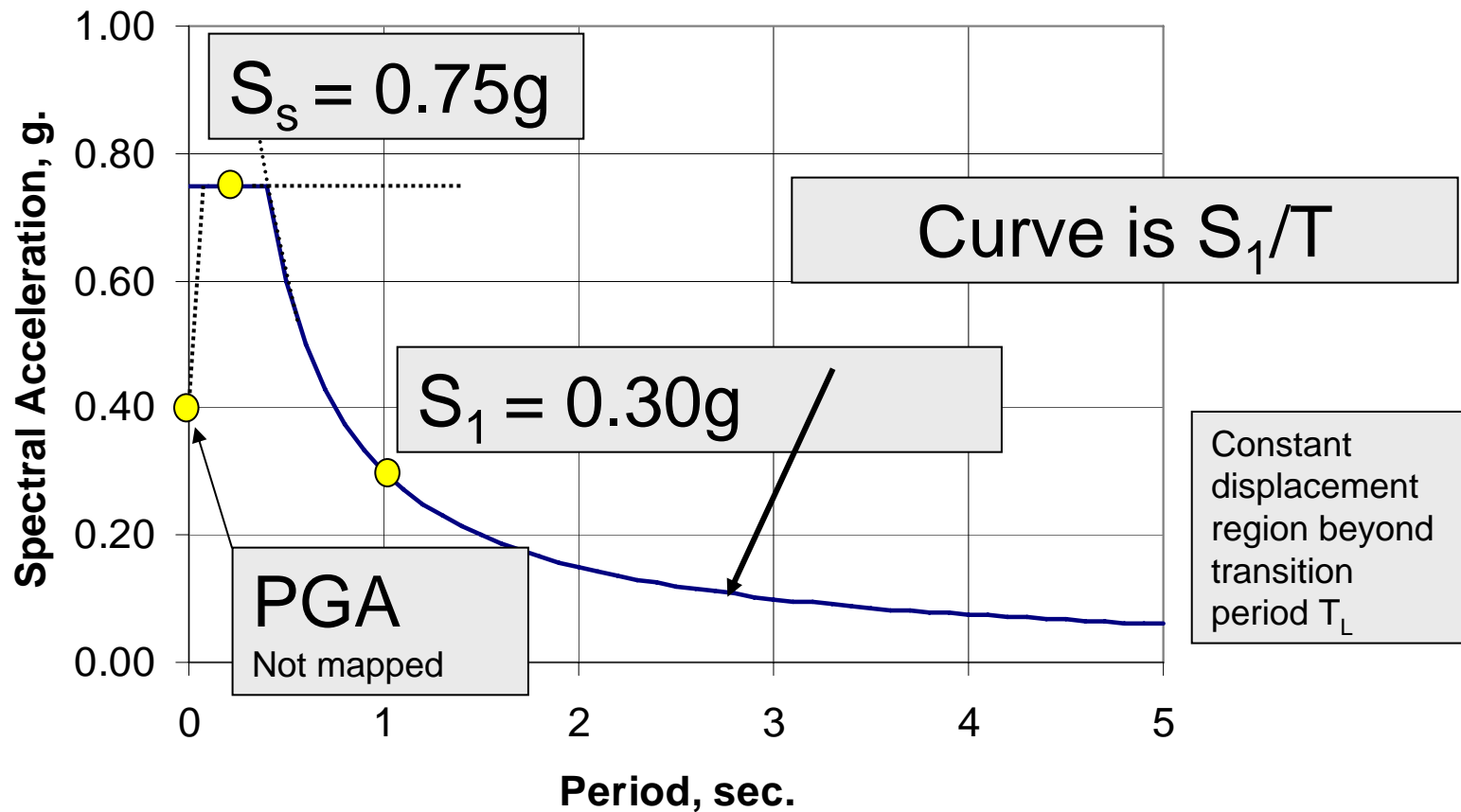


# NEHRP Provisions Maps

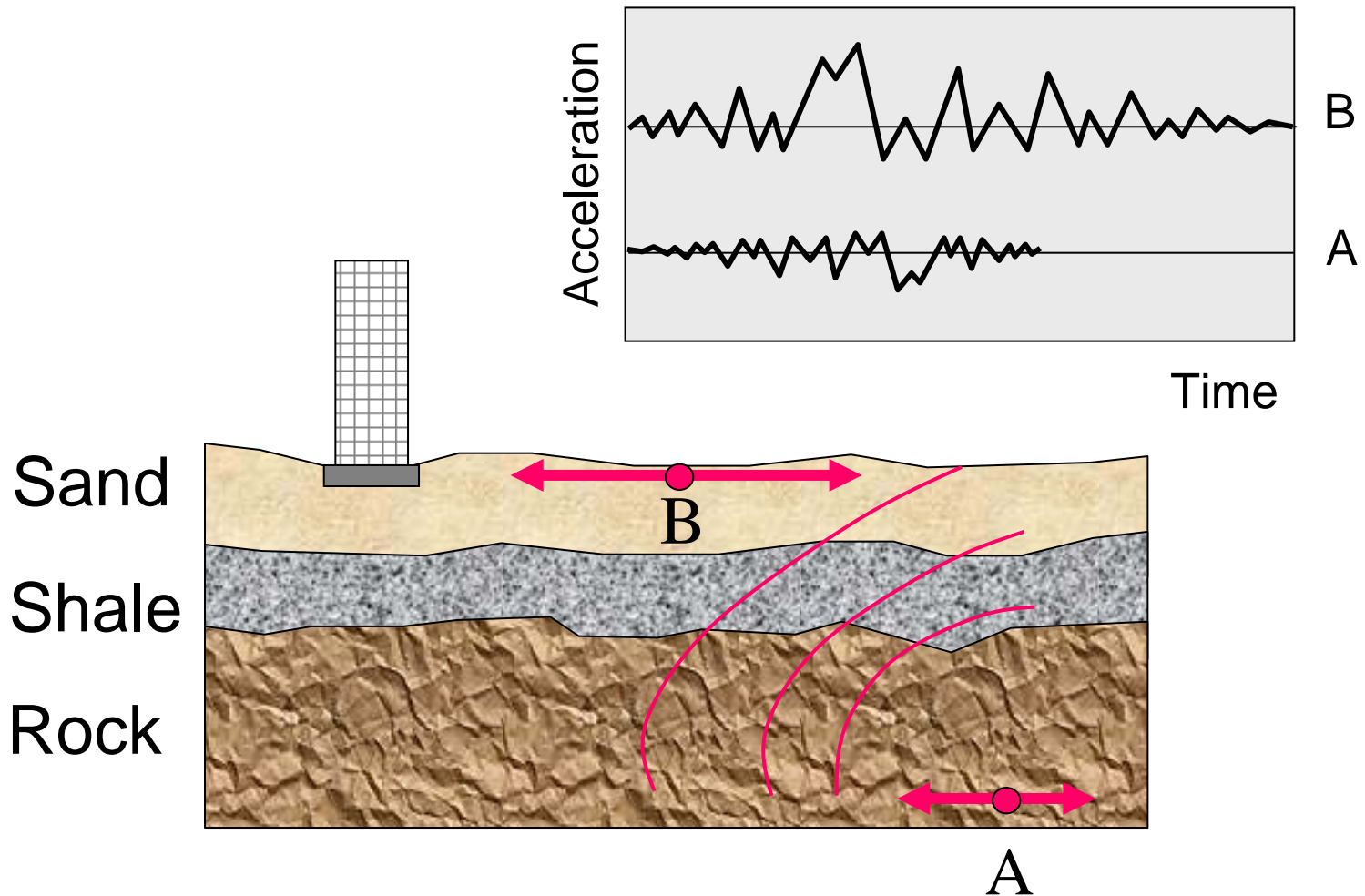
## 1.0 Second Spectral Response ( $S_1$ )



# 2% in 50 Year 5% Damped MCE Elastic Spectra Site Class B (Firm Rock)



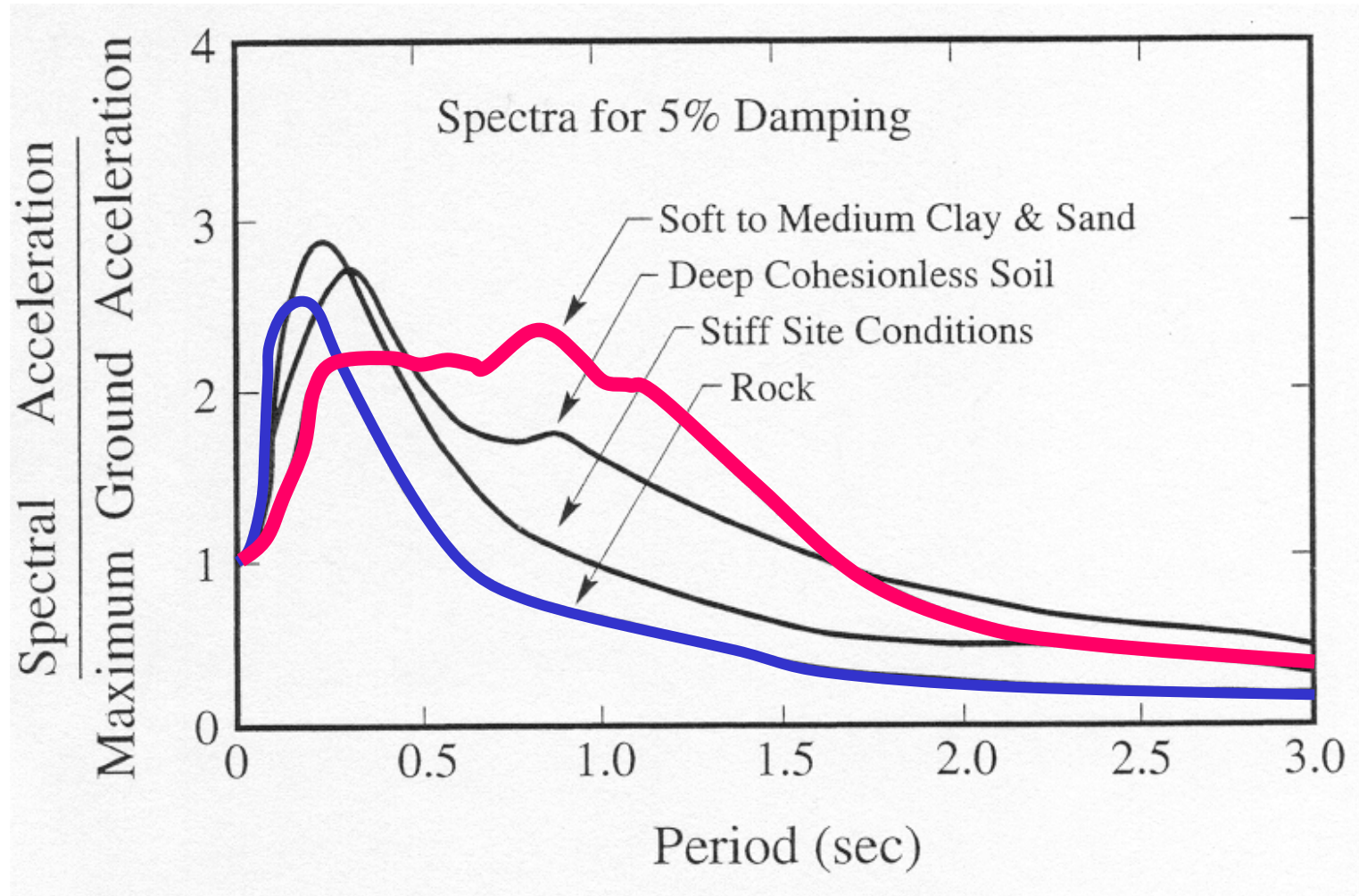
# Site Amplification Effects



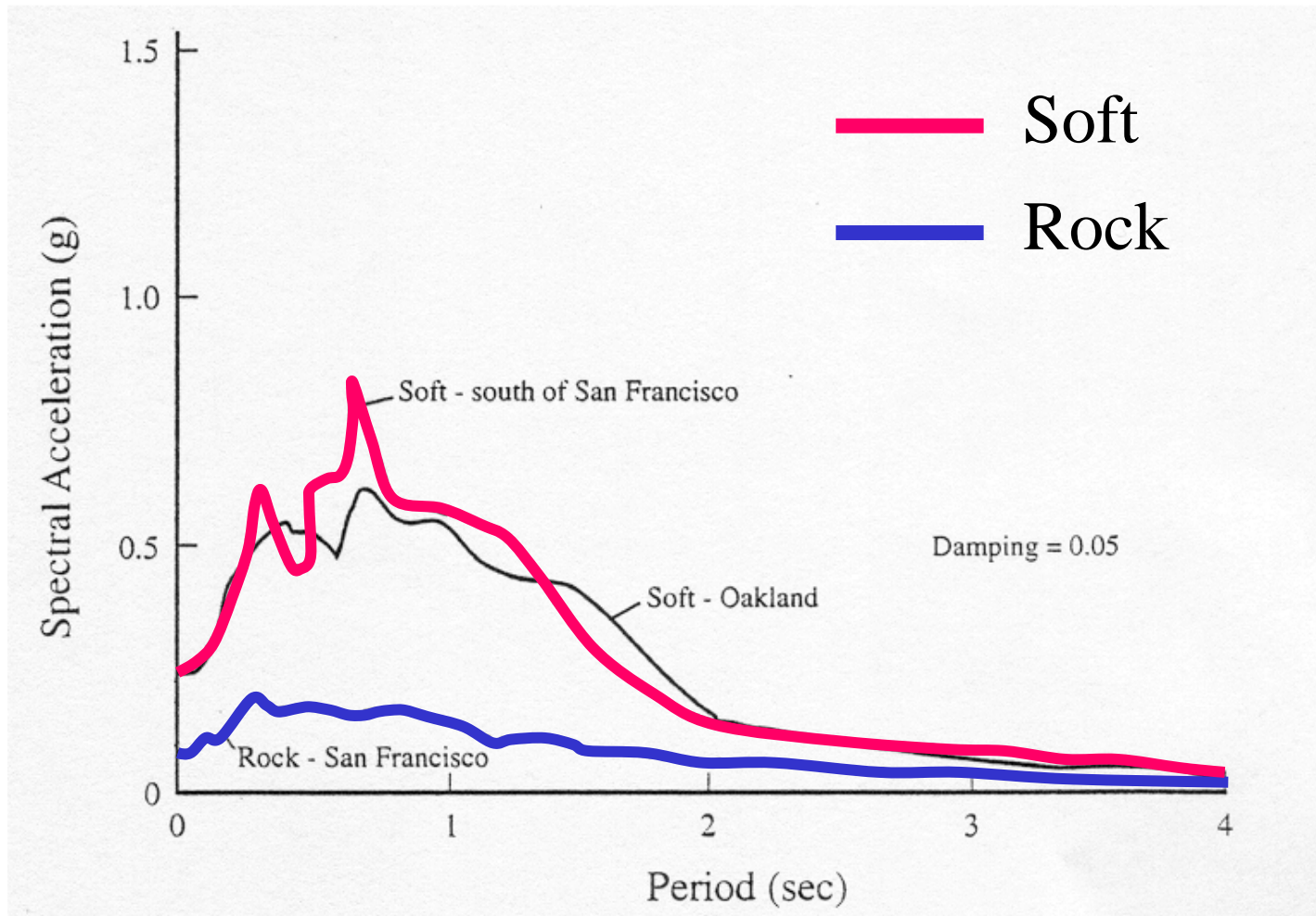
# Site Amplification Effects

- Amplification of ground motion
- Longer duration of motion
- Change in frequency content of motion
- Not the same as soil-structure interaction

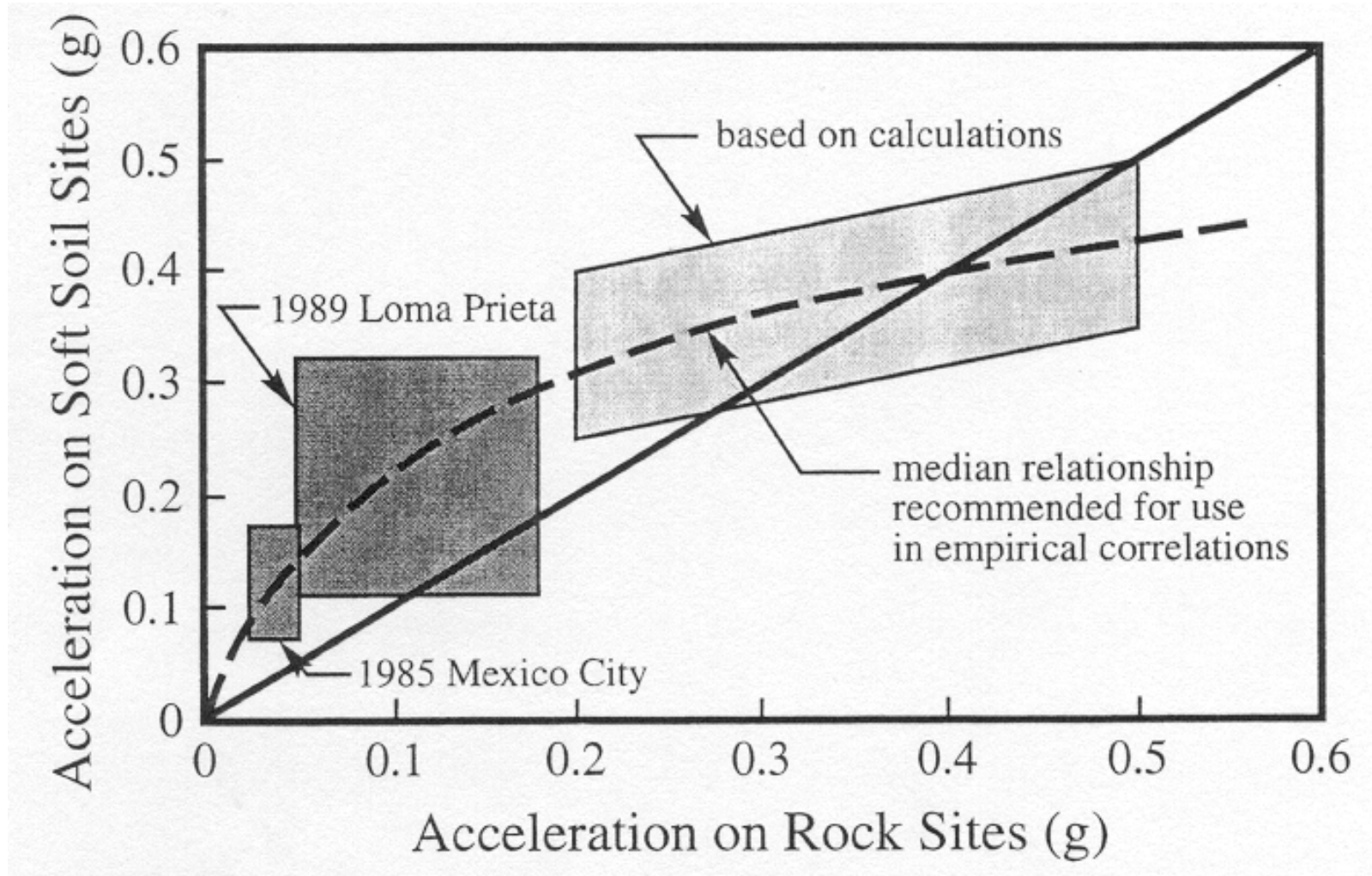
# Site Amplification (Seed et al.)



# Site Amplification: Loma Prieta Earthquake



# Site Amplification: Loma Prieta and Mexico City Earthquakes

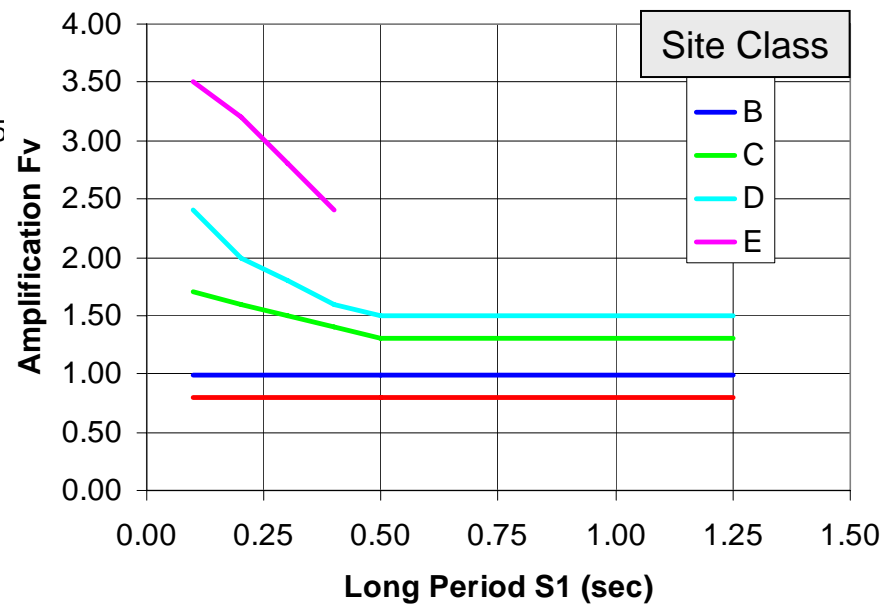
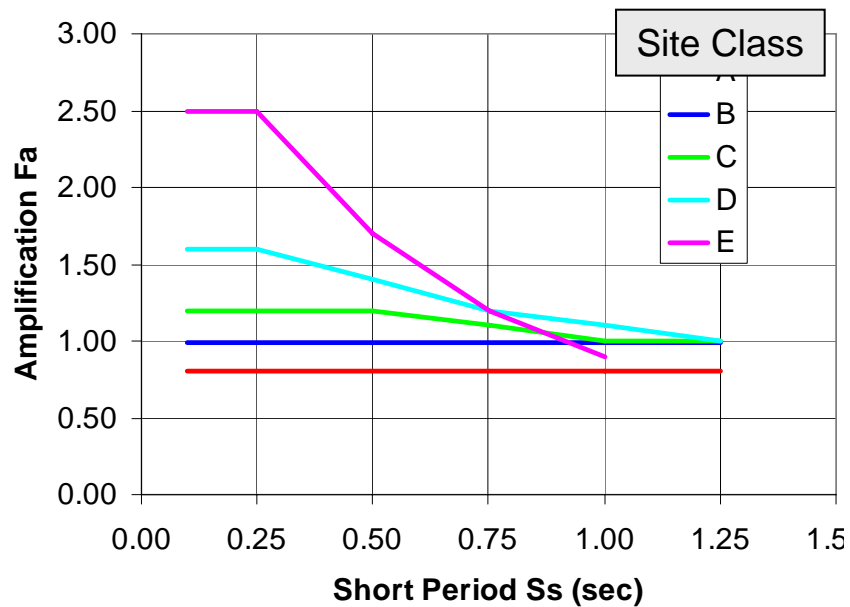




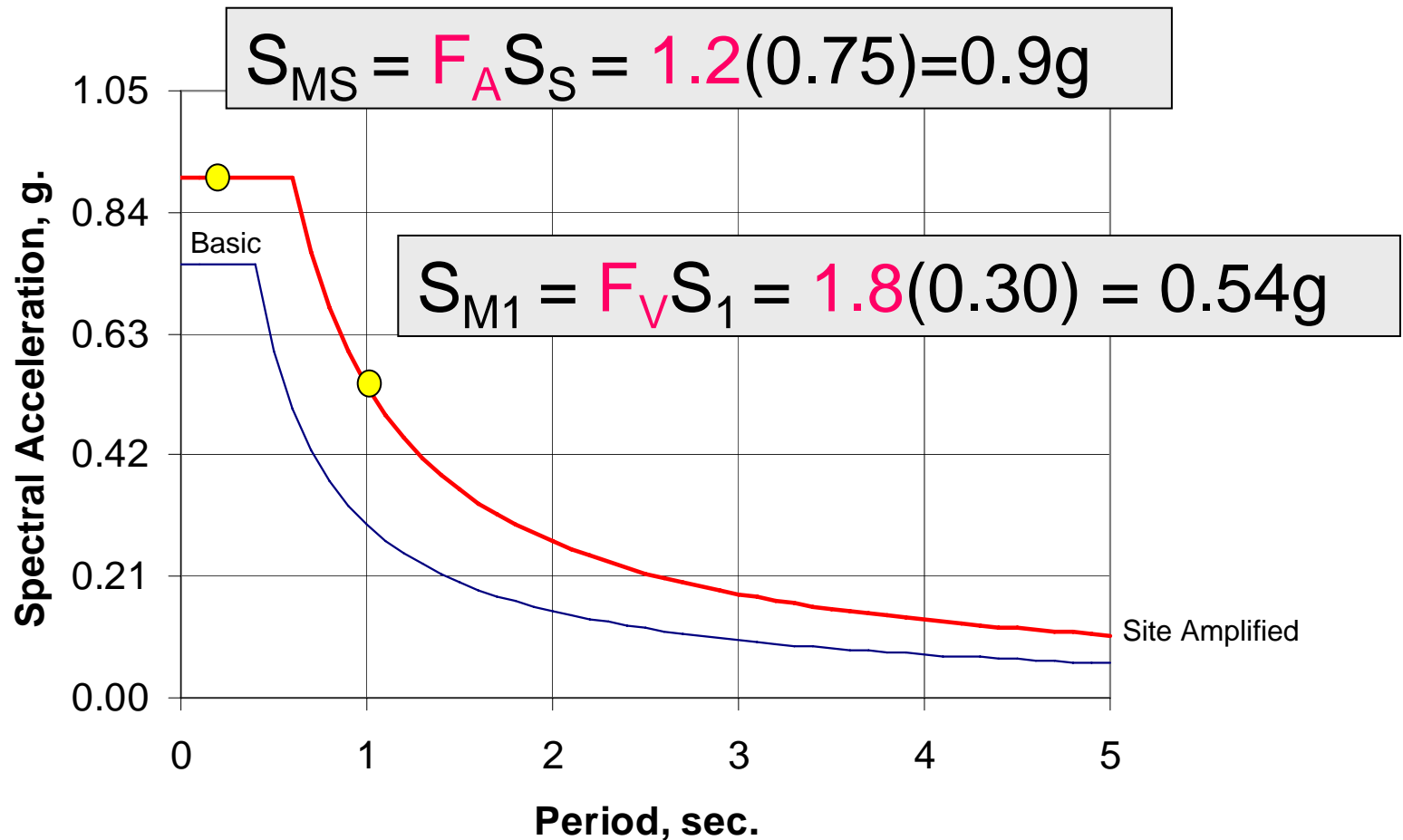
# ***NEHRP Provisions Site Classes***

- A** Hard rock  $v_s > 5000$  ft/sec
- B** Rock:  $2500 < v_s < 5000$  ft/sec
- C** Very dense soil or soft rock:  $1200 < v_s < 2500$  ft/sec
- D** Stiff soil :  $600 < v_s < 1200$  ft/sec
- E**  $V_s < 600$  ft/sec
- F** Site-specific requirements

# NEHRP Site Amplification for Site Classes A through E



# 2% in 50 Year 5% Damped MCE Elastic Spectra Modified for Site Class D



# Scaling of *NEHRP Provisions Spectra* by 2/3 for “Margin of Performance”

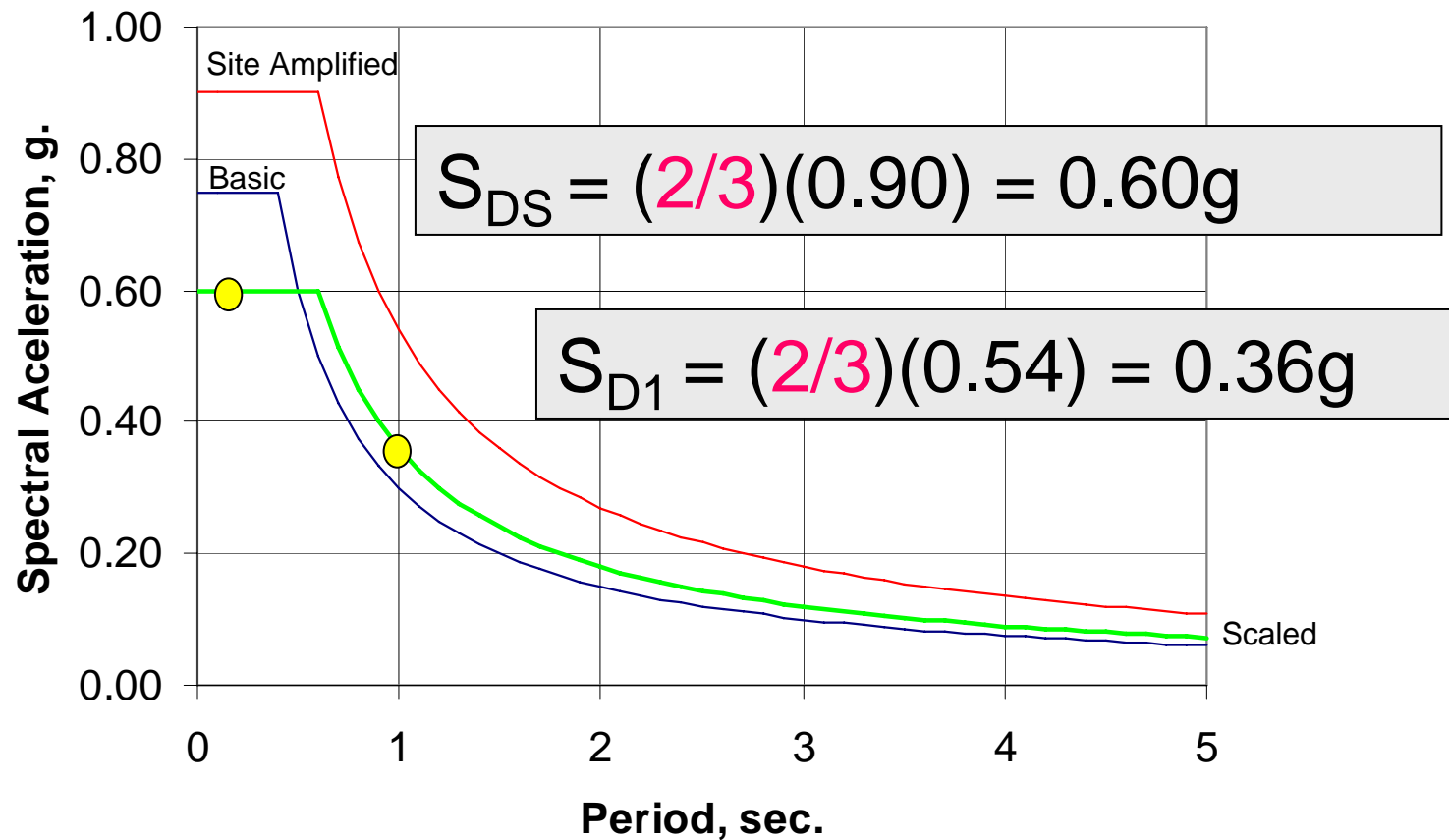
Buildings designed according to current procedures assumed to have margin of collapse of 1.5

Judgment of “lower bound” margin of collapse given by current design procedures

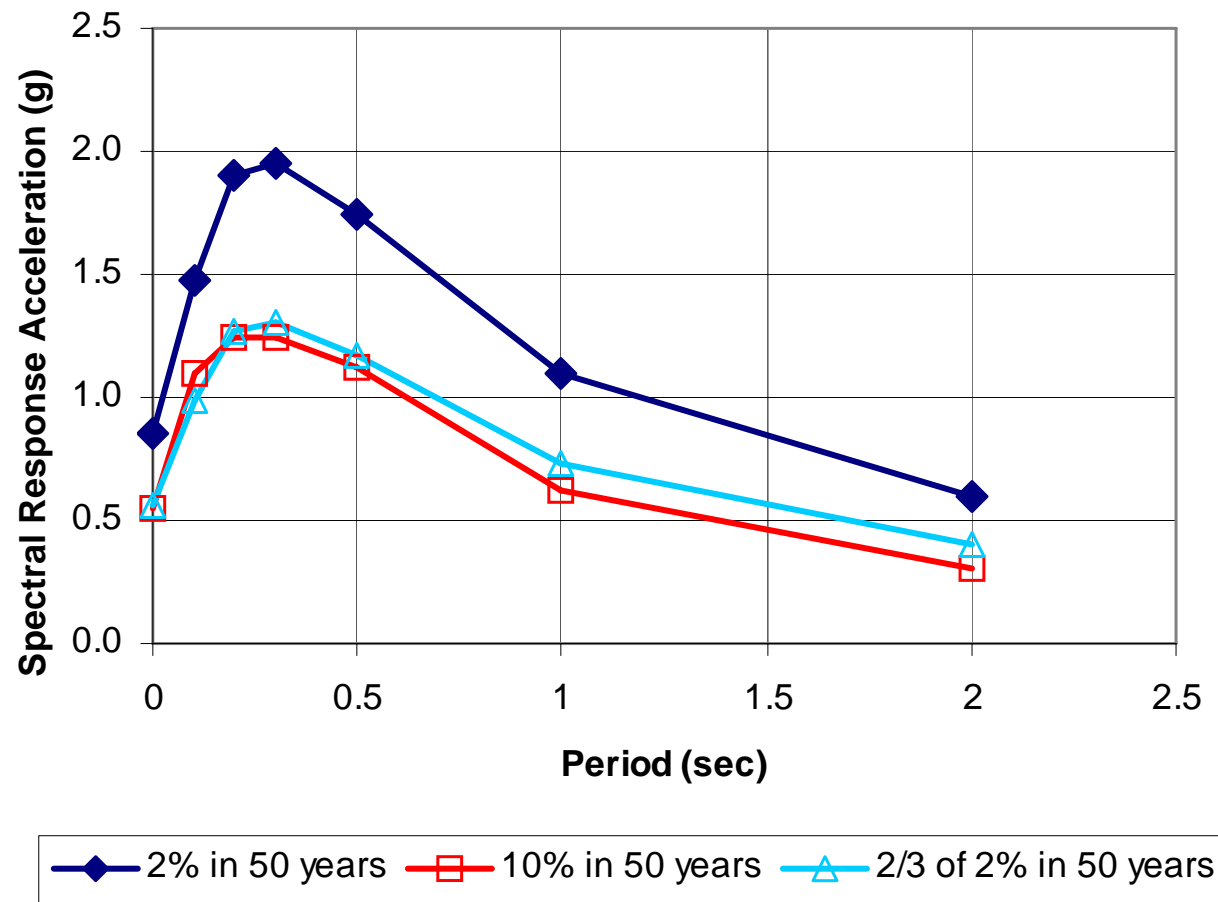
Design with current maps (2% in 50 year) but scale motions by 2/3

Results in  $2/3 \times 1.5 = 1.0$  deterministic earthquake (where applicable)

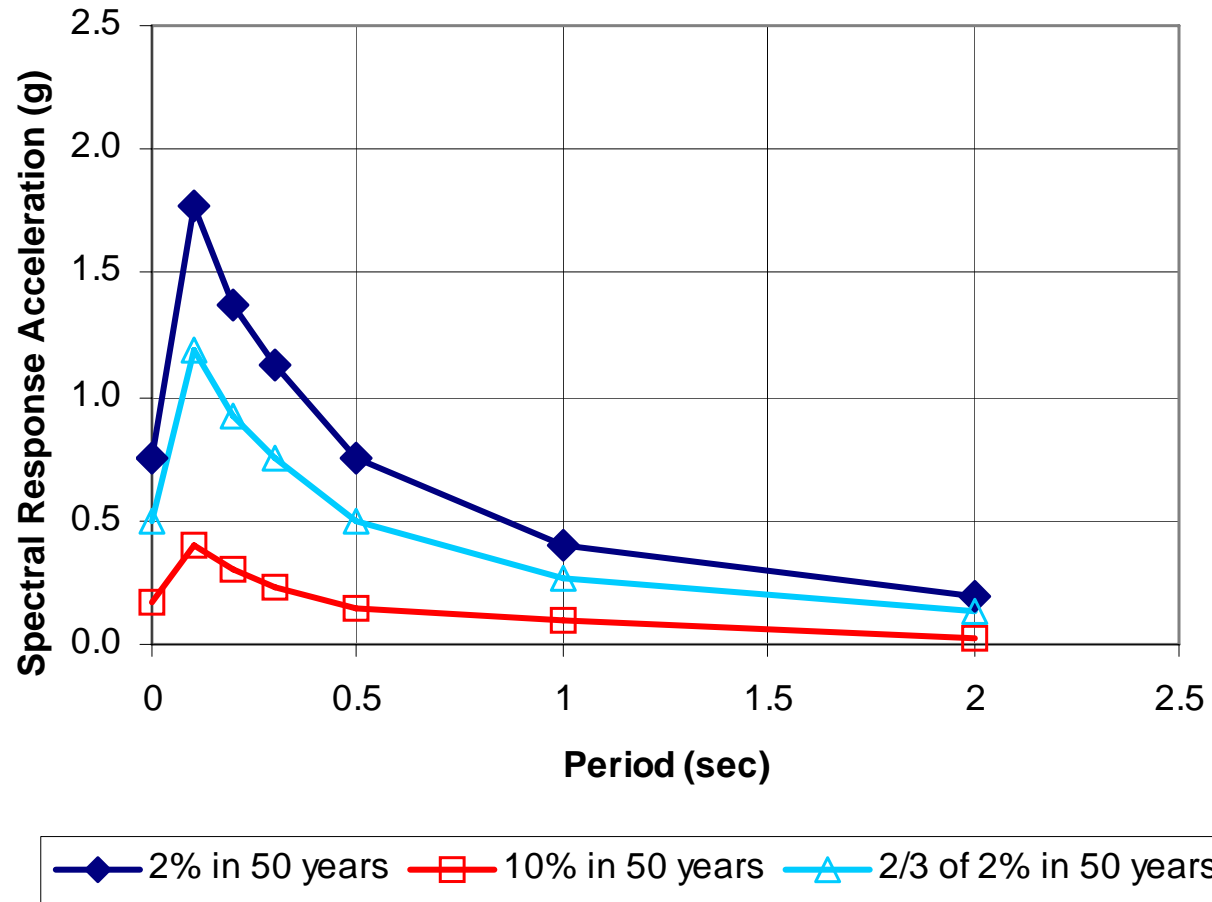
# 2% in 50 Year 5% Damped Elastic Design Spectra (Scaled by 2/3)



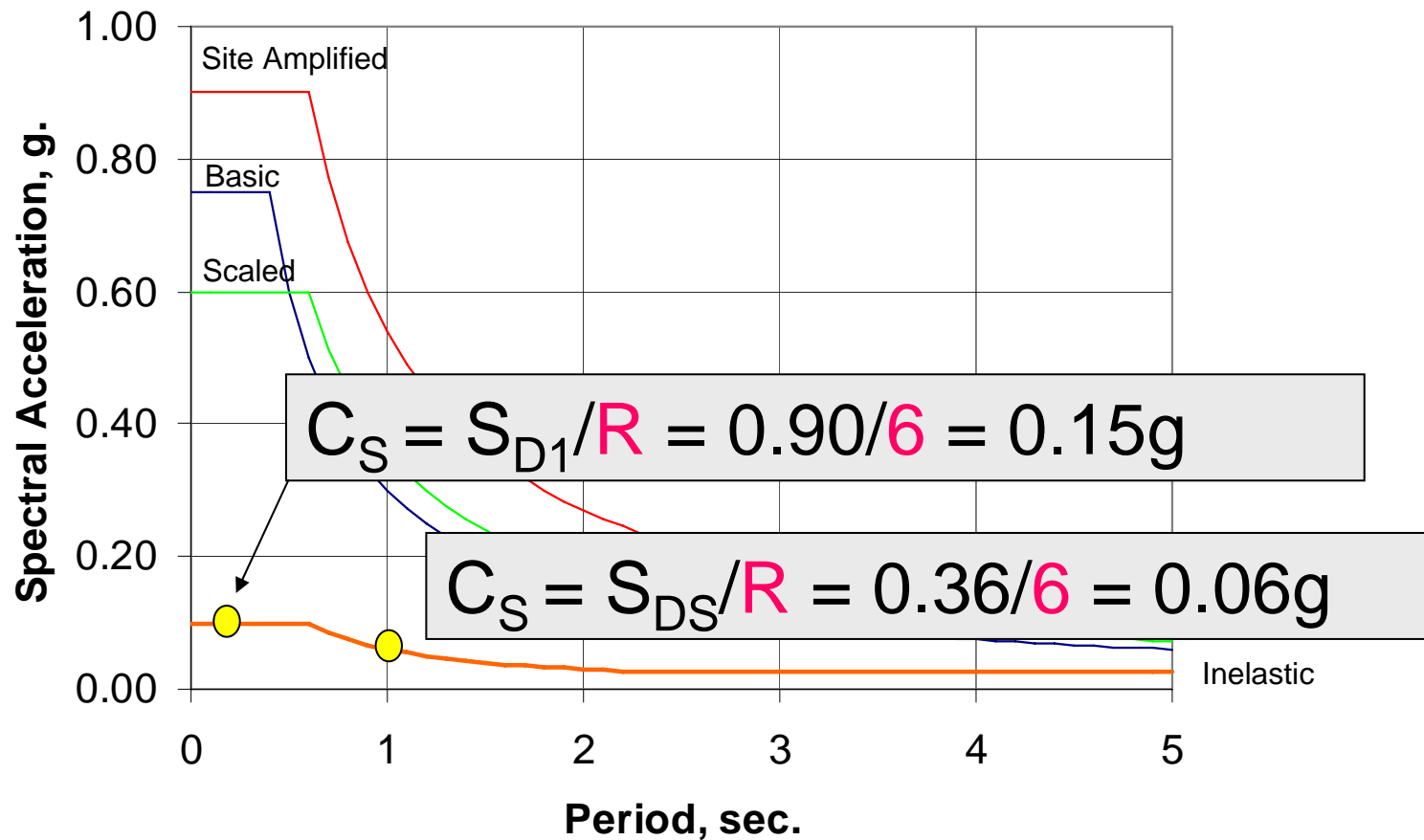
# Effect of Scaling in Western United States



# Effect of Scaling in Eastern United States



# 2% in 50 Year 5% Damped Inelastic Design Spectra (R=6, I=1) Site Class D





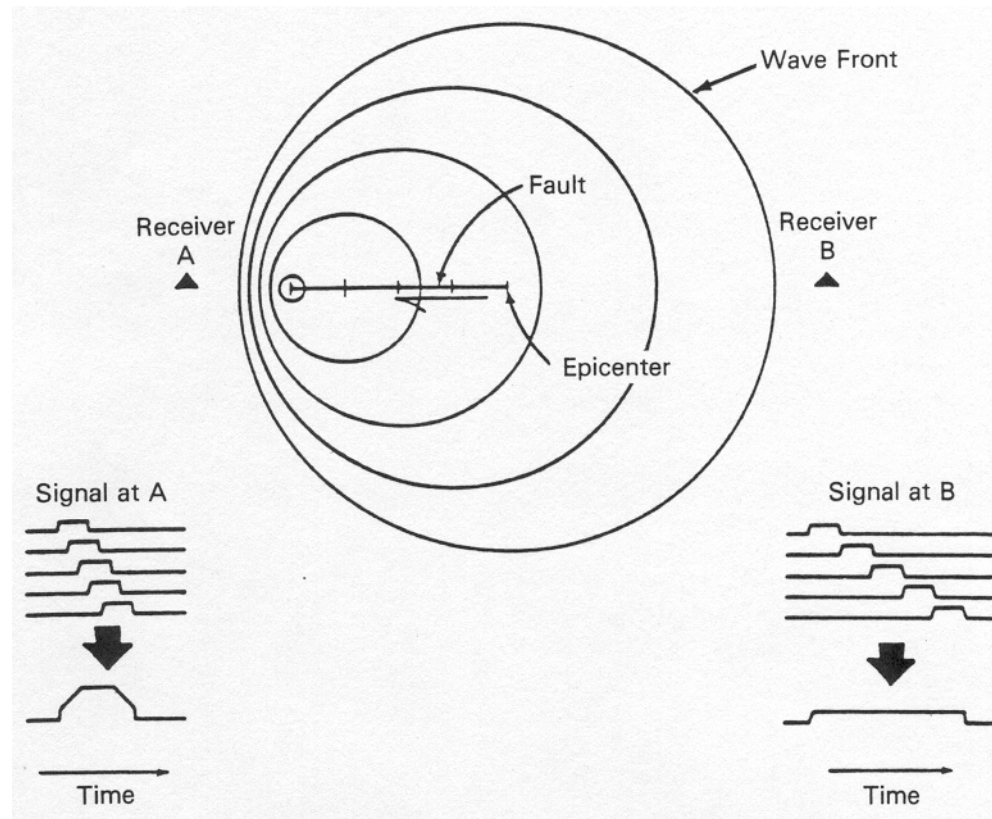
# Basis for Reduction of Elastic Spectra by $R$

Inelastic behavior of structures

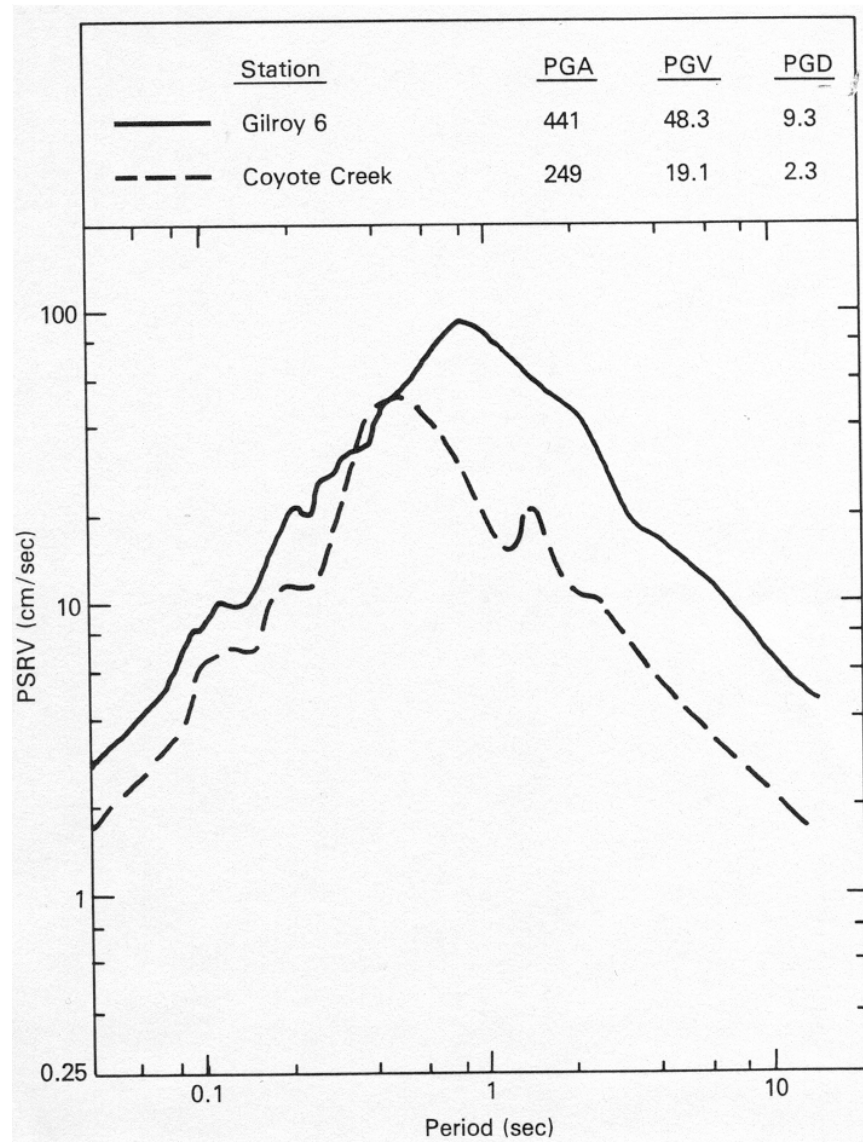
Methods for obtaining acceptable inelastic response are presented in later topics

# Directionality and “Killer Pulse” Earthquakes

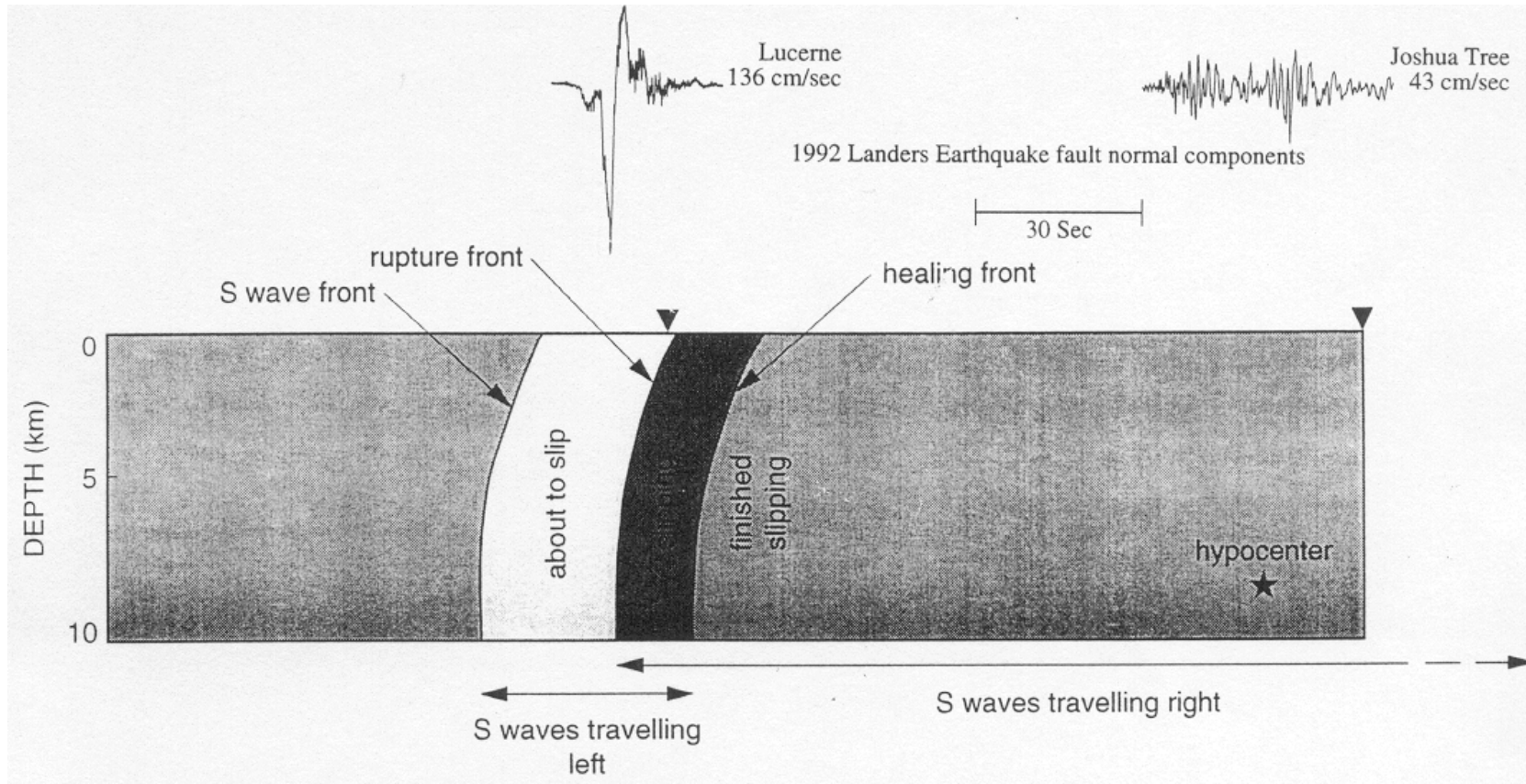
For sites relatively close to the fault, the direction of fault rupture can have an amplifying effect on ground motion amplitude.



# Effect of Directionality on Response Spectra



# Effect of Directionality on Ground Motion



FEMA

Instructional Material Complementing *FEMA 451, Design Examples*

Seismic Hazard Analysis 5a - 100